



Associazione Italiana Radioterapia Oncologica

# La radioterapia dopo terapia sistemica neoadiuvante: irradiazione delle catene linfonodali? Cons

#### Icro Meattini

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# BACKGROUND

Neoadjuvant chemotherapy is widely used in locally advanced breast cancer treatment.

It is increasingly used in women with early stage disease.

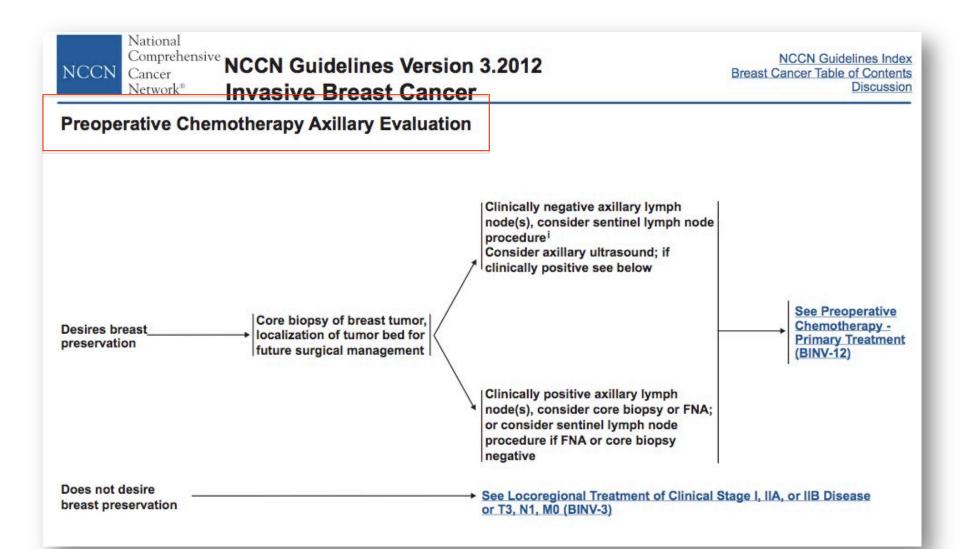
Equivalent survival outcomes to chemotherapy after upfront surgery.

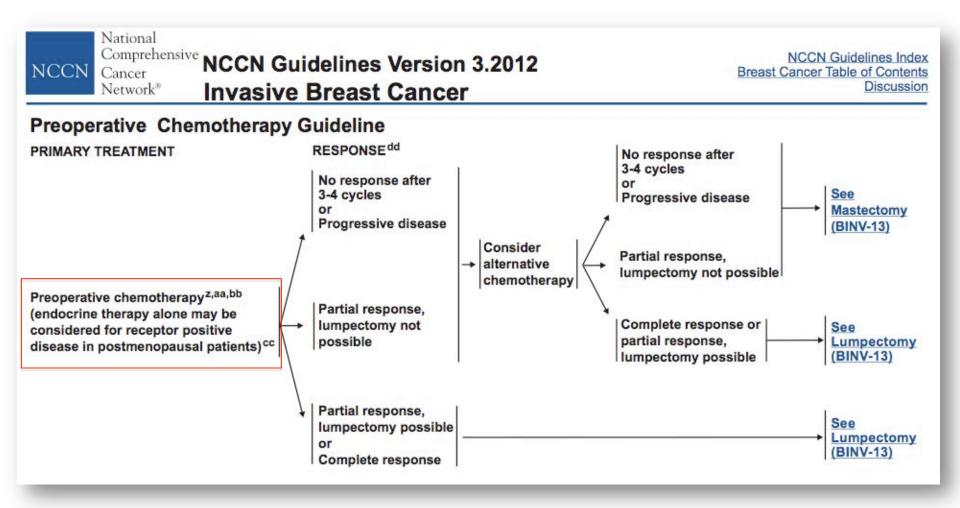
To observe tumor response and modify chemotherapy plan.

