



SIMPOSIO AIRO - SIRM

**Diagnostica per Immagini e trattamenti non chirurgici
del nodulo polmonare solitario**

Radioterapia Stereotassica

Andrea R. Filippi



Early stage NSCLC

EDITORIAL

Treatment of Choice for Stage I Non-small Cell Lung Cancer: Surgery or Radiotherapy?

Hisao Asamura, MD

Journal of Thoracic Oncology • Volume 1, Number 8, October 2006

- The treatment of choice for early-stage NSCLC is anatomic surgical resection
- Certain patients can be considered medically or functionally not amenable with surgery
- For these patients, radiotherapy is the alternative treatment, although with considerably worse outcome



Early stage NSCLC

The effect of tumor size on curability of stage I NSCLC (7620 resected pts)

Survival rates @ 12 yrs

5-15 mm	69%
16-25 mm	63%
26-35 mm	58%
36-45 mm	53%
>45 mm	43%

Radiation therapy for the treatment of unresected stage I NSCLC

(3842 pts who did not receive surgical resection)

5 yrs survival rate: 15%

(local failure rates ranging from 30% to 70%)

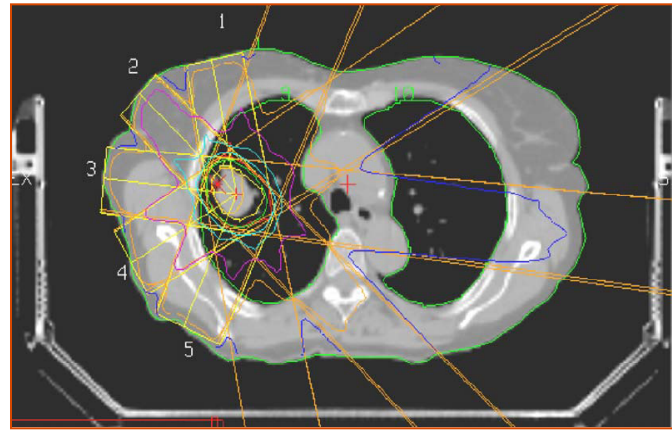
Wisnivesky JP et al., Chest, 2004

Wisnivesky JP et al., Chest, 2005



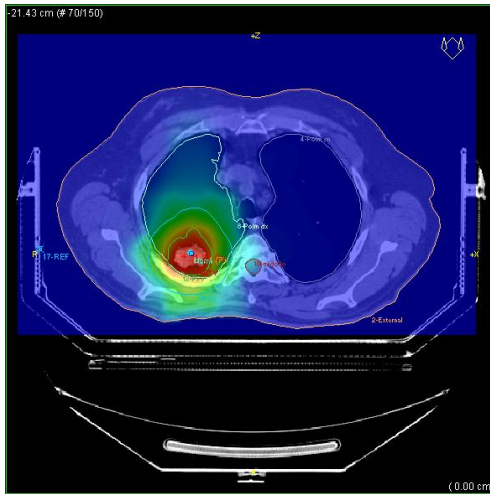
Stereotactic Body Radiation Therapy (SBRT)

An external beam radiation therapy method used to very precisely deliver a high dose of radiation to an extracranial target within the body, using either a single dose or a small number of large fractions



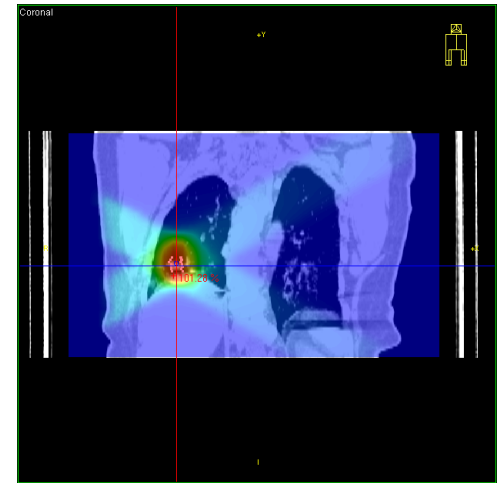
- *Specialized treatment planning results in high target dose and steep dose gradients beyond the target*
- *The challenge is to hit the entire extent of the tumor with extremely potent and biologically damaging therapy, while simultaneously avoiding surrounding normal tissue (tumor ablation and normal tissues sparing)*

SBRT in early stage lung tumors



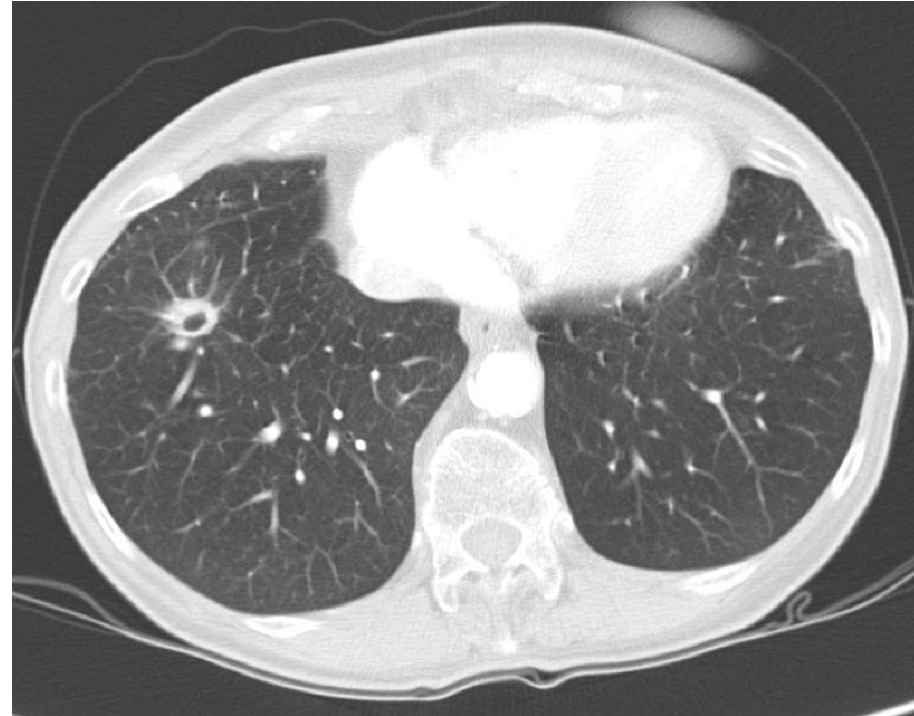
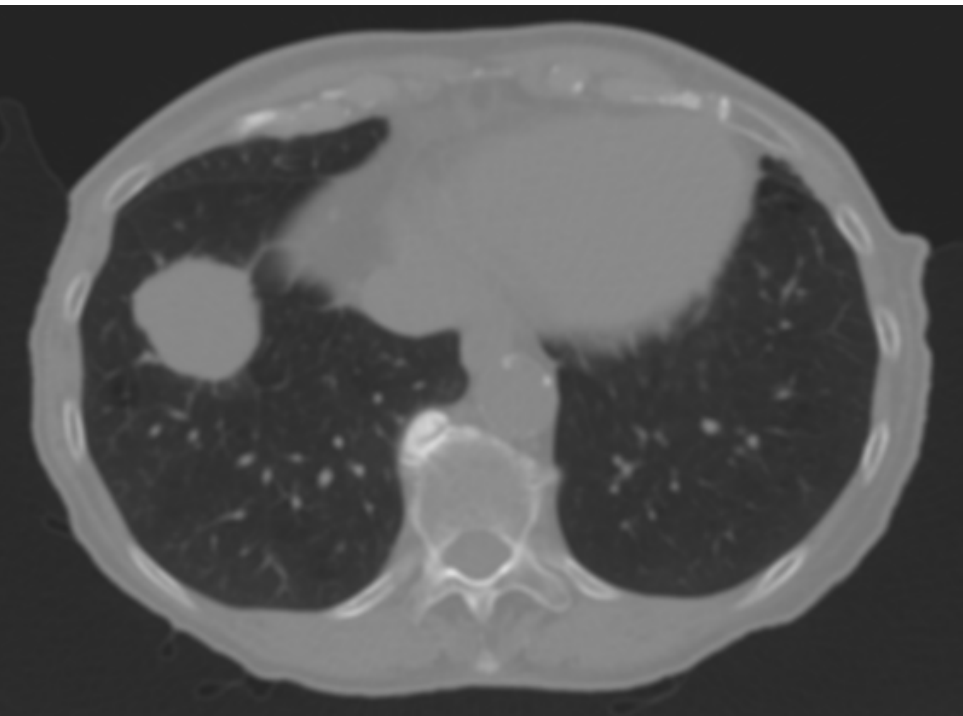
Early stage NSCLC

- Small volume
- Peripheral location



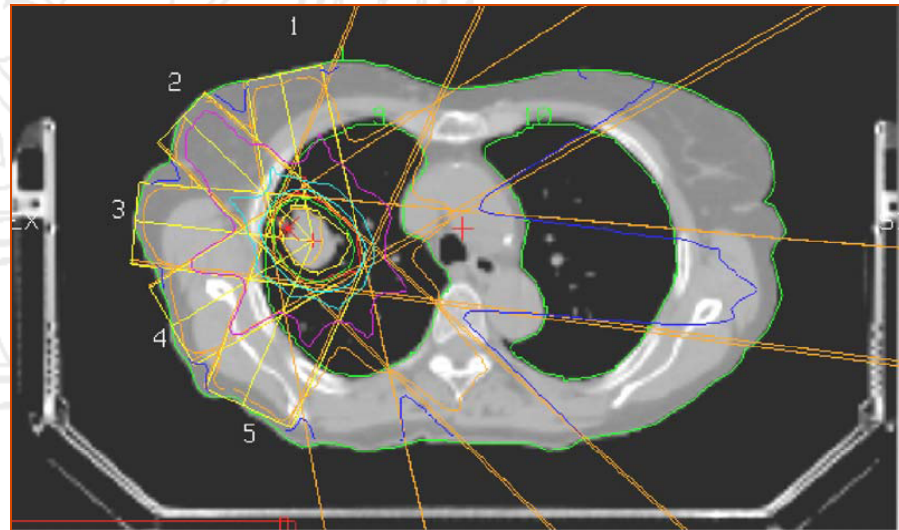
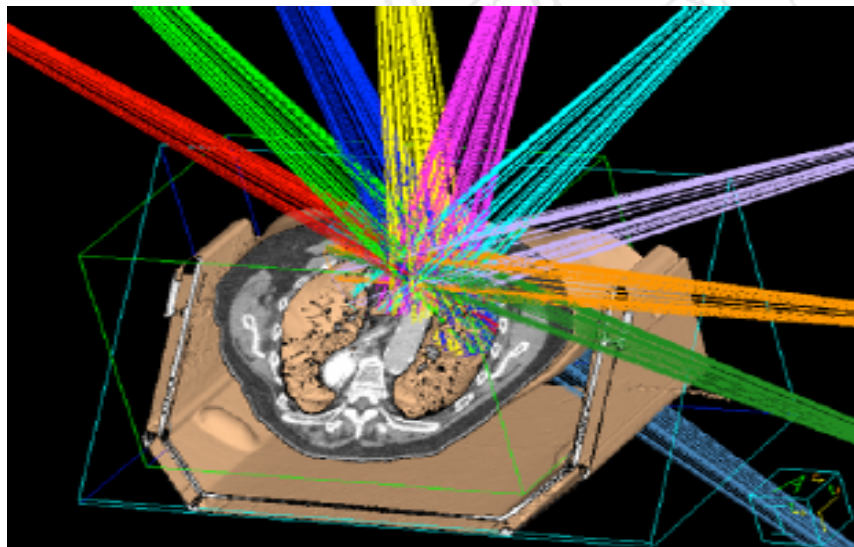
SBRT → Dose escalation

From SBRT to SABR (Stereotactic ABlative Radiotherapy)



