



**VI ZOOM Journal Club 2016**

**Bologna, 17 Febbraio 2017**

**NH Hotel De La Gare**

B. Meduri

# Immunoterapia

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Policlinico



**Cancer and immune system**

**PD-1/PD-L1 pathways**

**RT and immunotherapy**

**Clinical trials in breast cancer**

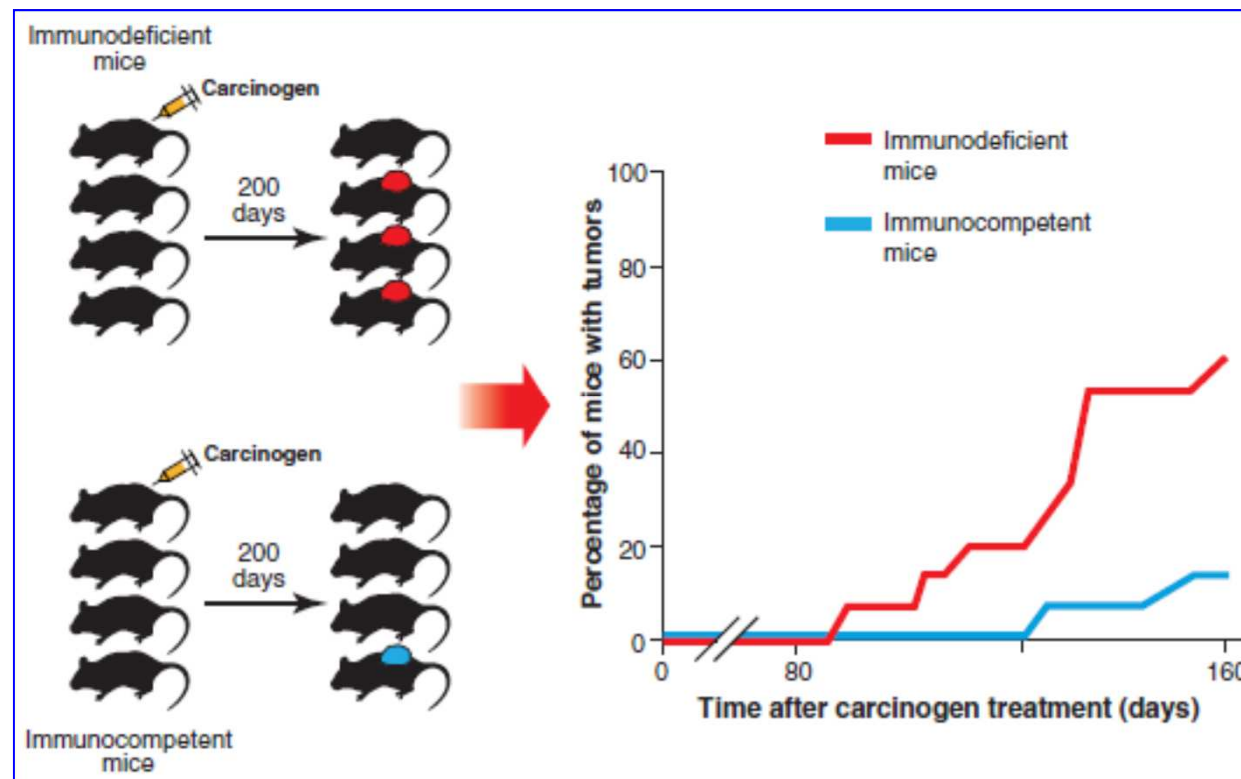
# Cancer and immune system

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JNCI Journal of the National Cancer Institute

Effect of Host Immune Capability on Radiocurability and Subsequent Transplantability of a Murine Fibrosarcoma<sup>2</sup>

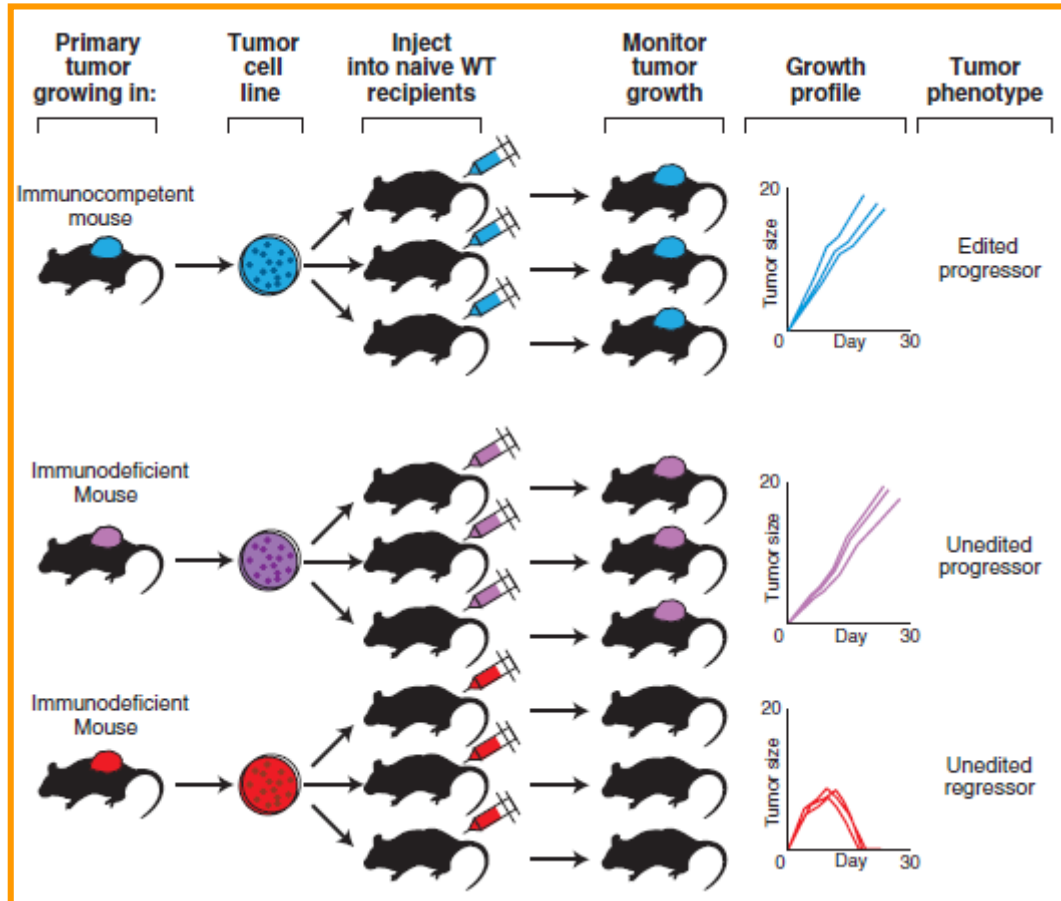
Degree of immunocompetence of the host influence the response to cancer therapy