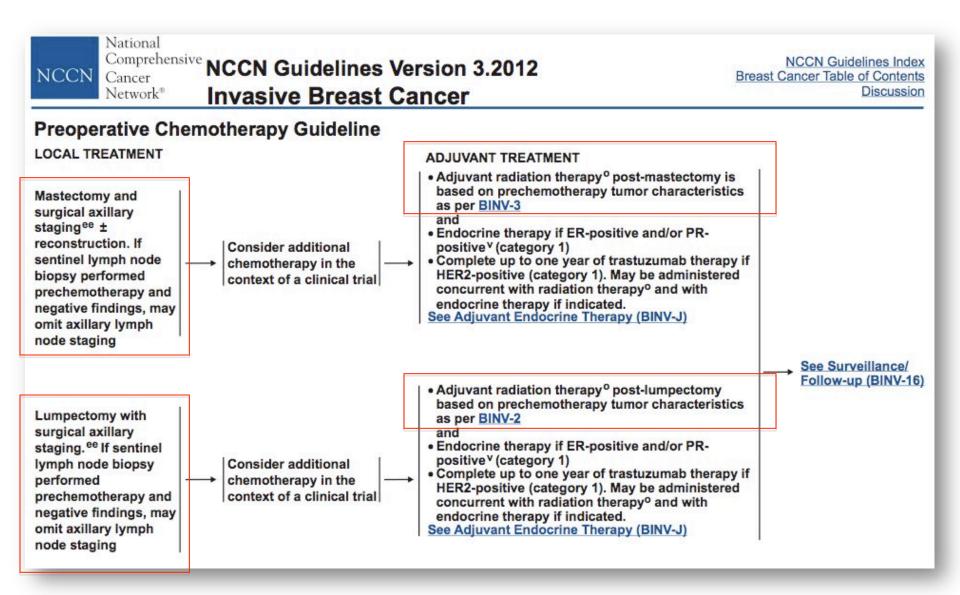
To decrease the tumor size and increase the number of patients who can undergo breast-conserving surgery rather than mastectomy.