SBRT for lung cancer @ University of Torino

- Standard treatment for early stage NSCLC since May, 2003
- Patients medically or functionally unfit for surgery, or Sx refusal
- Roughly 200 pts have been treated @ University of Torino



Prospective Phase II trial of SBRT

Eligibility Criteria

Histological confirmation or clinical proof of NSCLC

Stage IA or IB (T2aN0)

Contra-indication to surgery or refusal

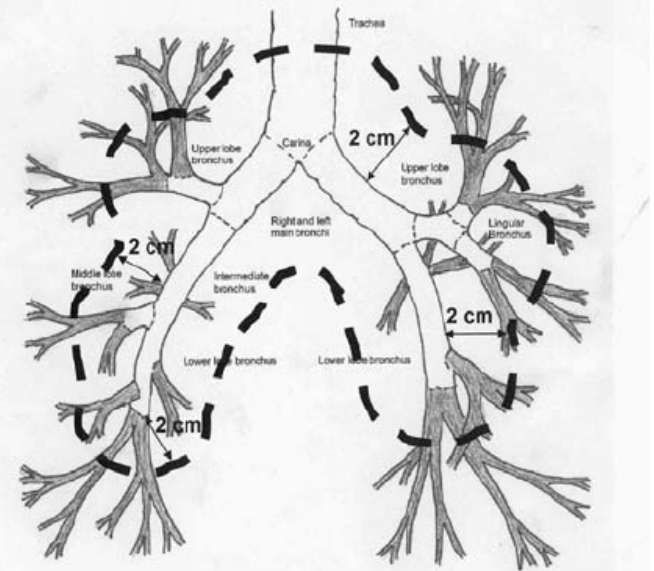
Performance status ECOG 0-2

^{18}F FDG CT-PET scan (mandatory)

Written informed consent

Exclusion criteria

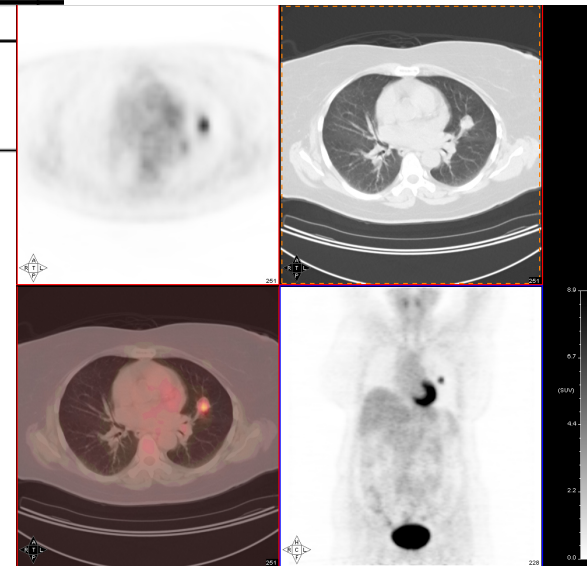
Lesions located less than 2 cm away from airways or less than 1 cm away from major blood vessels



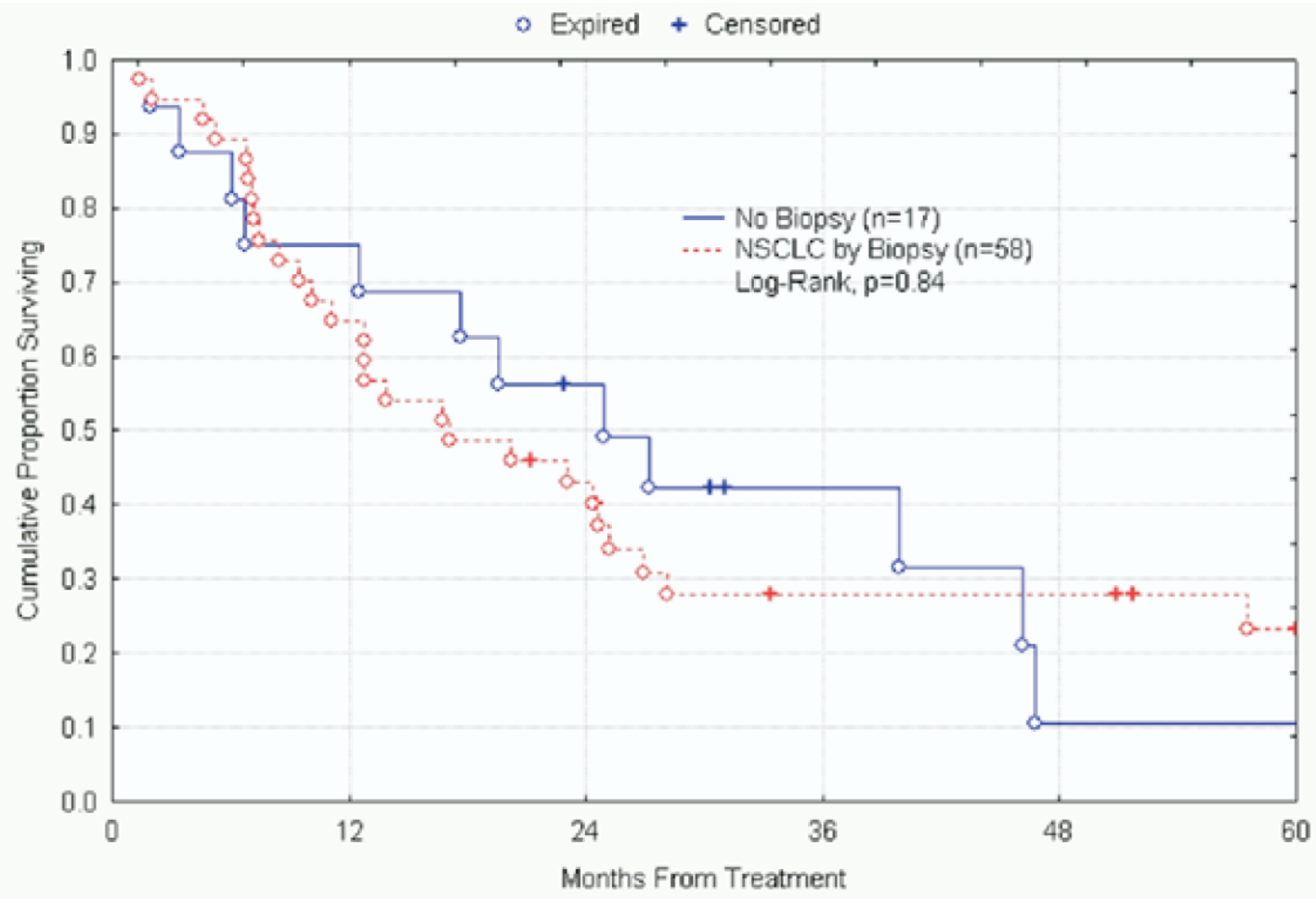
Clinical proof of malignancy

Probability of malignancy SPN (Gould 2007)

Diameter	5mm	10mm	15mm	20mm	25mm	30mm	35mm	40mm
50 years								
Current smoker	13	21	32	45	59	71	81	88
Never smoked	2	3	6	9	15	24	36	49
60 years								
Current smoker	25	37	50	64	76	84	90	94
Never smoked	4	7	11	18	28	41	55	68
70 years								
Current smoker	42	56	69	79	87	92	95	97
Never smoked	8	14	22	33	46	60	72	82
80 years								
Current smoker	61	73	83	89	94	96	98	99
Never smoked	17	26	38	52	65	77	85	91



Clinical proof of malignancy



Lagerwaard (2008)

Baumann (2009)

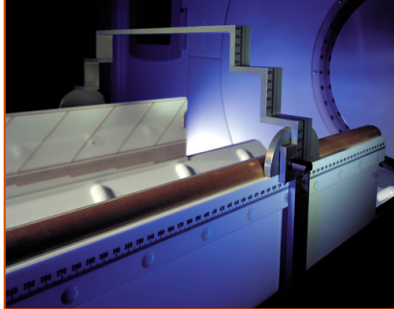
Nyman (2006)

Wulf (2004)

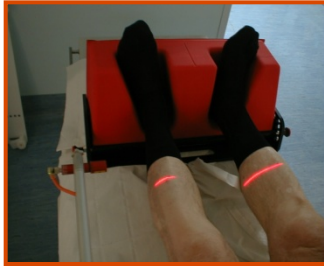
Beitler (2006)



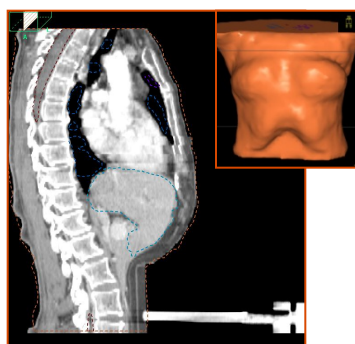
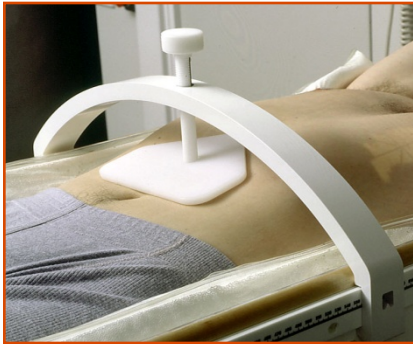
Patient fixation - Stereotactic Elekta® Body Frame



- Individually fitted vacuum pillow



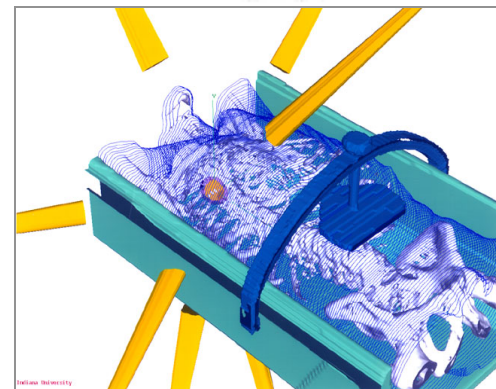
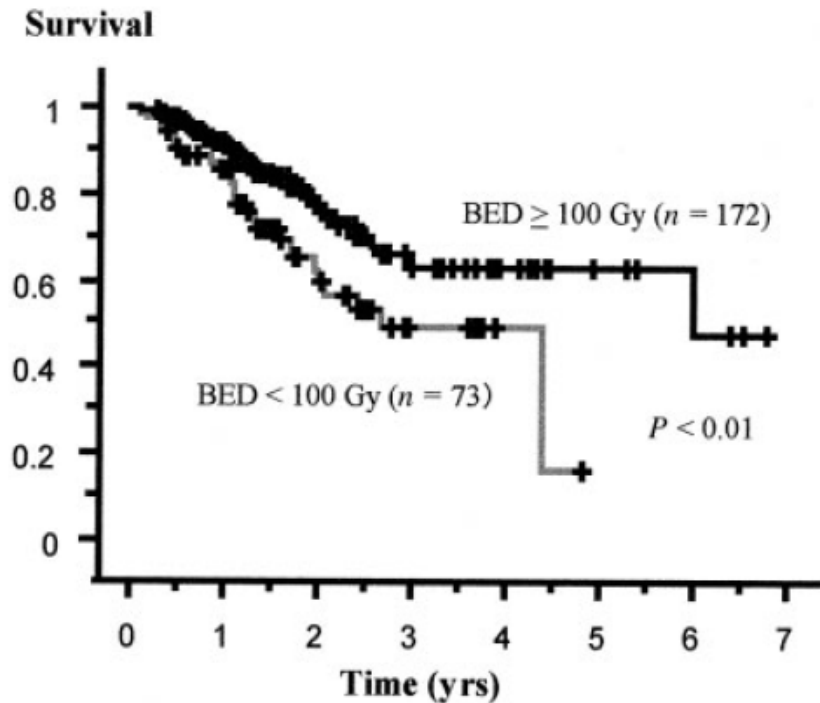
- Laser system for tattoos