# Cancer and immune system

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## Cancer Immunoediting



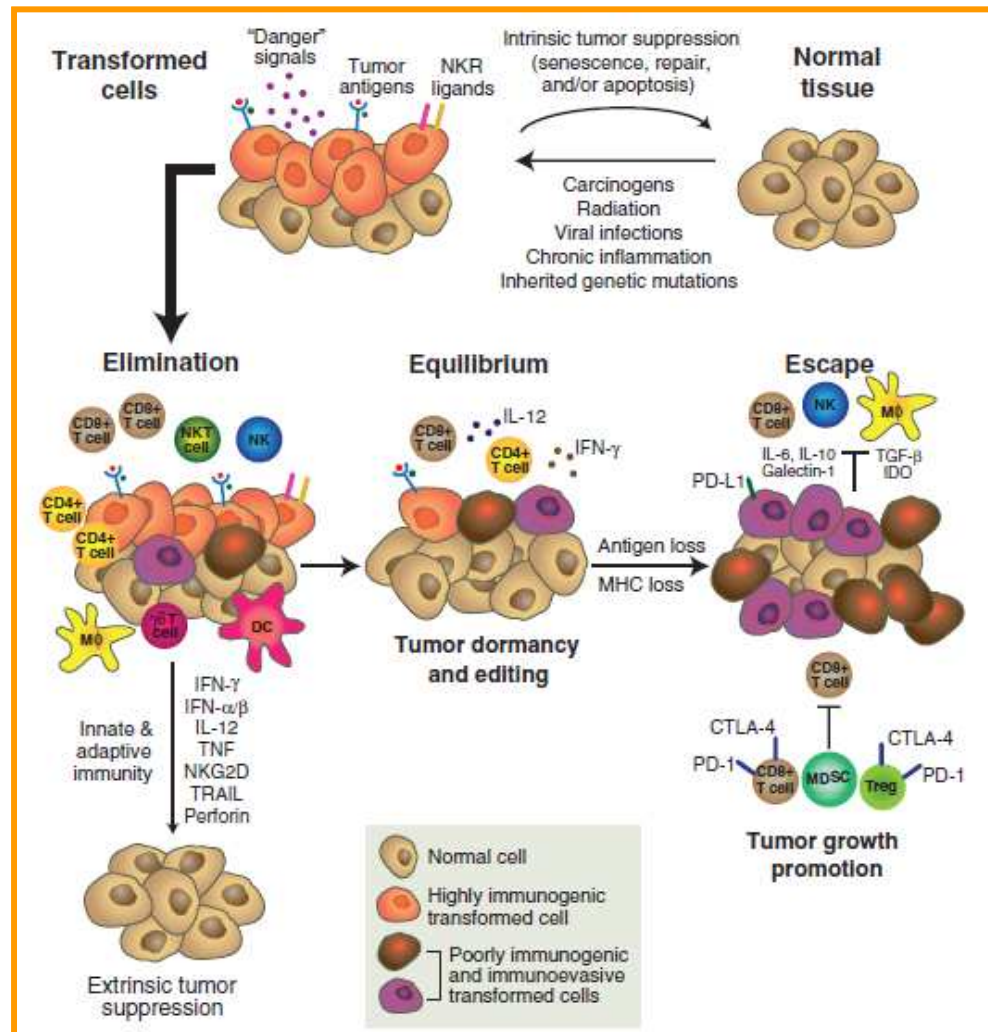
Immune system controls not only tumor quantity but also tumor quality (**immunogenicity**)

Tumors formed in mice that lacked an intact immune system are more immunogenic (**unedited**) than similar tumors derived from immunocompetent mice (**edited**)

# Cancer and immune system

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## Cancer Immunoediting



**Elimination:** *innate* and *adaptive* immunity work together to destroy developing tumors before they become clinically apparent