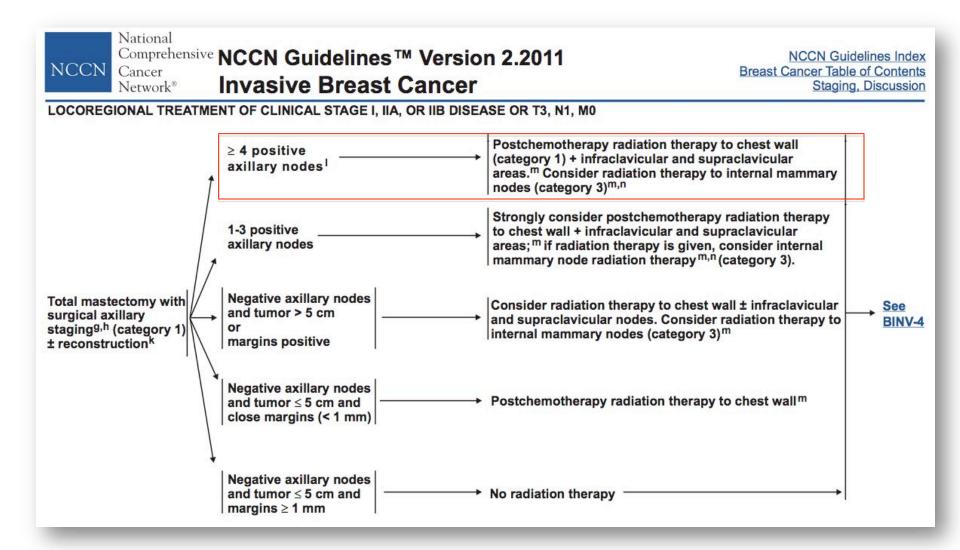
Oncologica

Hoffman KE, et al. Lancet Oncol, 2012









National Compreh NCCN Cancer

# ComprehensiveNCCN Guidelines™ Version 2.2011Cancer<br/>Network\*Invasive Breast Cancer

NCCN Guidelines Index Breast Cancer Table of Contents Staging, Discussion

LOCOREGIONAL TREATMENT OF CLINICAL STAGE I, IIA, OR IIB DISEASE OR T3, N1, M0

	≥ 4 positive <sup>I</sup> axillary nodes	Radiation therapy to whole breast with or without boost <sup>m</sup> (by photons, brachytherapy, or electron beam) to tumor bed (category 1), infraclavicular region and supraclavicular area. Consider radiation therapy to internal mammary nodes <sup>n</sup> (category 3). Radiation therapy should follow chemotherapy when chemotherapy indicated.	
Lumpectomy with surgical axillary staging (category 1) <sup>g,h,i</sup>	→ 1-3 positive axillary nodes	Radiation therapy to whole breast with or without boost <sup>m</sup> (by photons, brachytherapy, or electron beam) to tumor bed (category 1) following chemotherapy when chemotherapy indicated. Strongly consider radiation therapy to infraclavicular region and supraclavicular area (category 2B). Consider radiation therapy to internal mammary nodes <sup>n</sup> (category 3). Radiation therapy should follow chemotherapy when chemotherapy indicated.	<u>See</u> <u>BINV-4</u>
or	Negative axillary nodes	Radiation therapy to whole breast with or without boost <sup>m</sup> (by photons, brachytherapy, or electron beam) to tumor bed or consideration of partial breast irradiation (PBI) in selected patients. <sup>m,o</sup> Radiation therapy should follow chemotherapy when chemotherapy indicated. <sup>p</sup>	
Total mastectomy with sur staging <sup>g,h,j</sup> (category 1) ± r		See Locoregional Treatment (BINV-3)	
or			
If T2 or T3 and fulfills crite conserving therapy except		Consider Preoperative Chemotherapy Guideline (BINV-10)	

# BACKGROUND Postmastectomy Radiotherapy

The Danish and the British Columbia trials have established the **survival advantage** following radiotherapy in post mastectomy patients

> Overgaard M, et al. N Engl J Med, 1997 Ragaz J, et al. N Engl J Med, 1997

The EBCTCG meta-analysis has demonstrated that radiotherapy, besides improving local control rates, confers a survival benefit in breast conservation treatment as well as in post mastectomy patients

Poortmans PM, et al. IJROBP, 2001

# Postmastectomy Radiotherapy

The Danish and British Columbia trials and the EBCTCG metaanalysis were **unable** to discern the **direct contribution** from **internal mammary and medial supraclavicular** (IM-MS) nodes treatment.

**Survival gains** associated with improvements in loco-regional control may be **diminished** by **RT-associated cardiac mortality** and its implication in the radiotherapy plan.

Prosnitz RG, et al. Cancer 2007 Roychoudhuri R, et al. BMC Cancer 2007 Taylor CW, et al. Int J Radiat Oncol Biol Phys 2008 Harris EE. Cancer Control 2008

The EORTC 22922/10925 trial investigates therefore if elective irradiation of the IM-MS chain improves overall survival at 10 years.

Toxicity reported until year 3 after treatment.

Toxicity rates and performance status (PS) changes at three years were compared by  $\chi^2$  tests and logistic regression models in **3 866 patients**.

IM-MS irradiation seems well tolerated and **does not** significantly impair WHO PS at three years.

RAO

Patients characteristics: IM/MS treated versus No treated

None reported	775 (39.9)	786 (40.9)
yes	1169 (60.1)	1136 (59.1)
djvuant chemotherapy		
No chemotherapy	886 (45.6)	871 (45.3)
Adjuvant	243 (12.5)	242 (12.6)
Neo-adjuvant	815 (41.9)	809 (42.1)
djuvant treatment		
None	294 (15.1)	316 (16.4)
Chemotherapy	481 (24.7)	470 (24.5)
Hormonal therapy	592 (30.5)	555 (28.9)
Both	577 (29.7)	581 (30.2)

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#### Toxicity up to year three according to treatment arm

	No IM-MS (N=1944)	IM-MS (N=1922)	
	N (%)	N (%)	P-value
Lung Fibrosis (to year 3)*	17 (0.9)	54 (2.8)	< 0.0001
Cough	5 (0.3)	10 (0.5)	0.19
Dyspnoea	1 (0.1)	14 (0.7)	0.0007
Pneumonitis	1 (0.1)	13 (0.7)	0.0012
Pleuritis	5 (0.3)	2 (0.1)	0.26
Other lung toxicity	2 (0.1)	4 (0.2)	0.41
Any lung toxicity	26 (1.3)	83 (4.3)	<0.0001