- Device for diaphragm compression
(breathing tumor movements >10 mm at fluoroscopy)

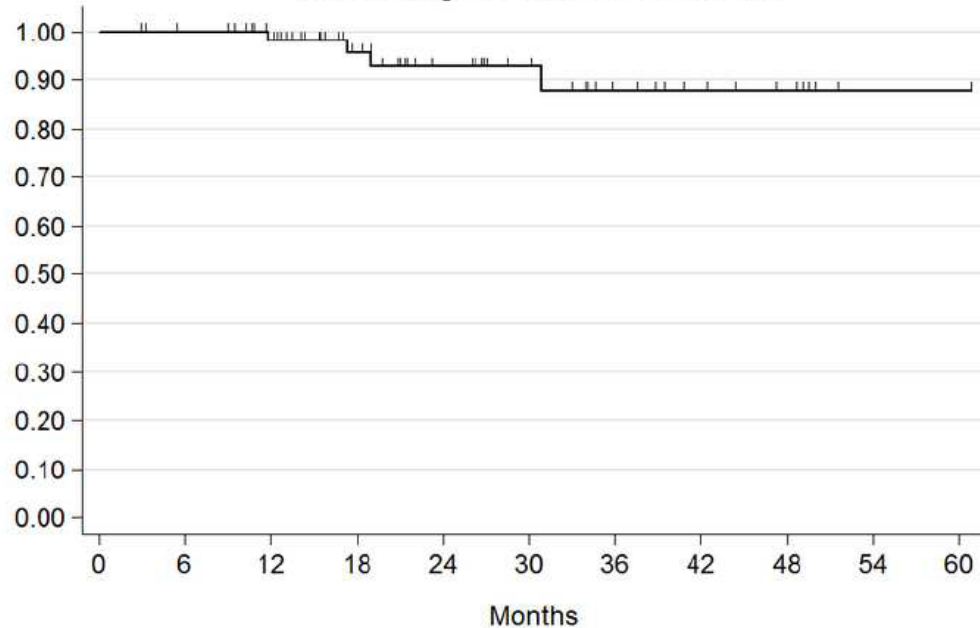
Treatment Planning & Delivery

Authors	Dose	BED
Standard RT	2 Gy x 30-33	60-66 Gy
Nagata	12 Gy x 4	88 Gy
Timmerman	20 Gy x 3	150 Gy
Onimaru	7.5 Gy x 8	87 Gy
Hara	30 Gy x 1	100 Gy
	15 Gy x 3	112.5 Gy

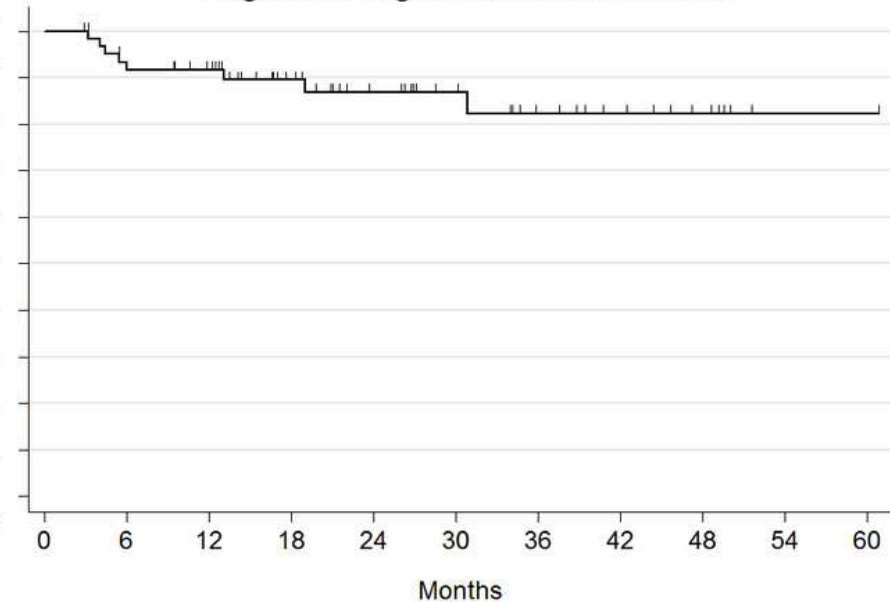


Progression-free Survival

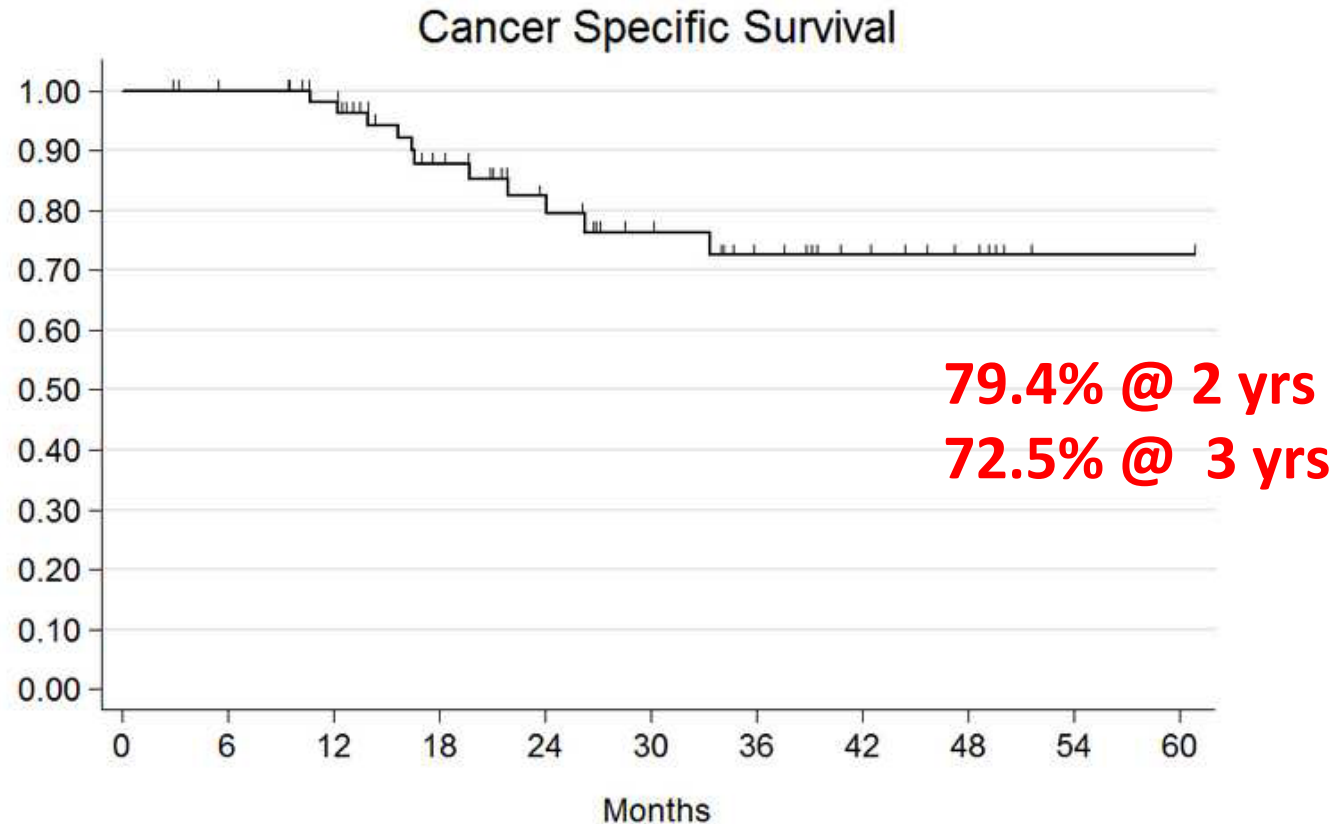
Local Progression-free Survival



Regional Progression-free Survival



Cancer-specific Survival



Ricardi et al, Lung Cancer 2010



Clinical Research

DISCOVERY MEDICINE

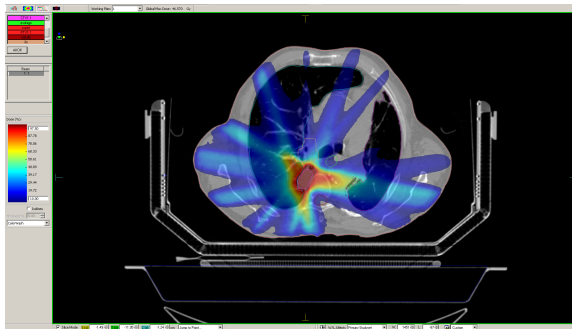


Table 1. Summary of Results of Recently Reported Prospective Trials of SBRT for Stage I NSCLC

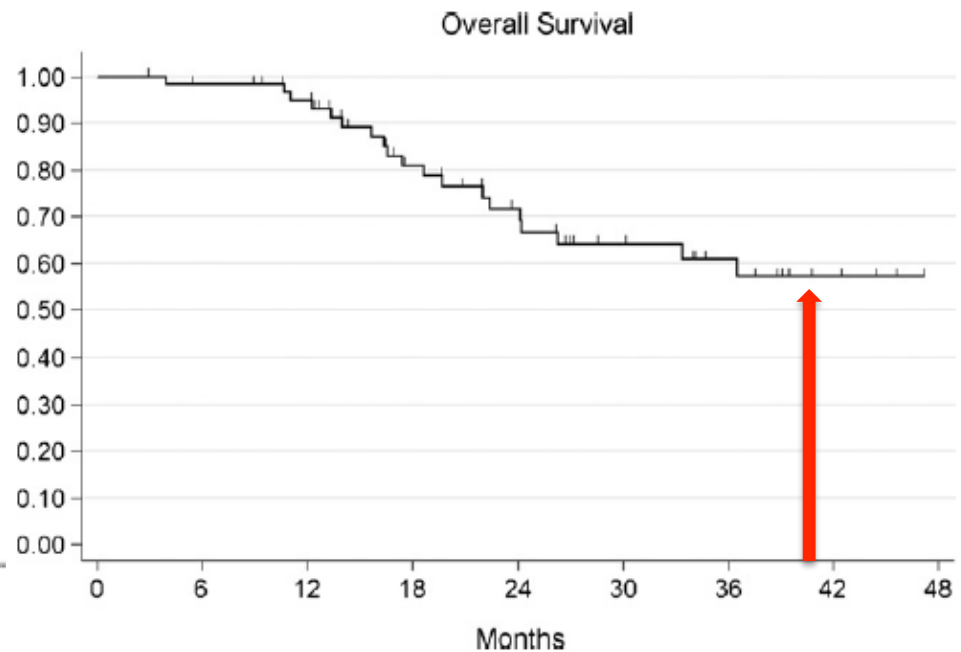
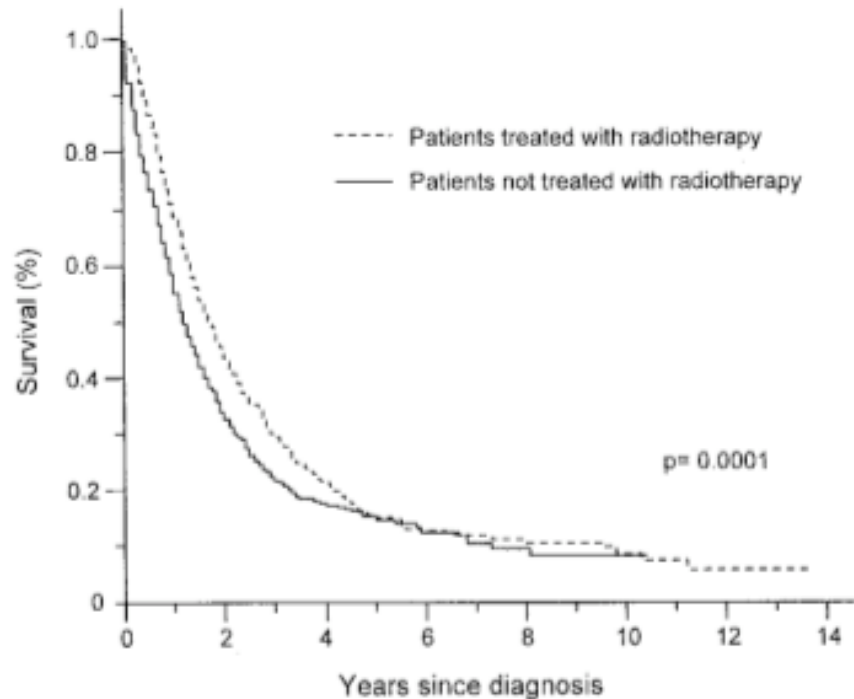
Author (Year)	Type/Stage	No. of Patients	Dose	Median Follow-up	Outcomes
Fakiris (Fakiris et al., 2009)	Phase II/Medically inoperable T1-2N0M0 NSCLC	70	T1: 20 Gy x 3 T2: 22 Gy x 3	50.2 months	3-year LC: 88.1% 3-year OS: 42.7% 3-year CaSS: 81.7%
Baumann (Baumann et al., 2009)	Phase II/Medically inoperable stage I NSCLC	57	15 Gy x 3 to 67%	35 months	3-year LC: 92% 1-, 2-, and 3-year OS: 86%, 65%, and 60% 1-, 2-, and 3-year CaSS: 93%, 88%, and 88% 3-year PFS: 52%
Koto (Koto et al., 2007)	Phase II/Stage I NSCLC	31	15 Gy x 3 (45 Gy) and 7.5 Gy x 8 (60 Gy)	32 months	3-year LC: 77.9% for T1 and 40% for T2 3-year OS: 71.7% 3-year CSS: 83.5%
Ricardi (Ricardi et al., 2010)	Phase II/Stage I NSCLC	62	15 Gy x 3	28 months	3-year LC: 87.8% 3-year CSS: 72.5% 3-year OS: 57.1%
Timmerman (Timmerman et al., 2010)	RTOG Phase II/ Medically inoperable T1-2N0M0 NSCLC (peripherally located)	55	18 Gy x 3	34.4 months	3-year LC: 97.6% 3-year DFS: 48.3% 3-year OS: 55.8%