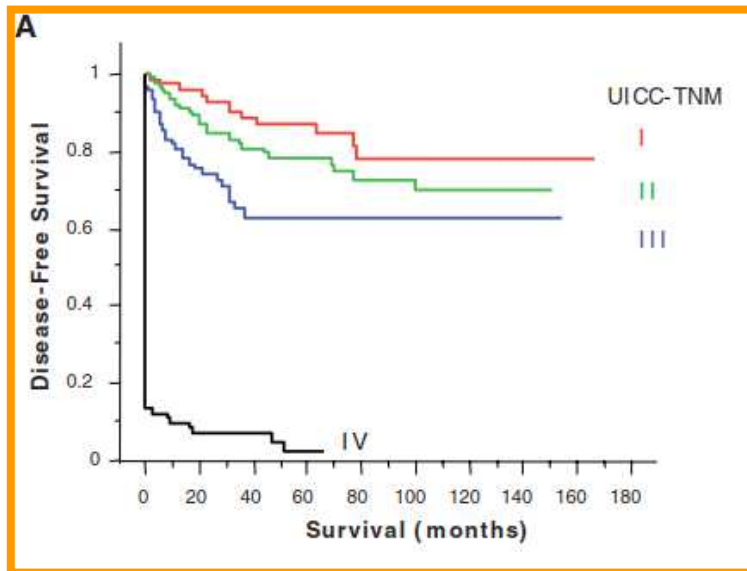
**Equilibrium:** *adaptive immune system* prevents tumor cell outgrowth and also sculpts the immunogenicity of the tumor cells; maintains residual tumor cells in a functional state of dormancy

**Escape:** tumor cells that have acquired the ability to circumvent immune recognition and/or destruction emerge as progressively growing, visible tumors

# Cancer and immune system

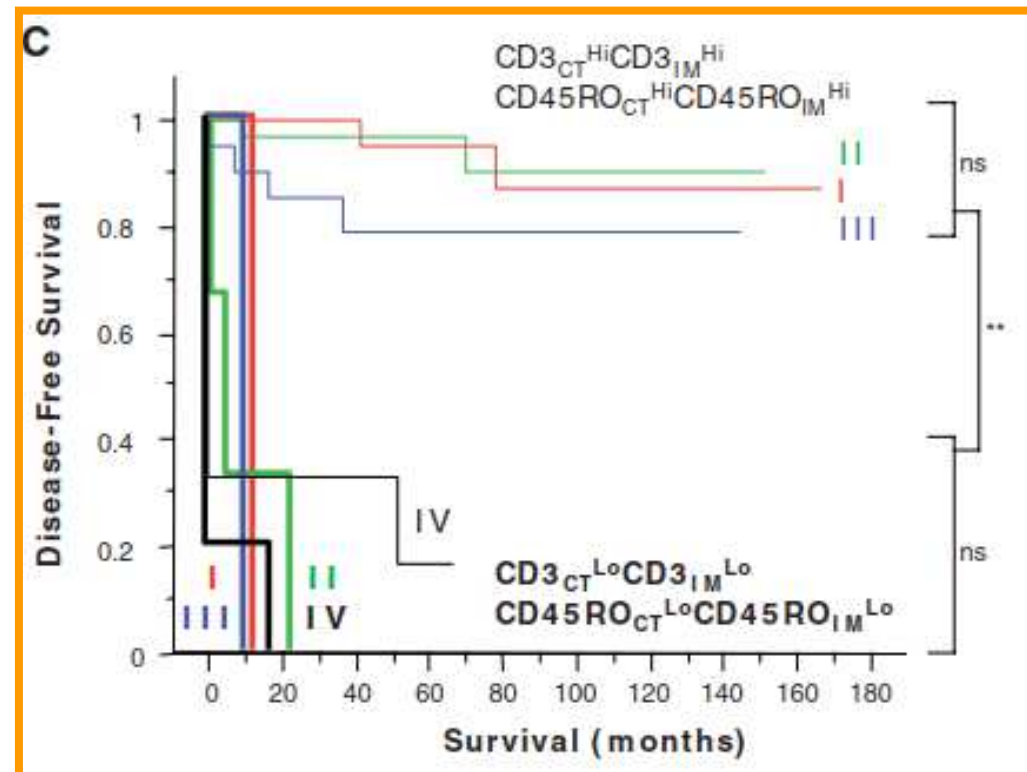
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## Immune cells predict clinical outcome