Significant more LUNG FIBROSIS – DYSPNOEA – PNEUMONITIS with irradiation of the internal mammary and medial supraclavicular nodes

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Any toxicity (to year 3)	424 (21.8)	491 (25.5)	0.006
Other unspecified	0 (0.1)	0 (0.1)	
Arm or shoulder function impairment	8 (0.4)	1 (0.1)	
Fatigue	20 (1.0)	22 (1.1)	
Dysphagia	0 (0.0)	4 (0.2)	
Other pain	15 (0.8)	26 (1.4)	
Retrosternal pain	1 (0.1)	2 (0.1)	
Breast/chestwall pain	45 (2.3)	35 (1.8)	
Arm/hand	70 (3.6)	73 (3.8)	
Presternal	0 (0.0)	1 (0.1)	
Yes, unspecified	81 (4.2)	81 (4.2)	
Oedema			
Osteonecrosis	22 (1.1)	27 (1.4)	
Radionecrosis	2 (0.1)	1 (0.1)	
Breast Infection	4 (0.2)	3 (0.2)	
Mastitis	7 (0.4)	6 (0.3)	
Evidence of cardiac disease (to year 3)*	28 (1.4)	31 (1.6)	0.64
Cardiac fibrosis (to year 3)*	5 (0.3)	7 (0.4)	0.55

Influence of various parameters on WHO performance status deterioration

Deterioration by year 3	No Change/ improvement (N=3056)	Deterioration (N=285)			
	N (%)	N (%)	OR	95% CI	P-value
Any lung toxicity					
No	2972 (91.5)	276 (8.5)			
Yes	84 (90.3)	9 (9.7)	1.19	0.59-2.41	0.62
Lung Fibrosis (to year 3)					
No	2996 (91.5)	280 (8.5)			
Yes	56 (91.8)	5 (8.2)	1.02	0.40-2.59	0.96
Missing	4 (100.0)	0 (0.0)			
Cardiac Fibrosis (to year 3)					
No	3038 (91.4)	285 (8.6)			Too small
Yes	11 (100.0)	0 (0.0)			sample for
Missing	7 (100.0)	0 (0.0)			testing
Evidence of cardiac disease (to year 3)					
No	2989 (91.9)	265 (8.1)			
Yes	38 (76.0)	12 (24.0)	3.71	1.90-7.24	< 0.0001
Missing	29 (78.4)	8 (21.6)			

Further follow-up is required to confirm the absence of any deleterious impact of IM-MS treatment on cardiac function because late cardiac toxicity often appears 10 or even 15 years after treatment.

Prosnitz RG, et al. Cancer 2007 - Roychoudhuri R, et al. BMC Cancer 2007 Taylor CW, et al. Int J Radiat Oncol Biol Phys 2008 - Harris EE. Cancer Control 2008

Authors observed already a significant detrimental impact of the presence of cardiac disease on the PS of the patients.

Are sufficient 3 years of median follow-up in order to show that pulmonary fibrosis is not getting worse performance status?

Effect	OR	95% CI	[	P-value
Neo-Adjuvant chemotherapy Yes vs. No	0.30	0.18	0.52	<0.0001

## ANTHRACYCLINES AND CARDIAC TOXICITY

#### **Standard AC chemotherapy is associated with frequent decreases in LVEF**

(North Central Cancer Treatment Group N9831 Intergroup Adjuvant Trial)

1 458 patients

51.1% had  $\leq$  15% decrease in LVEF

2.5% had an LVEF decrease > 15%

6.6% Grade 2 LVEF toxicity

Perez EA, et al. JCO, 2004

#### Trastuzumab with paclitaxel after AC increases congestive heart failure (CHF)

(National Surgical Adjuvant Breast and Bowel Project trial B-31)

5 of 814 control patients subsequently had confirmed cardiac events (4 CHFs and 1 cardiac death) compared with 31 of 850 trastuzumab-treated patients (31 CHFs and no cardiac deaths)

#### The difference in cumulative incidence at 3 years was 3.3%

CHFs were more frequent in older patients and with marginal post-AC LVEF

Tan-Chiu E, et al. JCO 2005

## TRASTUZUMAB AND CARDIAC TOXICITY

**High incidence** of **congestive heart failure** (CHF) among patients who had received trastuzumab and anthracycline-based therapy simultaneously