Abbreviations: LC, local control; OS, overall survival; CSS, cause-specific survival; CaSS, cancer-specific survival; DFS, disease-free survival.

Discovery Medicine, 2011



Comparison between historical RT series and SBRT



STEREOTACTIC BODY RADIATION THERAPY FOR EARLY-STAGE NON-SMALL-CELL LUNG CANCER: THE PATTERN OF FAILURE IS DISTANT

JEFFREY D. BRADLEY, M.D.,* ISSAM EL NAQA, PH.D.,* ROBERT E. DRZYMALA, PH.D.,*
MARCO TROVO, M.D.,† GRIFFIN JONES,* AND MARY DEE DENNING, R.N.*

Table 2. Patterns of failure among study patients

Local	7
Local only	3
Local and nodal	2
Local and distant	1
Local, nodal, and distant	1
Nodal	7
Nodal only	1
Nodal and distant	3
Distant	19
Distant only	10
Metachronous lung	15

Table 4. Overall survival among study patients

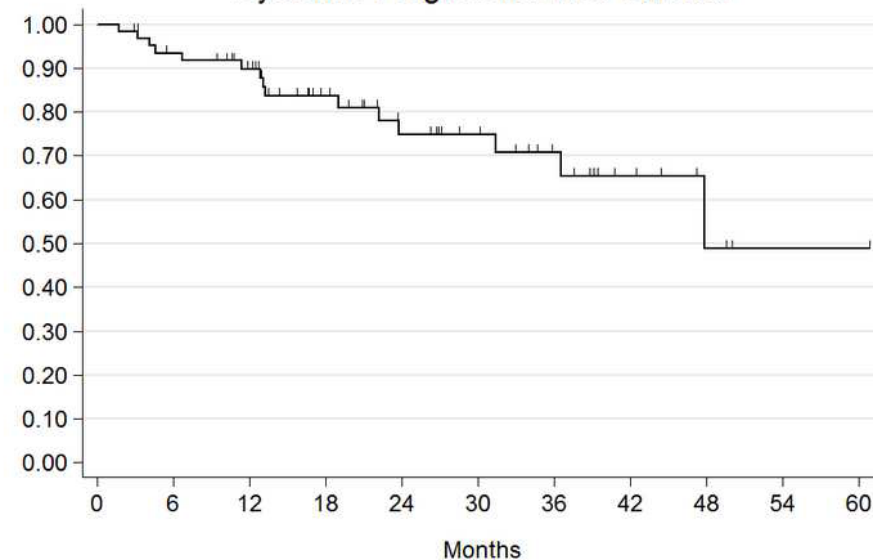
Prognostic factor	Univariate (p value)	Multivariate (p value)
Age	0.2858	NS
Sex	0.1148	NS
Performance status	0.0766	NS
Biopsy	0.4030	NS
Location (peripheral/central)	0.4285	NS
Tumor dimension	0.1526	NS
T stage	0.2797	NS
Poor lung function	0.5956	NS
Fraction size	0.6609	NS
Total Rx dose	0.7822	NS
GTV volume	0.9376	NS
PTV volume	0.4856	NS
Maximum dose (Dmax)	0.9450	NS
Secondary cancer	0.4325	NS
Distant metastasis	0.001	0.0043 (n = 72)

Pattern of Failure

Stereotactic body radiation therapy for early stage non-small cell lung cancer: Results of a prospective trial

Umberto Ricardi^{a,*}, Andrea Riccardo Filippi^a, Alessia Guarneri^a, Francesca Romana Giglioli^b, Patrizia Ciammella^a, Pierfrancesco Franco^a, Cristina Mantovani^a, Piero Borasio^c, Giorgio Vittorio Scagliotti^d, Riccardo Ragona^a

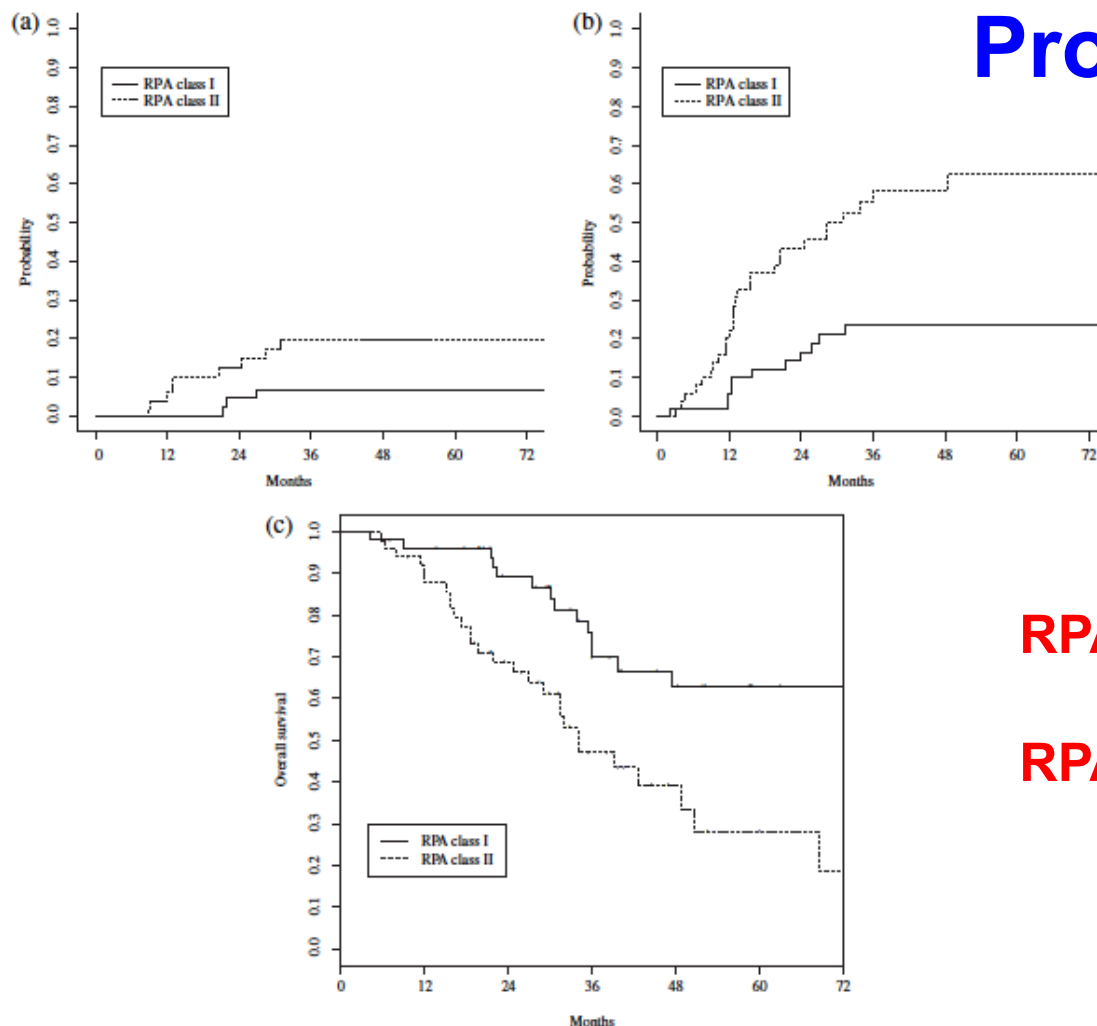
Systemic Progression-free Survival



PROGNOSTIC FACTORS IN STEREOTACTIC BODY RADIOTHERAPY FOR NON-SMALL-CELL LUNG CANCER

YUKINORI MATSUO, M.D., PH.D.,* KEIKO SHIBUYA, M.D., PH.D.,* YASUSHI NAGATA, M.D., PH.D.,[†]
KENJI TAKAYAMA, M.D.,* YOSHIKI NORIHISA, M.D.,* TAKASHI MIZOWAKI, M.D. PH.D.,*
MASARU NARABAYASHI, M.D.,* KATSUYUKI SAKANAKA, M.D.,* AND MASAHIRO HIRAOKA, M.D., PH.D.*

Prognostic Factors



RPA Class I: Female or T1a

RPA class II: Male T1b-T2a

Int. J. Radiation Oncology Biol. Phys., Vol. 79, No. 4, pp. 1104–1111, 2011



CLINICAL INVESTIGATION

Lung

MAXIMUM STANDARDIZED UPTAKE VALUE FROM STAGING FDG-PET/CT DOES NOT PREDICT TREATMENT OUTCOME FOR EARLY-STAGE NON-SMALL-CELL LUNG CANCER TREATED WITH STEREOTACTIC BODY RADIOTHERAPY

MICHAEL J. BURDICK, M.D.,* KEVIN L. STEPHANS, M.D.,* CHANDANA A. REDDY, M.S.,*
TOUFIK DJEMIL, Ph.D.,* SHYAM M. SRINIVAS, M.D., Ph.D.,† AND GREGORY M. M. VIDETIC, M.D.*

Departments of *Radiation Oncology and †Nuclear Medicine, Cleveland Clinic, Cleveland, OH

Prognostic Factors

Lung Cancer (2007) 56, 229–234



available at www.sciencedirect.com

ScienceDirect

journal homepage: www.elsevier.com/locate/lungcan



FDG-PET and stereotactic body radiotherapy (SBRT) for stage I non-small-cell lung cancer

David J. Hoopes^a, Mark Tann^b, James W. Fletcher^b, Jeffrey A. Forquer^a,
Pei-Fen Lin^b, Simon S. Lo^a, Robert D. Timmerman^c, Ronald C. McGarry^{a,*}

Pre-SBRT FDG-PET SUV did not predict 3-year overall survival or local control.