**Immunological data:** type, density, and location of immune cells within the tumor samples

**Adaptive immune response influences the behavior of human tumors**

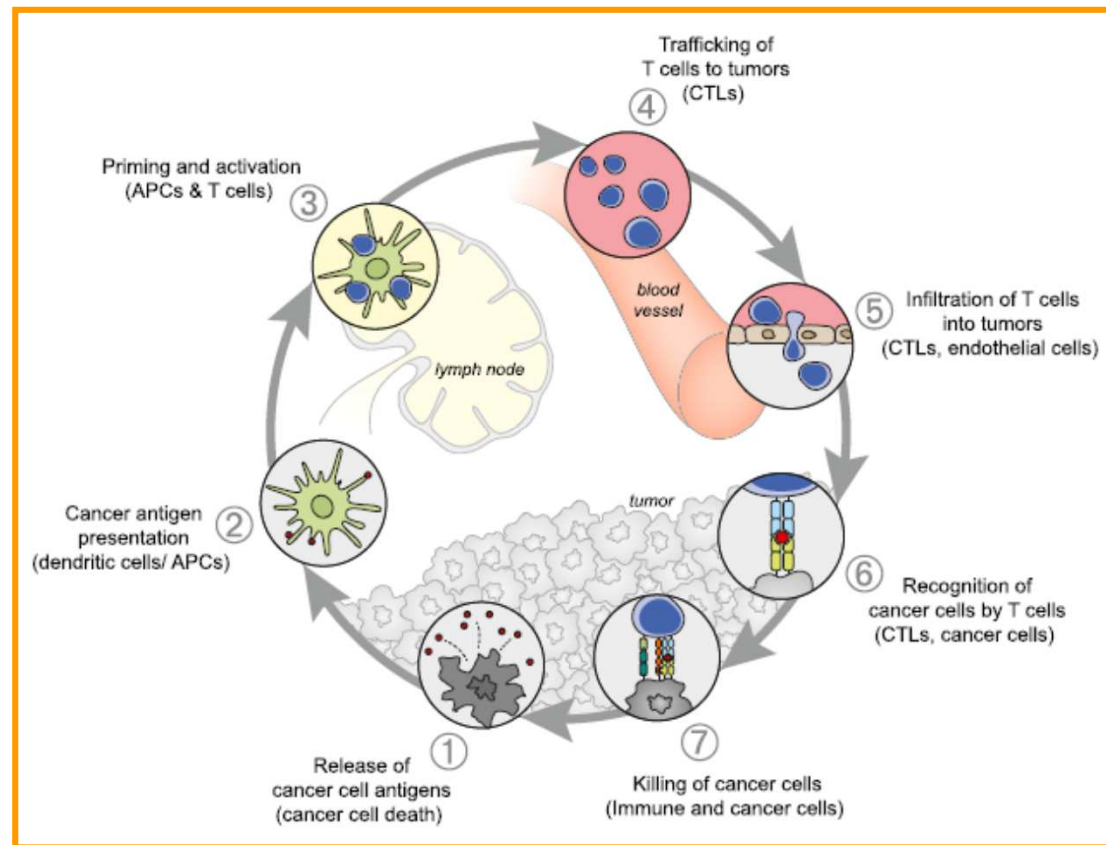


# Cancer and immune system

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## Cancer-Immunity Cycle

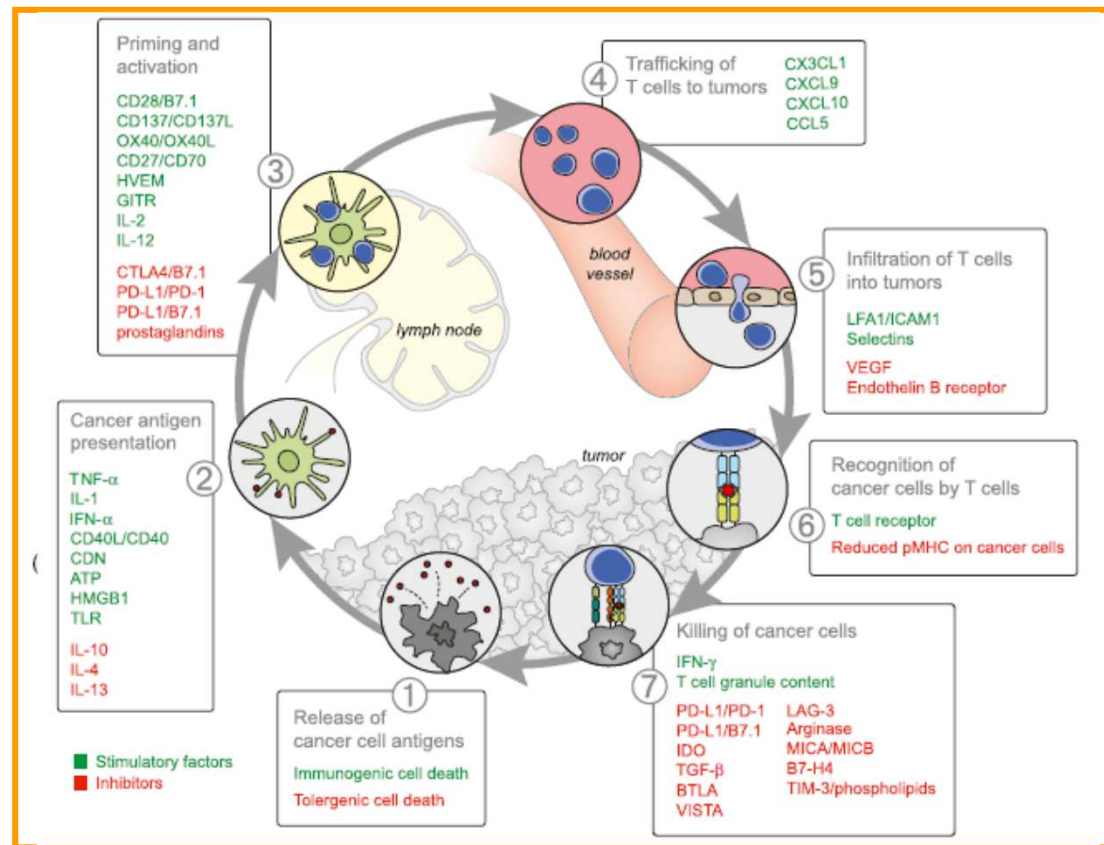
For an anticancer immune response to lead to effective killing of cancer cells, a series of stepwise events must be initiated and allowed to proceed and expand iteratively



# Cancer and immune system

## Cancer-Immunity Cycle

Each step of the Cancer-Immunity Cycle requires the coordination of numerous factors, both stimulatory and inhibitory