4% rates of symptomatic or severe CHF

30% asymptomatic declines in LVEF (>10%)

Ewer MS, et al. Clin Breast Cancer, 2007

4% of patients enrolled onto the adjuvant trastuzumab trials experienced severe CHF during treatment

14% of patients in the NSABP B-31 trial **discontinued trastuzumab** because of asymptomatic decreases in LVEF

# Continued cardiac follow-up of these women is of critical importance

Telli ML, et al. JCO, 2007

#### TAXANES AND LUNG TOXICITY

#### <u>**Docetaxel**</u> $\rightarrow$ **pleural effusion** secondary to capillary leak syndrome

Piccart MJ, et al. J Clin Oncol, 1997

**<u>Paclitaxel</u>** is associated with pulmonary toxicity

Khan A, et al. Ann Pharmacother, 1997 Ramanathan RK, et al. Chest, 1996

**Pulmonary infiltrates** have been reported **48 hours** after initiation of treatment Docetaxel-induced **pneumonitis**  $\rightarrow$  long duration of symptoms; in some cases pulmonary toxicity has been fatal

Read WL, et al. Cancer, 2002

Mileshkin L, et al. Bone Marrow Transplantation, 2001

Docetaxel could increase **reactive oxygen metabolites** production with direct lung injury

**Immunomodulatory** effects of taxanes, with the resulting pulmonary insult lasting the lifespan of the leukocytes

Merad M, et al. Ann Oncol, 1997

To identify the clinical and pathologic factors predictive of locoregional recurrence (LRR) after **neoadjuvant chemotherapy**, **mastectomy**, **and radiotherapy**.

542 patients treated on six consecutive institutional prospective trials.

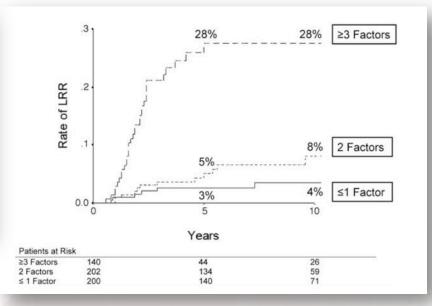
Stage II in 17%, Stage IIIA in 30%, Stage IIIB in 43%, and Stage IV (ipsilateral supraclavicular disease) in 10%.

Median follow-up was 70 months.

5-year and 10-year actuarial LRR rate was 9% and 11%, respectively.

Huang EH, et al. IJROBP, 2005

Factor	Hazard ratio	95% Confidence interval	р
Skin or nipple involvement	2.8	1.5-5.2	0.001
Supraclavicular nodal involvement	2.7	1.3-5.6	0.009
No tamoxifen use	2.7	1.2-6.0	0.019
Extracapsular extension	2.1	1.1-4.0	0.020
Estrogen receptor negative disease	2.1	1.2–3.7	0.013



Multivariate analysis of locoregional recurrence

Locoregional recurrence (LRR) rates according to number of independent risk factors

28% vs 3% at 5 years (III vs I factors) 28% vs 4% at 10 years (III vs I factors)

Huang EH, et al. IJROBP, 2005

150 cases treated on prospective institutional trials with neoadjuvant chemotherapy and mastectomy without postmastectomy radiation.

Median follow-up 4.1 years.

The 5- and 10-year actuarial rates of LRR were both 27%.

The relatively small number of LRR events in this series **does not allow us to make conclusive statements** regarding the **appropriate treatment volume** that should be included in postmastectomy radiation fields. It has been our **philosophy** to treat with comprehensive fields that include the chest wall and draining lymphatics.