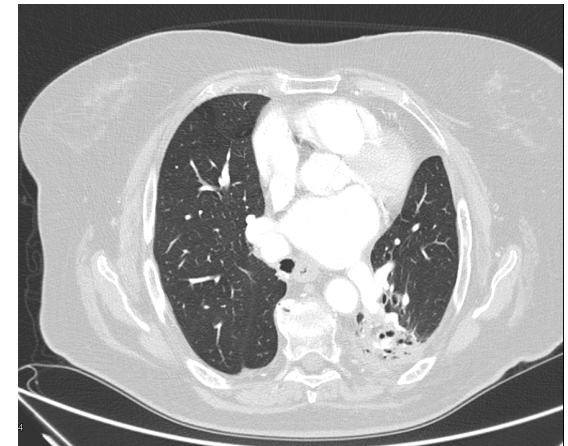
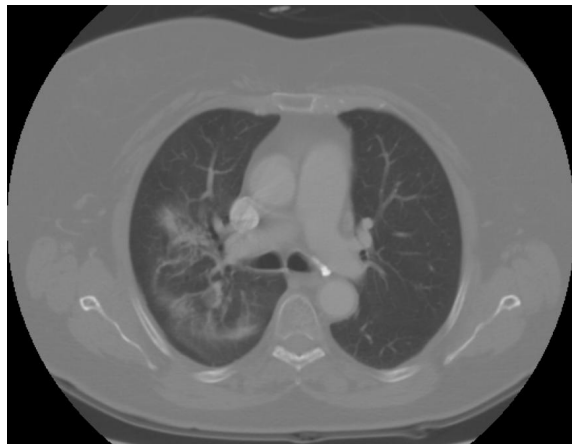
Conclusions: Pretreatment PET SUV_{max} did not predict for MF, DM, or OS in patients treated with SBRT for early-stage NSCLC. © 2010 Elsevier Inc.

Both negative

TOXICITY

Acute radiological changes after SBRT: 60-80% (Ikezo classification)

- Diffuse consolidation (consolidation more than 5 cm in largest dimension) 20-30%
- Patchy consolidation (consolidation less than 5 cm in largest dimension) 8-22%
- Diffuse ground glass opacities (more than 5 cm of GGO) 4-8%
- Patchy ground glass opacities (less than 5 cm of GGO) 10-15%
- No evidence of increased density 20-40%

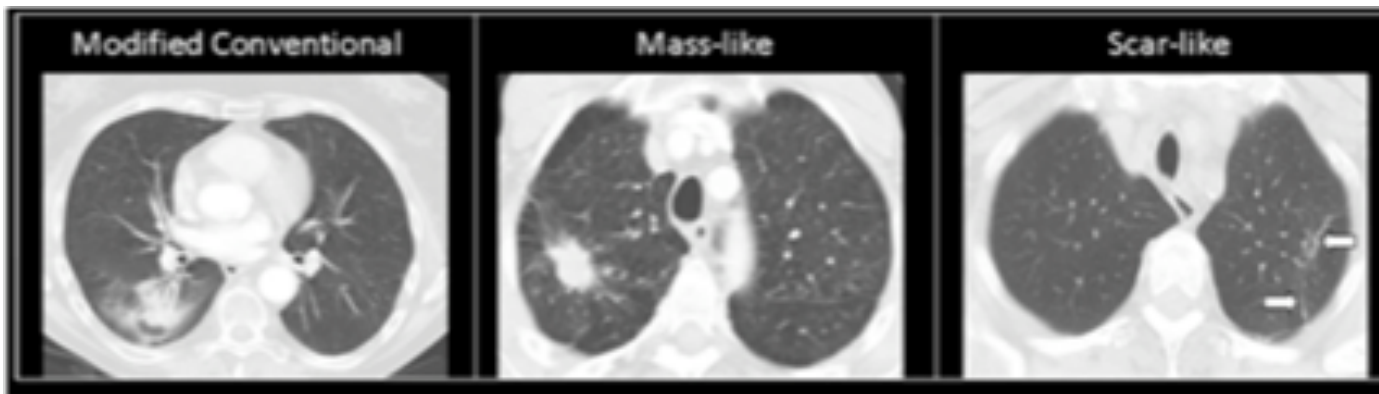
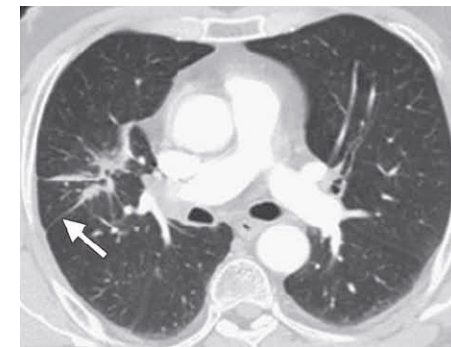
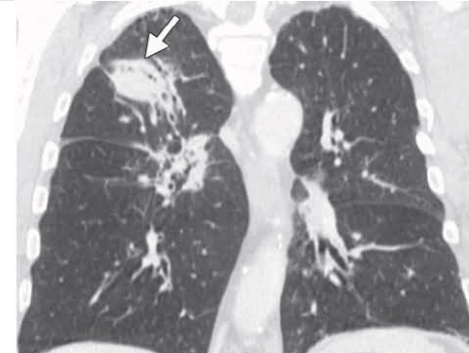
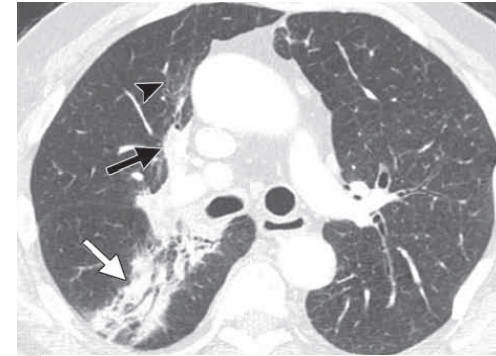


TOXICITY

Late radiological changes after SBRT

Radiation fibrosis (later than 6 months)
(Koenig's classification, AJR 2002):

- Modified conventional pattern
- Mass-like pattern
- Scar-like pattern



Severe Pulmonary Toxicity

	No Pts	Dose	Grade 3+ Toxicity
Uematsu	66	30-76 Gy 5-15 fx	0%
Nakagawa	22	15-24 Gy 1 fx	0%
Nagata	40	40-48 Gy 4 fx	0%
Wulf	61	26-37.4 Gy 1-3 fx	3%
Hara	23	20-30 Gy 1 fx	4%
Hof	10	19-26 Gy 1 fx	0%
Onimaru	57	48-60 Gy 8 fx	2%
Whyte	23	15 Gy 1 fx	0%
Blomgren	17	30 Gy 2-3 fx	6%
Ricardi	62	45 Gy/3fx or 26 Gy/1fx	3%



Dosimetric predictors of radiation-induced lung injury in stereotactic body radiation therapy

Table IV. Logistic regression analysis (correlation with RTOG grade 2-3 pulmonary toxicity)

	Odds Ratio	Std. Err.	z	p	95% Confidence Interval	
MLD ₂	1.52	0.24	2.66	0.008	1.12	2.08
Primary/ Metastatic	3.03	3.98	0.84	0.399	0.23	39.79
Central/Peripheral	0.54	0.70	−0.48	0.634	0.04	6.75
Superior/Median/Inferior	1.53	0.89	0.73	0.464	0.49	4.79
Lobe						
PTV	1.03	0.03	1.05	0.293	0.98	1.08

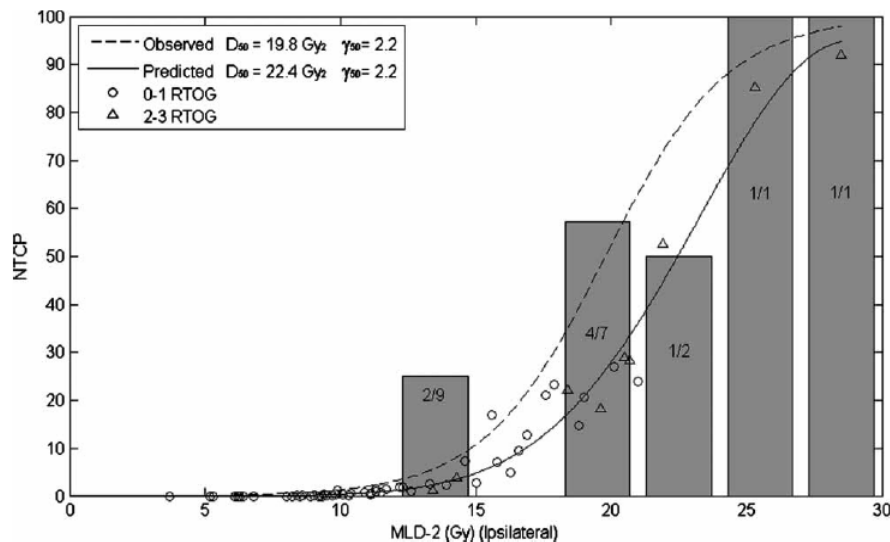


Table III. MLD₂ and NTCP mean values according to RTOG lung toxicity score

	Grade 0-1	Grade 2-3
MLD ₂	11.2 Gy (95% CI 10.1-12.3 Gy)	20.3 Gy (95% CI 16.6-23.9 Gy)
NTCP	4% (95% CI 2-5.9%)	37% (95% CI 11.6-62.3%)

Ricardi U et al, 2009

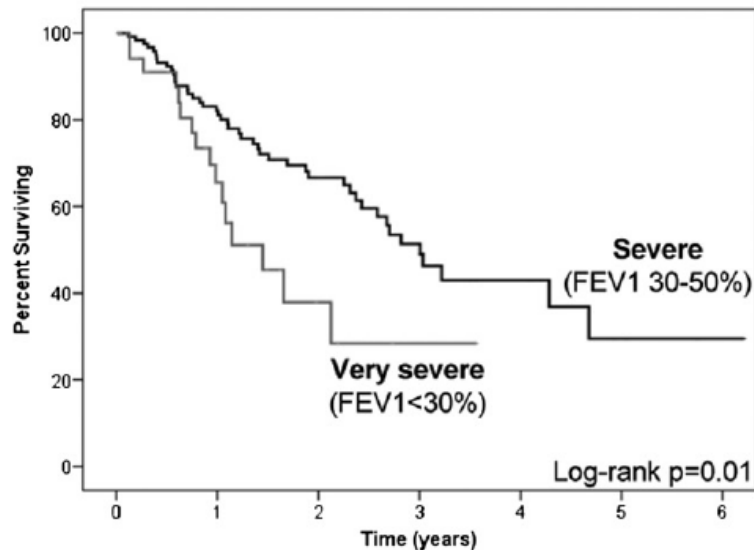


CURATIVE TREATMENT OF STAGE I NON-SMALL-CELL LUNG CANCER IN PATIENTS WITH SEVERE COPD: STEREOTACTIC RADIOTHERAPY OUTCOMES AND SYSTEMATIC REVIEW

DAVID PALMA, M.D., M.Sc., F.R.C.P.C.,*† FRANK LAGERWAARD, M.D., Ph.D.,*
GEORGE RODRIGUES, M.D., M.Sc., F.R.C.P.C.,† CORNELIS HAASBEEK, M.D., Ph.D.,*
AND SURESH SENAN, M.R.C.P., F.R.C.R., Ph.D.*

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London, Ontario, Canada

C. Overall Survival by COPD severity



133 patients with severe and 43 with very severe COPD treated with SBRT

Table 3. Thirty-day mortality and complications associated with treatment of stage I NSCLC in patients with poor ventilatory function

First author	30-day mortality	Complications
Surgery		
Magdeleinat (26)	8%*	>90% admitted to ICU >45% with complications (pneumonia, air leak, and arrhythmia most common)
Lau (19)	25% after open lobectomy* 7% for open segmentectomy or VATS procedure*	Median hospital stay 8–12 days <10% admitted to ICU
SBRT		
Henderson (27)	0%*	>69% with Grade 1 or 2 toxicity of some kind†
Stephans (28)	0%*	No Grade 3 or higher pneumonitis
Palma (current study)	0%	6 patients (3%) with Grade 3 toxicity