**Cancer and immune system**

**PD-1/PD-L1 pathways**

**RT and immunotherapy**

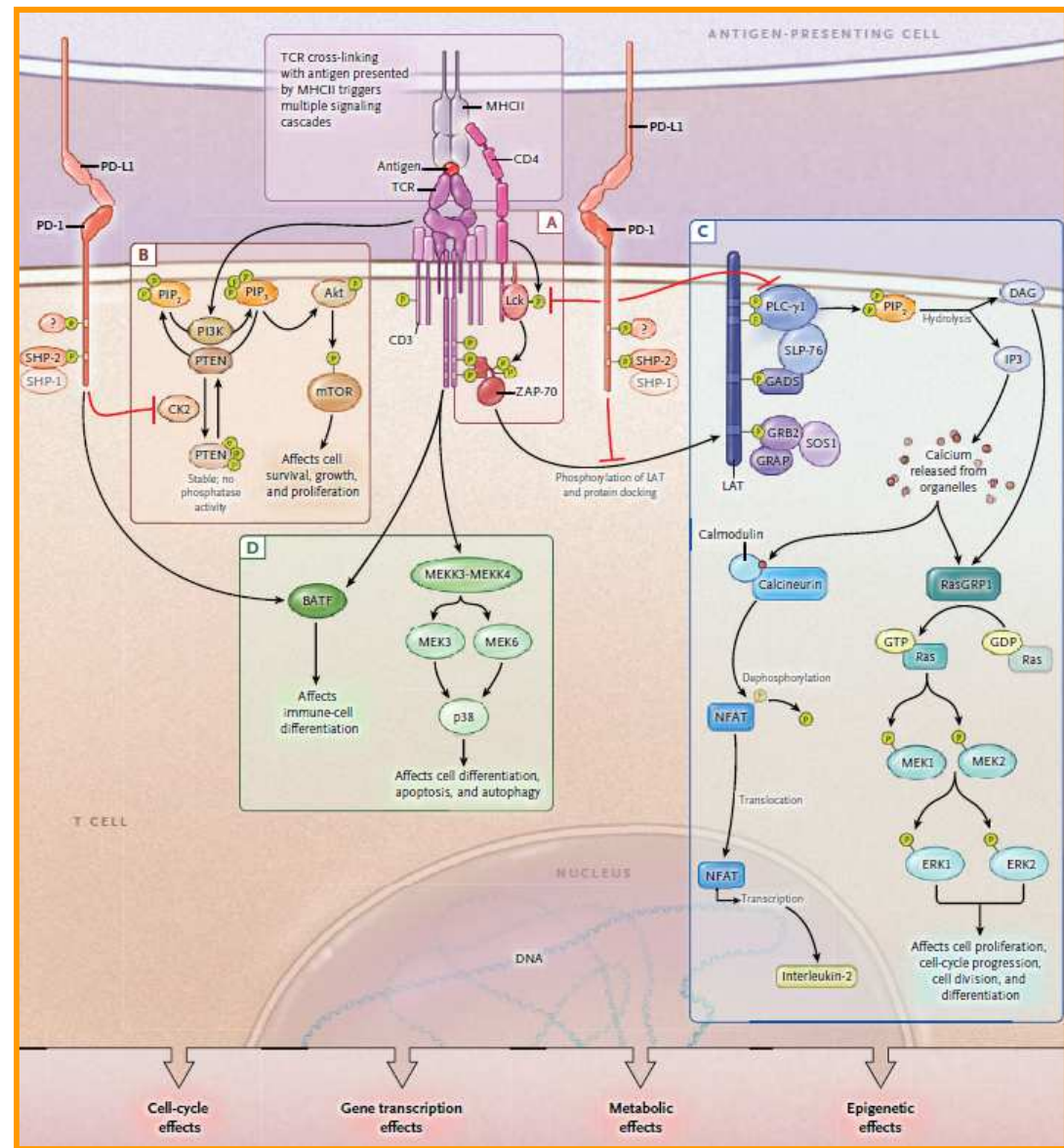
**Clinical trials in breast cancer**

# PD-1/PD-L1 pathways

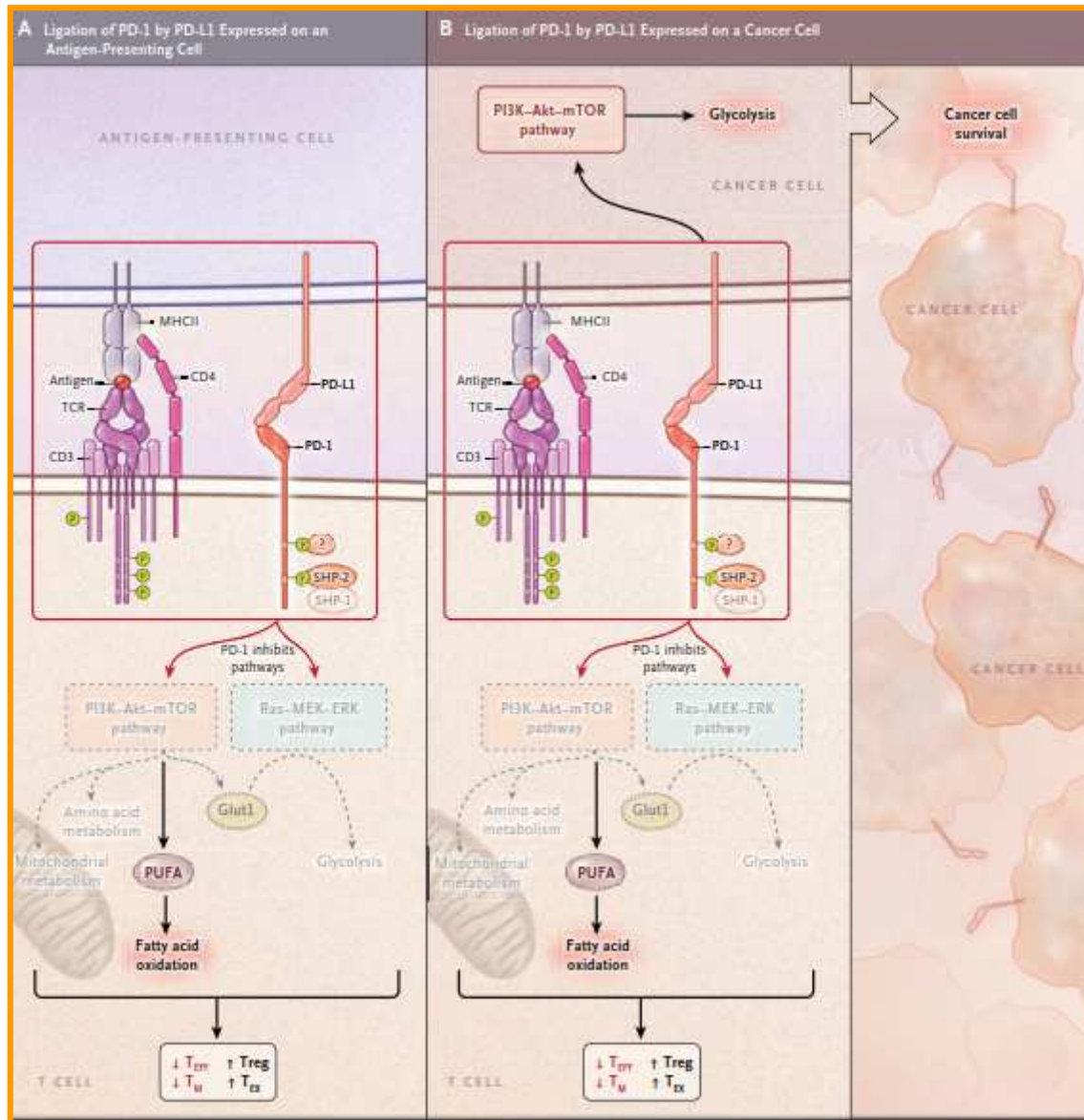
## PD-1 Signaling Pathways in T Cells

TCR crosslink with antigen presented by MHC I-II molecules  
 → tyrosines of the cytoplasmic tail of *PD-1 phosphorylated*  
 Activation of the *PI3K–Akt–mTOR* pathway and activation of the Ras–*MEK–ERK* pathway are *inhibited*.  
 Other signaling events, such as the activation of the p38 pathway, remain unaffected or enhanced

The imbalanced activation of signaling pathways *alters cell-cycle progression, gene transcription, metabolism, and epigenetic programs in T cells.*



# PD-1/PD-L1 pathways



## Alteration of metabolism by the PD-1 Checkpoint Pathway

PD-1-PD-L1 alters T-cell metabolic: inhibit glycolysis, AA and mitochondon metabolism, promoting the accumulation of PUFA and activation of fatty acid oxidation

*T<sub>eff</sub> and T<sub>m</sub> → T<sub>reg</sub> and T<sub>ex</sub>*

**In cancer cells**, expression of PD-L1 might result in increased levels of PI3K–Akt–mTOR activation and an elevated rate of tumor-intrinsic glycolysis as a consequence of *improved survival*