Radioterapia Oncologica Bucholz TA, et al. IJROBP, 2002 Bucholz TA, et al. JCO 2002

	5-Year		Crude R	Rate		
Factor	Rate (%)	Р	No.	%	Sites of Failure	
Clinical stage						
1	0*	< .0001	0/1	0		
IIA	5		1/21	5	CW-1, SCF-0, AX-0, ICF-0, I	IMC-0
IIB	16		5/44	11	CW-5, SCF-2, AX-1, ICF	IMC-0
IIIA	17		5/35	14	CW-3, SCF-2, AX-1, ICF-, I	IMC-1
IIIB	50		16/38	42	CW-14, SCF-5, AX-3, IC-0,	, IMC-0
IV	79		7/11	64	CW-4, SCF-3, AX-1, ICF-, I	IMC-0
Clinical T stage, pathologic LN status						
T1-2, negative LN	5	.004	1/19	5	CW-1, SCF-0, AX-0, ICF-0, I	IMC-0
T3-4, negative LN	34		6/23	26	CW-4, SCF-4, AX-1, ICF-0, I	IMC-0
T1-2, positive LN	13		4/42	10	CW-2, SCF-2, AX-1, ICF-, I	IMC-0
T3-4, positive LN	36		21/64	33	CW-18, SCF-5, AX-4, IC-1,	, IMC-1
Pathologic T size, pathologic LN status						
≤ 2.0 cm, negative LN	10	.002	2/21	10	CW-2, SCF-1, AX-0, ICF-0, I	IMC-0
2.1-5.0 cm, negative LN	49		3/14	21	CW-1, SCF-2, AX-1, ICF-0, I	IMC-0
> 5.0 cm, negative LN	20		1/5	20		IMC-0
≤ 2.0 cm, positive LN	20		9/52	17		IMC-0
2.1-5.0 cm, positive LN	30		11/41	27	CW-8, SCF-5, AX-3, ICF-8, I	IMC-1
> 5.0 cm, positive LN	63		5/9	55		IMC-0

### 3/97 internal mammary recurrences at 10 years 3.1 %

Bucholz TA, et al. IJROBP, 2002; Bucholz TA, et al. JCO 2002

Predictors of Locoregional Recurrence After Neoadjuvant Chemotherapy: Results From Combined Analysis of National Surgical Adjuvant Breast and Bowel Project B-18 and B-27

Patterns and predictors of LRR as first event in **combined analysis** of two National Surgical Adjuvant Breast and Bowel Project (NSABP) neoadjuvant trials.

NC was either doxorubicin/ cyclophosphamide (AC) alone or AC followed by neoadjuvant/adjuvant docetaxel. Lumpectomy patients received breast radiotherapy alone; mastectomy patients received no radiotherapy.

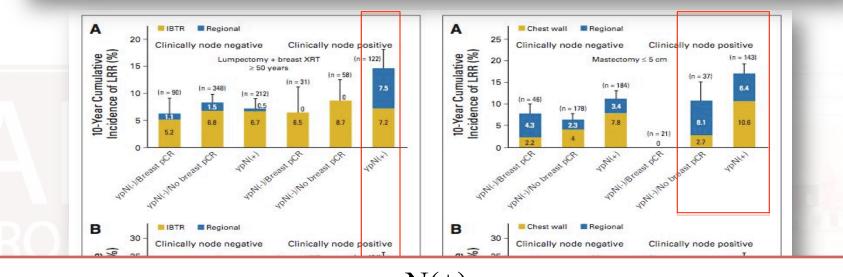
In 3,088 patients, 335 LRR events had occurred after 10 years of followup.

The 10-year cumulative incidence of LRR was 12.3% for mastectomy patients (8.9% local; **3.4% regional**) and 10.3% for lumpectomy plus breast radiotherapy patients (8.1% local; **2.2% regional**).

Mamounas EP, et al. JCO, 2012

#### Predictors of Locoregional Recurrence After Neoadjuvant Chemotherapy

Variable	No. of Patients	LRR Events	HR	95% CI	Р
Patients treated with mastectomy*	1,071	131			
Clinical tumor size > 5 $v \le 5$ cm <sup>+</sup>			1.58	1.12 to 2.23	.0095
Clinical nodal status cN(+) v cN(-)†			1.53	1.08 to 2.18	.017
Nodal/breast pathologic status					< .001
<pre>ypN(-)/no breast pCR v ypN(-)/breast pCR†</pre>			2.21	0.77 to 6.30	
ypN(+) v ypN(-)/breast pCR†			4.48	1.64 to 12.21	
Patients treated with lumpectomy plus breast XRT*	1,890	189			
Age $\geq$ 50 v < 50 years†			0.71	0.53 to 0.96	.025
Clinical nodal status cN(+) v cN(-)+			1.70	1.26 to 2.31	< .001
Nodal/breast pathologic status					< .001
ypN(-)/no breast pCR v ypN(-)/breast pCR†			1.44	0.90 to 2.33	
ypN(+) v ypN(-)/breast pCR†			2.25	1.41 to 3.59	