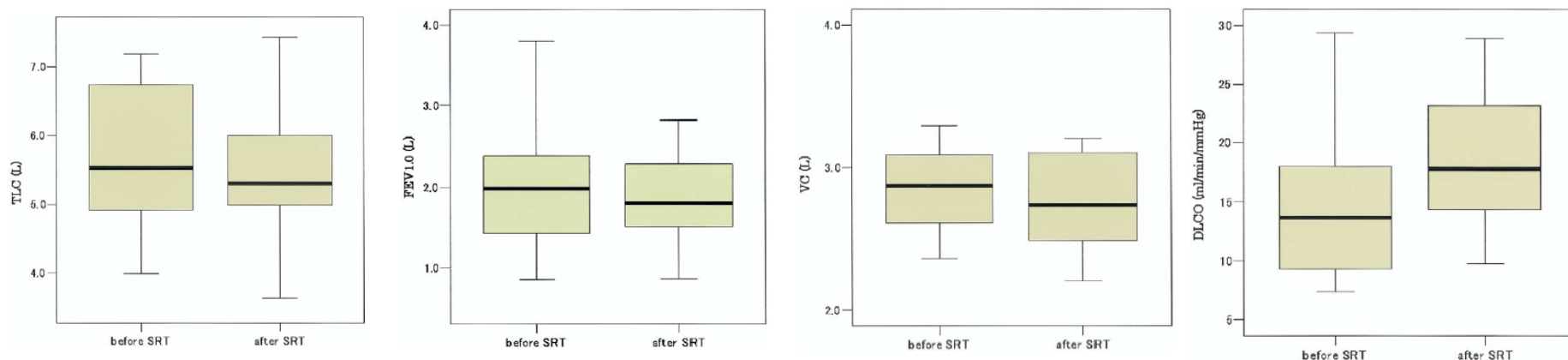


DIFFERENCES IN PULMONARY FUNCTION BEFORE VS. 1 YEAR AFTER HYPOFRACTIONATED STEREOTACTIC RADIOTHERAPY FOR SMALL PERIPHERAL LUNG TUMORS

TOSHIO OHASHI, M.D.,* ATSUYA TAKEDA, M.D.,*† NAUYUKI SHIGEMATSU, M.D.,*
ETSUO KUNIEDA, M.D.,* AKITOSHI ISHIZAKA, M.D.,‡ JUNICHI FUKADA, M.D.,*
HOSSAIN M. DELOAR, Ph.D.,* OSAMU KAWAGUCHI, M.D.,* TOSHIAKI TAKEDA, M.D.,†
KAZUHIKO TAKEMASA, M.D.,† KOUICHI ISOBE, M.D.,§ AND ATSUSHI KUBO, M.D.*

Departments of *Radiology and †Internal Medicine, School of Medicine, Keio University, Tokyo, Japan;

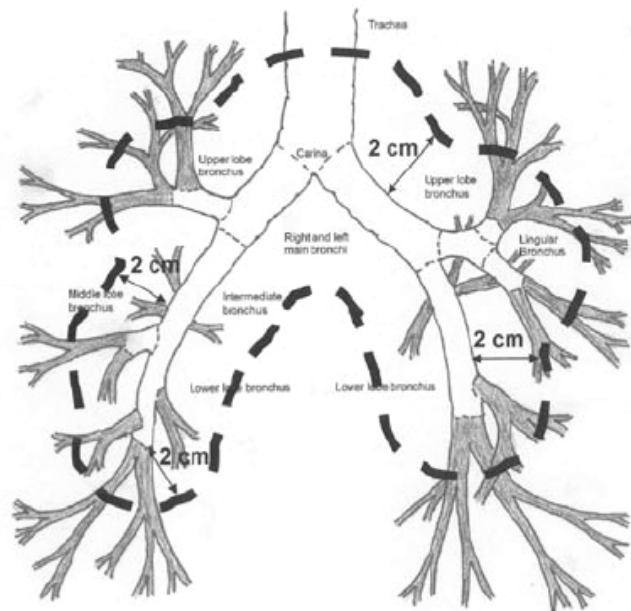
‡Department of Radiology, Tokyo Metropolitan Hiro-o Hospital, Tokyo, Japan; §Department of Radiology, School of Medicine, Chiba University, Chiba, Japan



There were no significant changes in TLC, VC, or FEV1 before vs. after SBRT

These results are more favorable than those obtained with surgical procedures, including lobectomy, segmentectomy, and VATS.

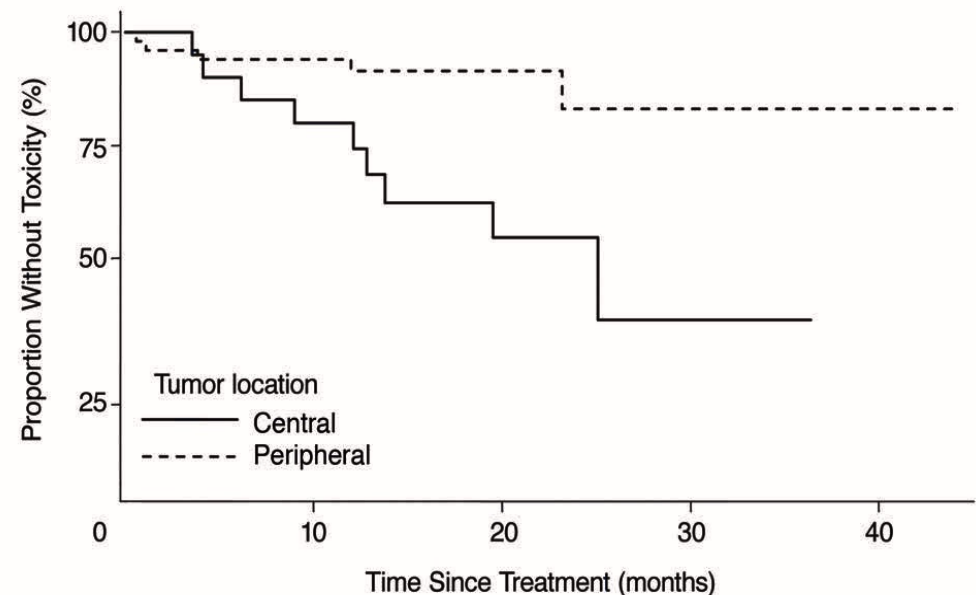
Excessive Toxicity When Treating Central Tumors in a Phase II Study of Stereotactic Body Radiation Therapy for Medically Inoperable Early-Stage Lung Cancer



Location

—inside ----outside p=0.004

**Grade 3-5 Toxicity Free Survival
Zone of the Proximal Bronchial Tree Status**



T1 tumors:

20 Gy x 3 fractions (60 Gy total)

T2 tumors:

22 Gy x 3 fractions (66 Gy total)

Timmerman R et al, 2006



Original article

Central thoracic lesions treated with hypofractionated stereotactic body radiotherapy

Michael T. Milano *, Yuhchyan Chen, Alan W. Katz, Abraham Philip, Michael C. Schell, Paul Okunieff

Department of Radiation Oncology, University of Rochester Medical Center, NY, USA

Institution	Patient population	Prescribed dose (Gy)	Fraction dose (Gy)	BED2 (Gy)	Toxicity
IndianaU.	Stage I NSCLC	60-66	20-22	219-258	11-Fold increase risk of severe-fatal toxicity
Hokkaido U	NSCLC and Mts	48	6	64	1 of 9 with severe toxicity
U. Texas, San Antonio	NSCLC and Mts	36	6-12	86-126	1 of 9 – asymptomatic airway collapse
Air Force General Hospital	Stage I-II NSCLC	60-70	6-7	120-167	No severe toxicity
		40-50	4-5		
VU Amsterdam	Stage I NSCLC	60	7.5	88	No severe toxicity
Technical U.	NSCLC and Mts	35	7	105	No severe toxicity

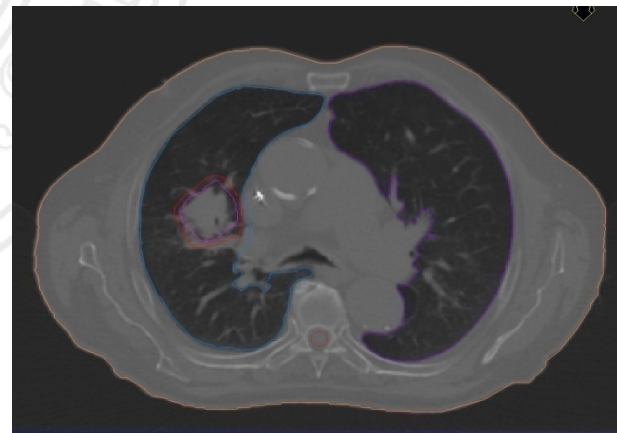
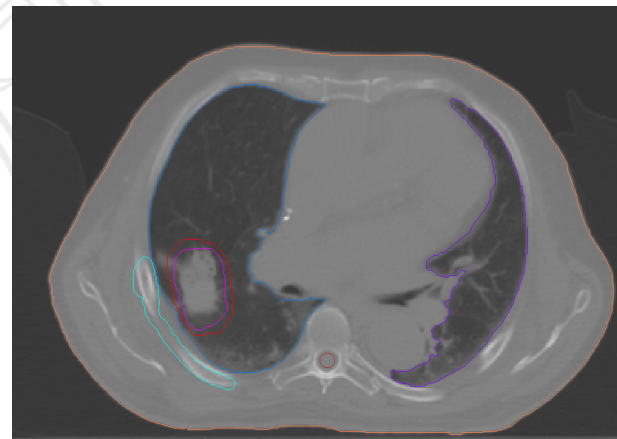
Moderately hypofractionated SBRT to central thoracic lesions is effective with respect to local control and toxicity.

Radiother Oncol, 2009



Use of “risk-adapted” SBRT protocol

- **Peripheral lesions (T1a-T1b):**
 - 54 Gy/ 3 fractions
- **Peripheral lesions, with extensive contact with the chest wall, or larger tumors (T2a):**
 - 55 Gy/ 5 fractions
- **Central lesions:**
 - 60 Gy/ 8 fractions