# PD-1/PD-L1 pathways

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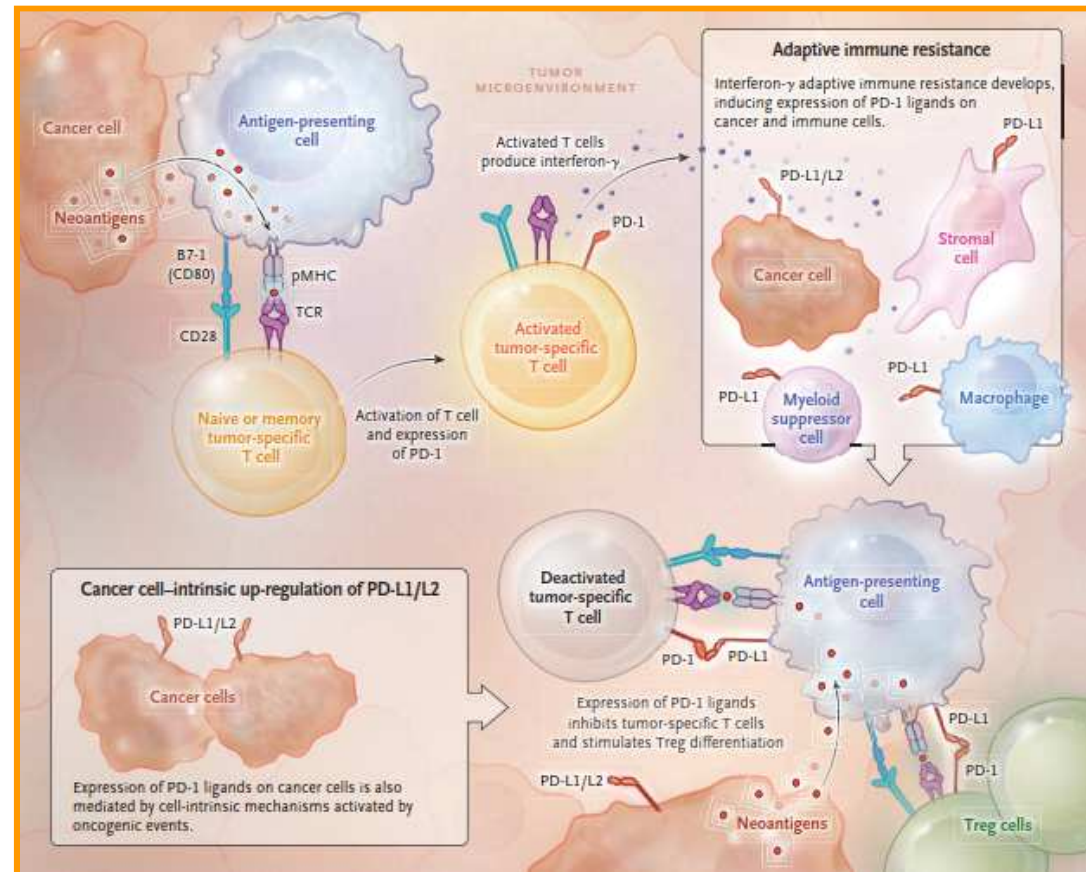
## Biologic Aspects of PD-1 – PD-L1/L2 for Cancer Therapy

Expression of **PD-L1** on tumor through various mechanisms:

Cell-intrinsic mechanisms that are activated by oncogenic events (activation of EGFR, MAPK, or PI3K–Akt pathways)

By inflammatory cytokines (interferon- $\gamma$ )

Targeting of the PD-1 checkpoint pathway results in an *expansion* of oligoclonal populations of *tumor-infiltrating CD8+ T cells*



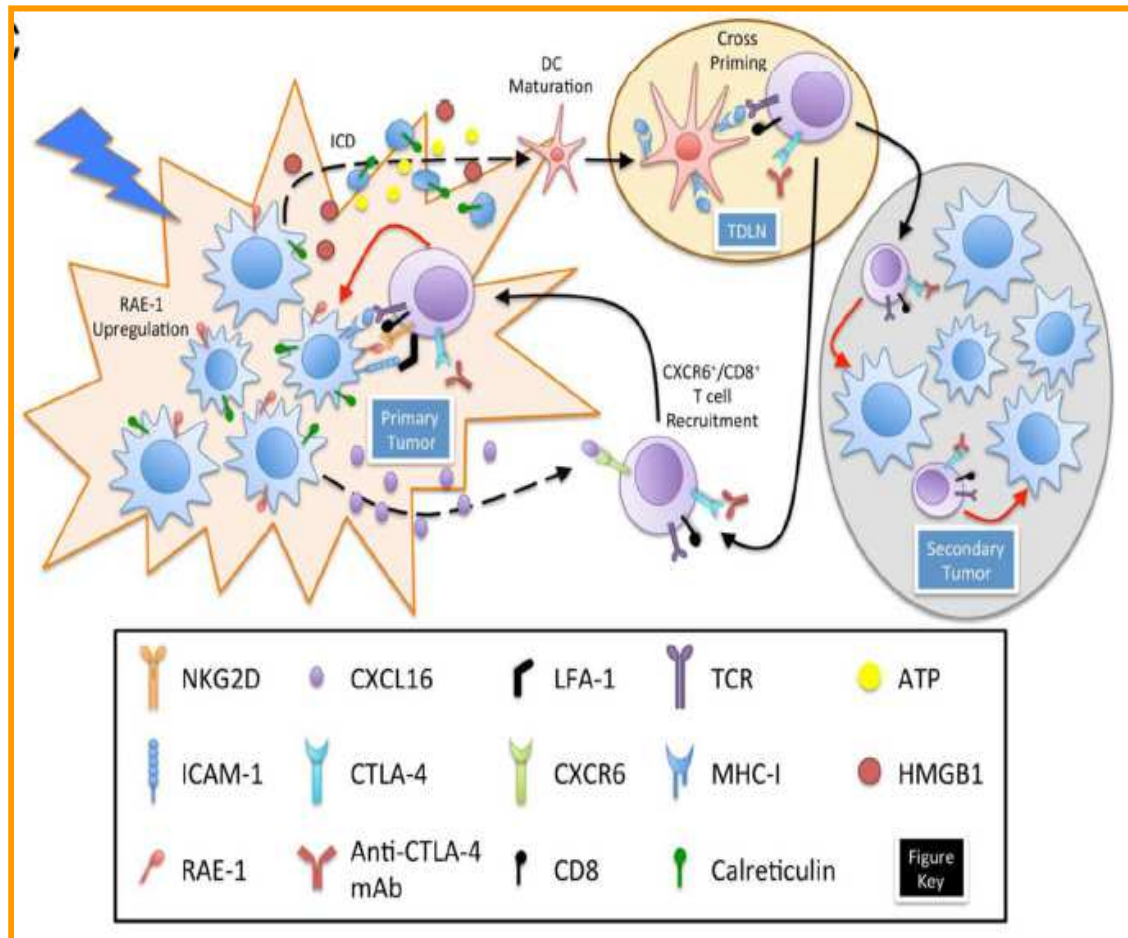
**Cancer and immune system**

**PD-1/PD-L1 pathways**

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## Tumor Rejection by the immune system: “5<sup>th</sup> R” of radiobiology