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Mamounas EP, et al. JCO, 2012

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## Predictors of Locoregional Recurrence After Neoadjuvant Chemotherapy

In patients treated with NC, age, clinical tumor characteristics before NC, and pathologic nodal status/breast tumor response after NC can be used to predict risk for LRR and to optimize the use of adjuvant radiotherapy.

Lack of information on ER, PgR and HER2/neu status.

Pathologic response in the breast and pathologic axillary nodal status have a major impact on the rates and patterns of LRR.

The impact of age, clinical tumor size, and clinical nodal status on the absolute LRR rates are **low** if a patient achieves a pCR in the breast with pathologically negative axillary nodes.

Mamounas EP, et al. JCO, 2012

## Role of Postmastectomy Radiation After Neoadjuvant Chemotherapy in Stage II-III Breast Cancer

Critical review was an initiative of the Athena Breast Health Network.

To identify a cohort of women treated with neoadjuvant chemotherapy and mastectomy for whom post-mastectomy radiation may be omitted based on the risk of local- regional failure.

Initial c	clinical			Adverse risk factors	5
sta	ge	Pathologic stage	Patient age (y)	LVI, ECE, TN	LRF $\pm$ DM (%)
low risk	(≤10% LI	RF risk)			
Ι	<b>T1N0</b>	ypN0 or 1-3+	Any	None	Insufficient data
I-II		pCR	Any	Any or none	6
IIA	T2N0	pCR	Any	Any or none	0
	T1N1	ypN0	Any	None or TN	0-7
		ypN1-3+	≥35-40	None	4-5
IIB	T2N1	pCR	Any	None or any	0
		ypN0	≥35-40	None or TN	0-7
		ypN1-3+	≥35-40	None	4-5
	T3N0	pCR	Any	None or any	0
IIIA	T3N1	ypN0		Any	9
		1. A. 4.2		2 1 Ban	Limited data

Fowble BL, et al. IJROBP, 2012

# CONCLUSIONS

Randomized trials have established which patients might benefit from postmastectomy radiotherapy after upfront surgery.

No randomized trials exist to define which women benefit from postmastectomy radiotherapy after neoadjuvant chemotherapy.

From non-randomized data we can obtain who can be spared from the morbidity of radiation treatment.

Disease at presentation and response (T3-T4 clinical disease at presentation, clinical N2-N3 at presentation) to neoadjuvant chemotherapy (lymph-node-positive disease at resection) can be used to tailor post-mastectomy radiotherapy recommendations.

Also selected patients with clinical stage II disease (young age, triple negative, poor response to chemotherapy).

# CONCLUSIONS

Loco-regional nodal irradiation **increased** treatment-related toxicity (mostly lung fibrosis).

Not all the patients that underwent neoadjuvant chemotherapy seems to benefit from postsurgical radiotherapy.

**No prospective data** are available concerning direct comparison between nodal irradiation versus exclusive thoracic wall irradiation.

T1-T2 and node-negative at resection patients should omit postsurgical radiotherapy.

Randomized trials are needed to asses whether post-mastectomy radiotherapy could be safely omitted in women with good response to chemotherapy.

# **CONCLUSIONS – OPEN QUESTIONS**

## CARDIOTOXICITY

- TAXANES
- TRASTUZUMAB
- ANTHRACYCLINES

## MATURE RESULTS FROM EORTC TRIAL 22992 - TOXICITY IN SUPRACLAVICULAR AND INTERNAL MAMMARY IRRADIATION

## IMPACT OF HER2 STATUS IMPACT OF TRIPLE NEGATIVE STATUS

## APPROPRIATENESS→MULTIFACTORS EVALUATION NOT SINGLE-FACTOR DECISION MAKING



Thanks for your attention...