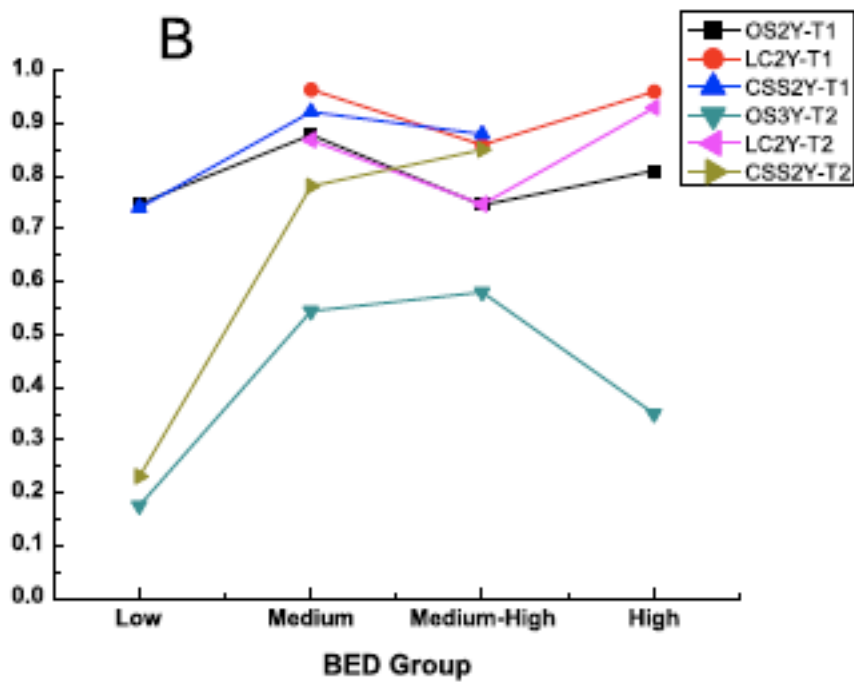
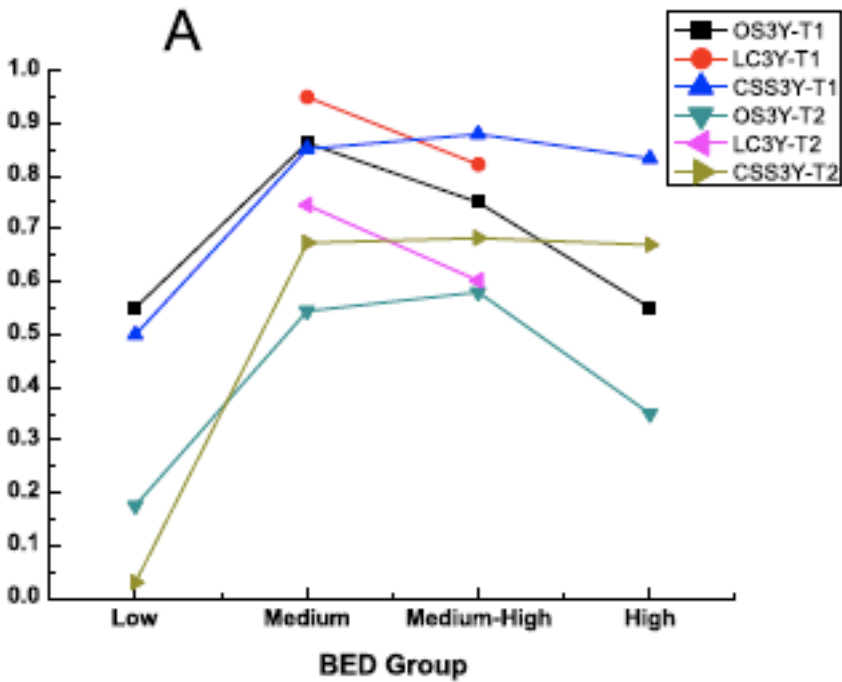
How to improve clinical outcome?

- Lower survival for larger tumors → dose escalation?
- Lower survival due to high rate of distant metastases: systemic therapy?
- Patients selection (prognostic index?)

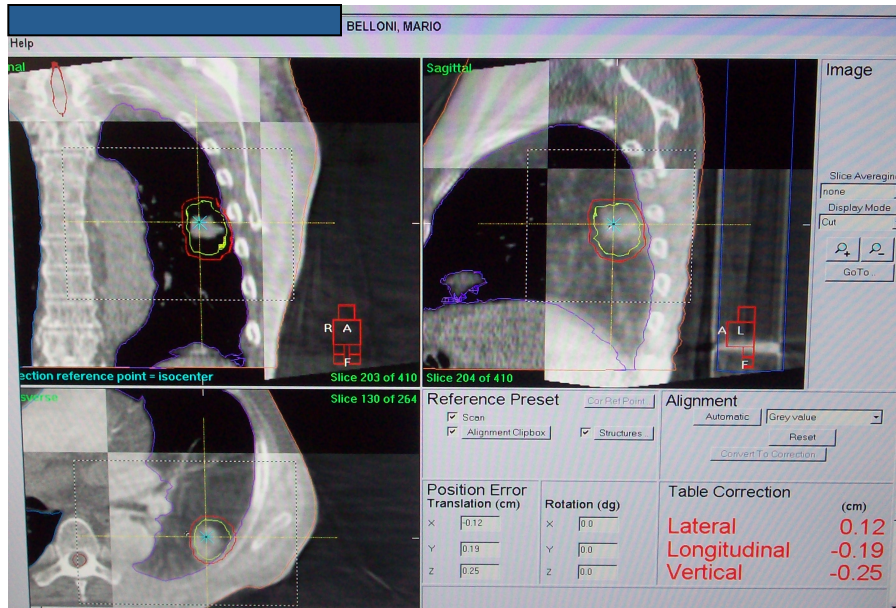
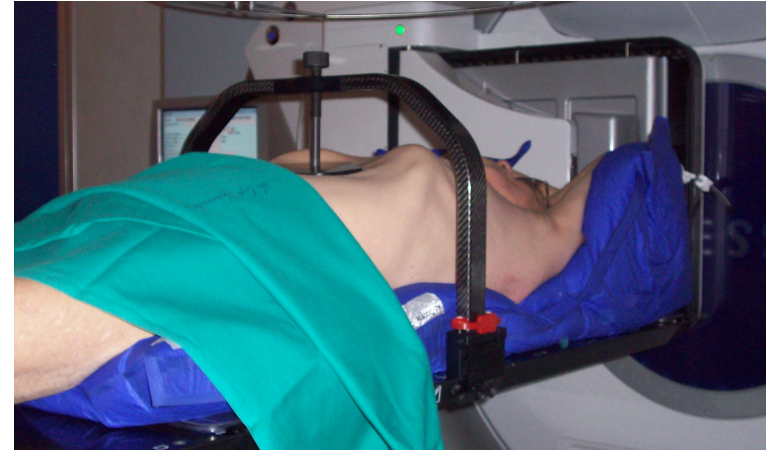


WHICH IS THE OPTIMAL BIOLOGICALLY EFFECTIVE DOSE OF STEREOTACTIC BODY RADIOTHERAPY FOR STAGE I NON-SMALL-CELL LUNG CANCER? A META-ANALYSIS

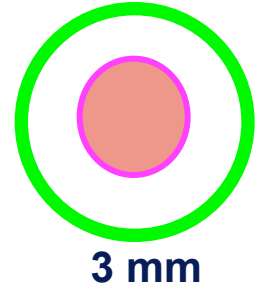
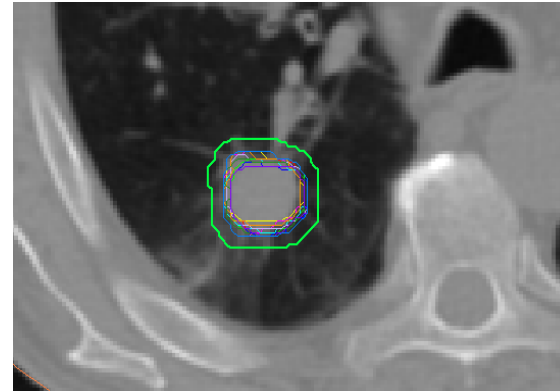
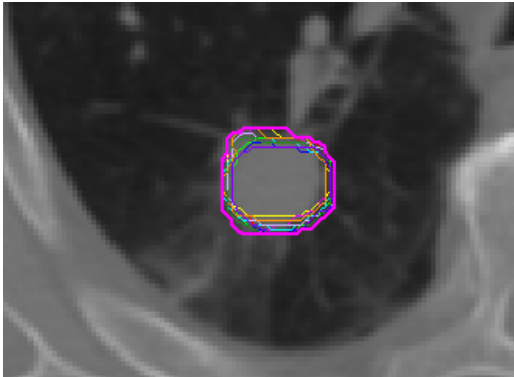
JIAN ZHANG, PH.D.,*†§ FUJUN YANG, M.D.,*†§ BAOSHENG LI, M.D., PH.D.,†§ HONGSHENG LI, PH.D.,†§
JING LIU, PH.D.,‡ WEI HUANG, M.D.,†§ DONGQING WANG, M.D.,†§ YAN YI, M.D.,†§
AND JUAN WANG, M.D.†§



Frameless SBRT/SABR



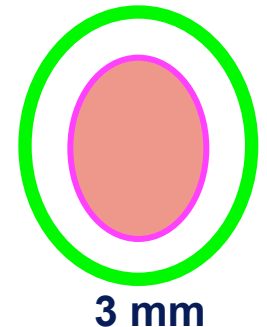
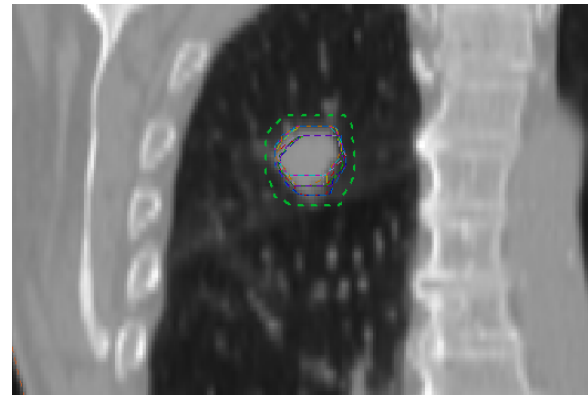
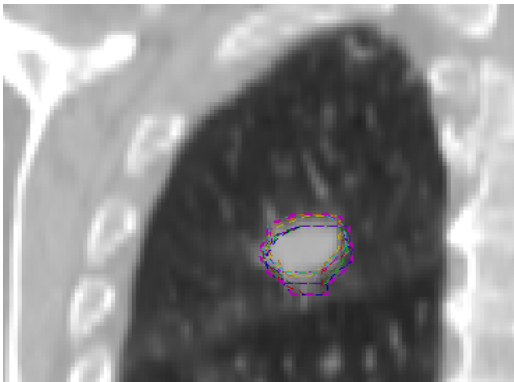
4D-CT Target Definition



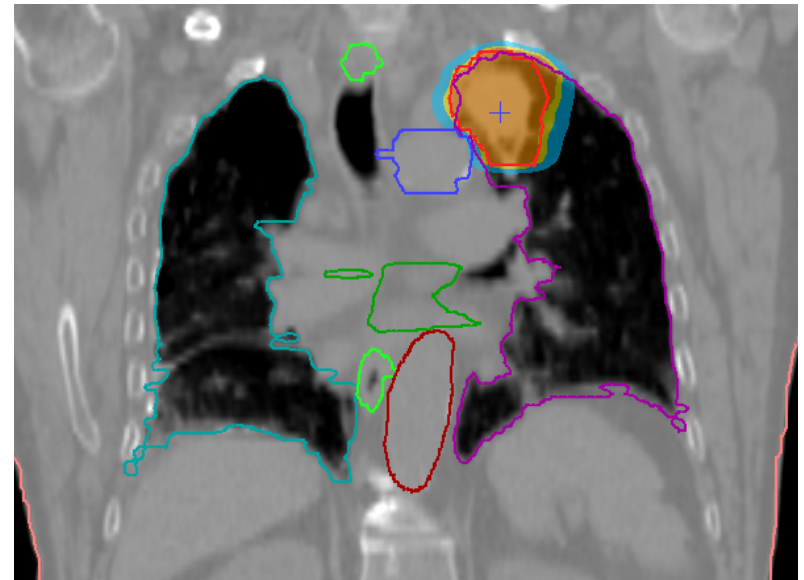
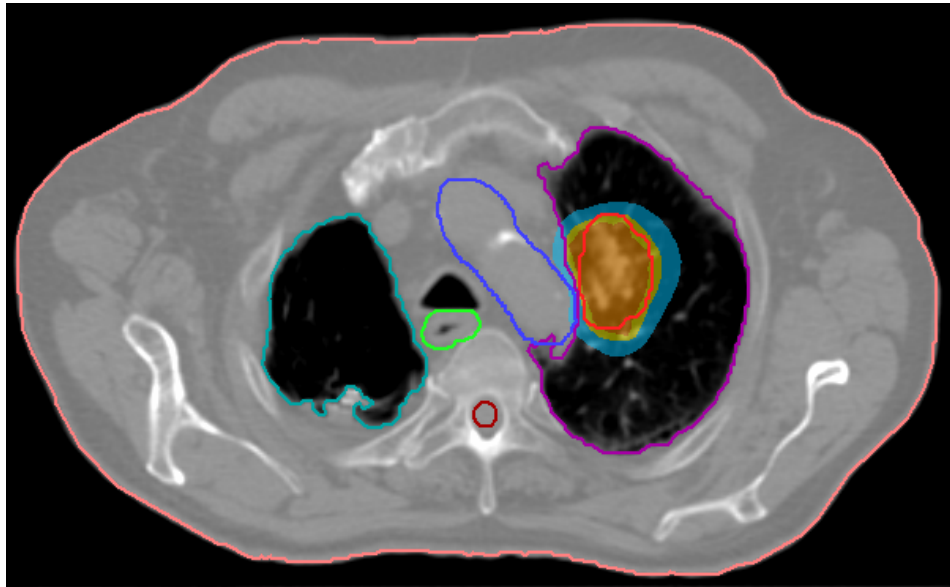
CTV = GTV

ITV₁₀ phases = ITV derived from contouring of all 10 phases of the 4DCT (CTV₁, CTV₂...CTV₁₀)

PTV = ITV + 3 mm isotropic



Volumetric Modulated Arc Therapy



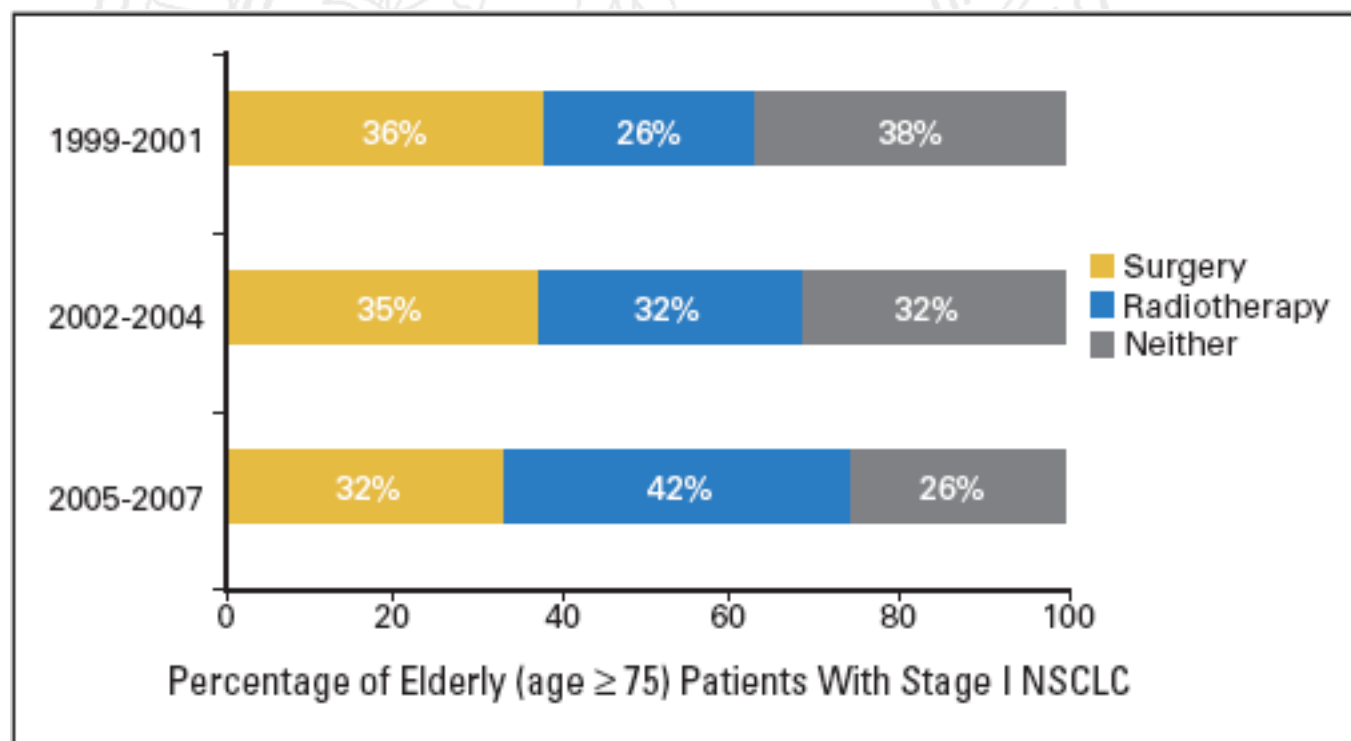
Stereotactic radiation therapy: changing treatment paradigms for stage I NSCLC

- In patients with stage I NSCLC where surgery is contraindicated, SBRT achieves superior survival as compared to conventionally fractionated RT
- In patients identified to be at high risk for surgical complications, SBRT appears to provide an effective alternative with low risks of hospitalization and 30-day mortality
- The role of SBRT in operable patients remains to be defined within randomized trials
- Future treatment algorithms should include individualized assessment of surgical risks, and the consideration of SBRT for high-risk patients, in order to develop a personalized treatment approach



Impact of Introducing Stereotactic Lung Radiotherapy for Elderly Patients With Stage I Non–Small-Cell Lung Cancer: A Population-Based Time-Trend Analysis

David Palma, Otto Visser, Frank J. Lagerwaard, Jose Belderbos, Ben J. Slotman, and Suresh Senan



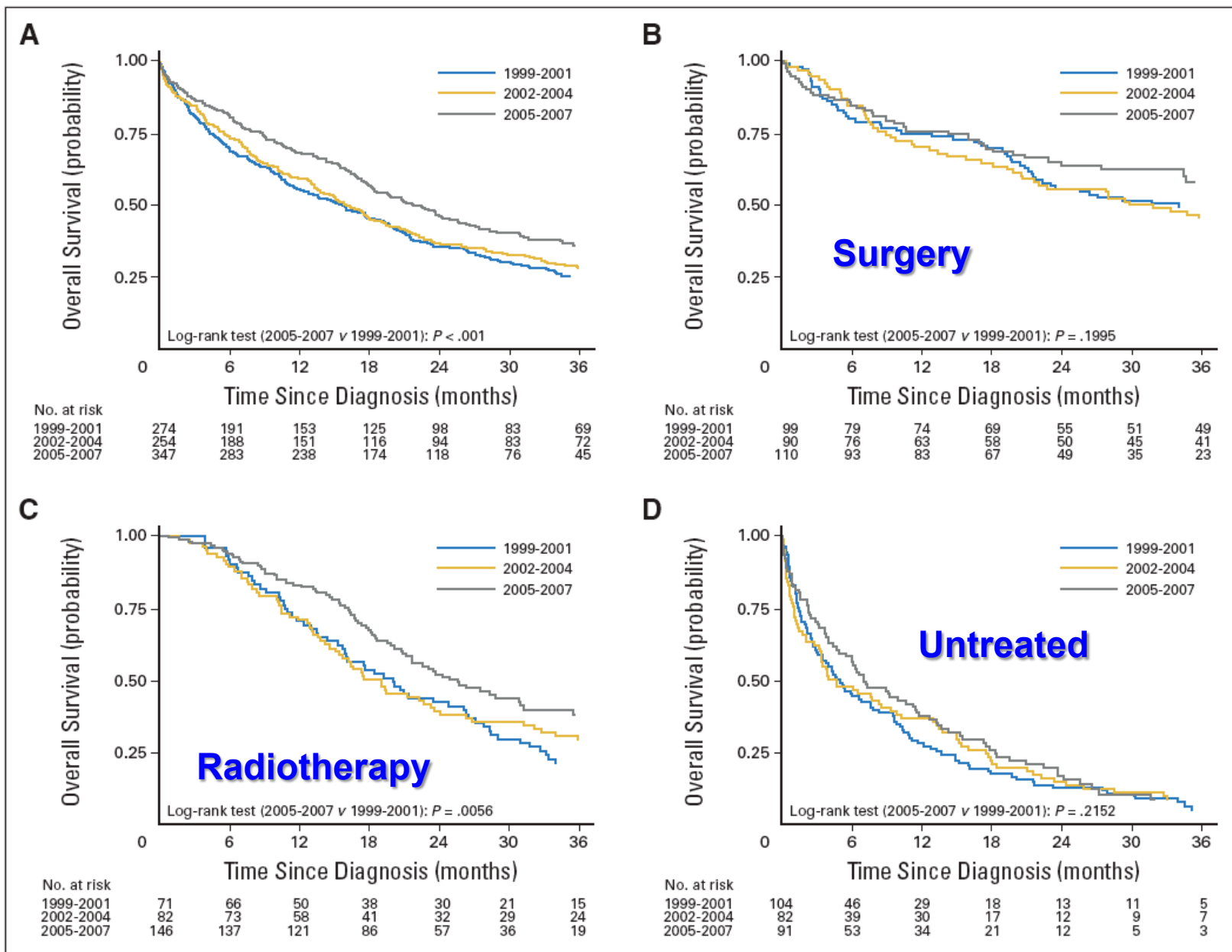


Fig 3. Overall survival for elderly (age ≥ 75 years) patients with stage I non-small-cell lung cancer by time period. (A) All patients; (B) patients treated with surgery; (C) patients treated with radiotherapy; (D) untreated patients.

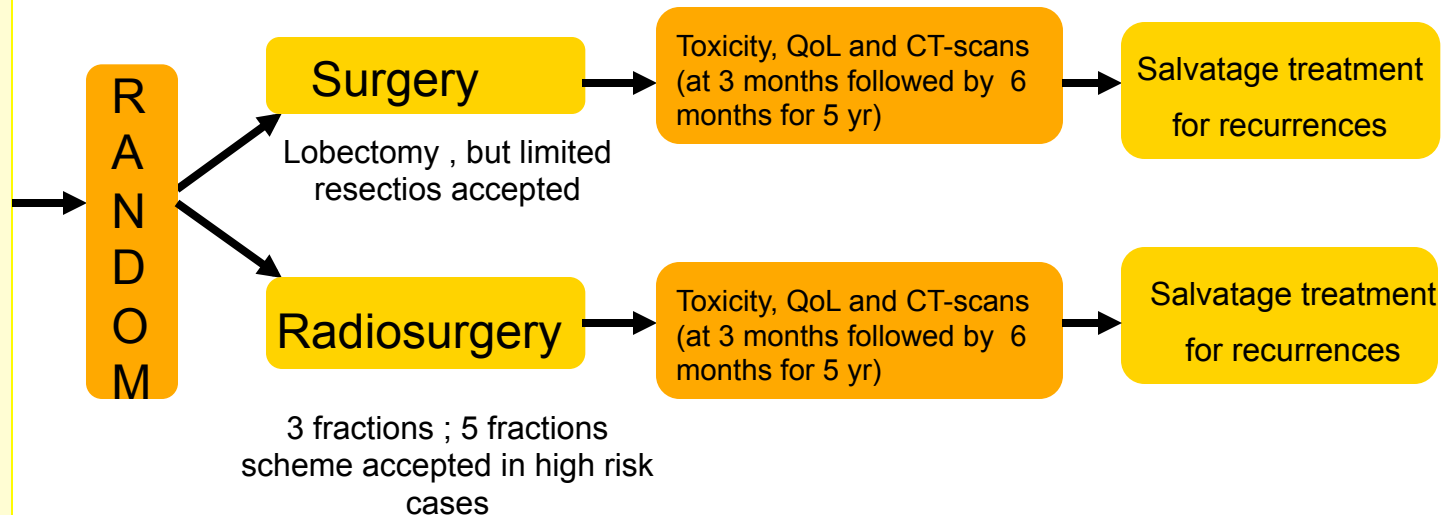


Dutch ROSEL Study

Radiosurgery Or Surgery in operable Early stage
non small cell Lung cancer

Patients

- Stage IA NSCLC
- Diagnosis based
 - cyto-pathology
 - clinical proof of malignancy



TERMINATED (poor recruitment)





SBRT Program

Umberto Ricardi
Andrea Filippi
Alessia Guarneri
Cristina Mantovani

Christian Fiandra
Francesca Romana Giglioli
Riccardo Ragona