### Immunogenic cell death

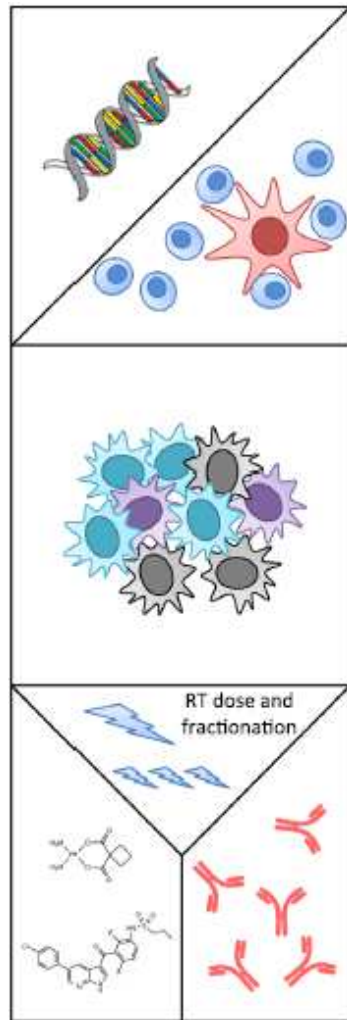
Cell surface translocation of **calreticulina** (DC “eat-me” signal)

**HMGB1**: immune system's *nuclear weapon*

(nuclear protein, acts as a cytokine and danger-associated molecular pattern protein that mediates responses to infection, injury and inflammation)

**ATP release**: involves the autophagic machinery, activation of the DC inflammasome → IL-1

## Immunogenic cell death



### Host characteristics

SNP: TLR4 (reduce the binding of HMGB1 to TLR4, inhibiting HMGB1-dependent DC cross-presentation); P2XR7 (ATP acts on P2XR7 of DC's and triggers inflammasome  $\rightarrow$  IL-1- $\beta$ .  $\rightarrow$  activation CD8+), Intestinal Microbiota

### Tumor characteristics

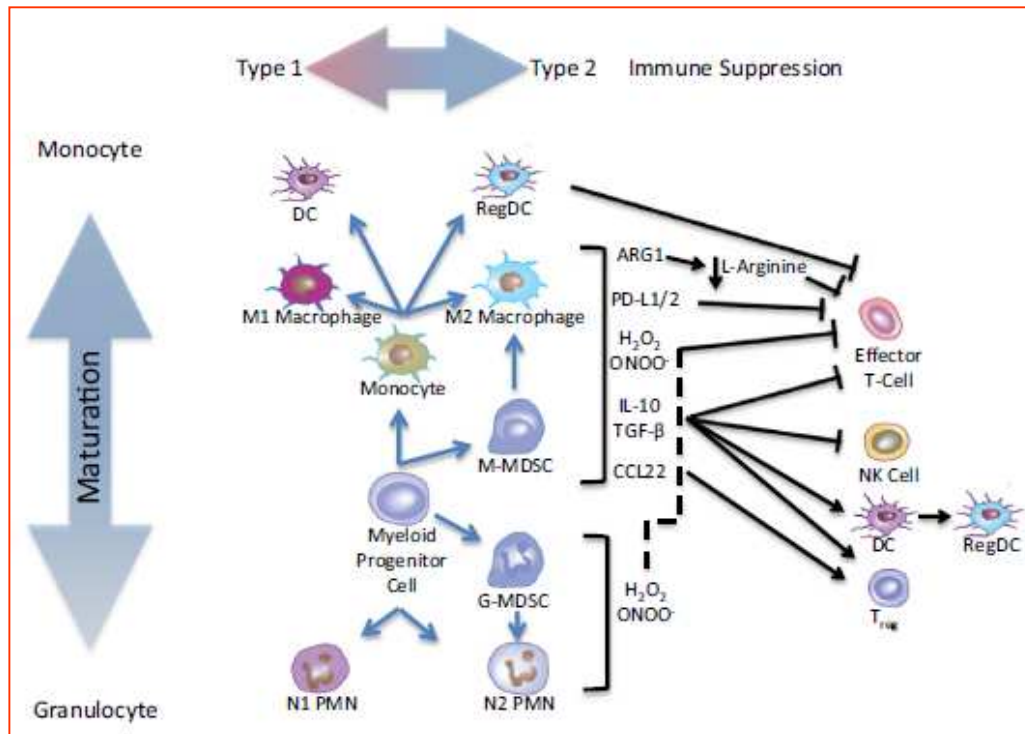
Immunogenic and non immunogenic tumor types (may be converted into endogenous vaccines with the addition of immune checkpoint inhibitors or immune adjuvants with radiotherapy)

### Treatment characteristics

Dose and fractionation (fractionated regimens; LDR reprogram macrophages toward a iNOS+/M1 phenotype); concomitant CHT (but some CHT agents may counteract the immunogenicity of radiotherapy)

## Myeloid-Derived Cells in Tumors

Influenced by their *environment*, myeloid cells can acquire a phenotype ranging from **proinflammatory** (type1) to **immunosuppressive** (type2)



### Type2 suppression mechanisms

**Arginase production:** depletes L-arginine and inhibits T-cell function

**Reactive oxygen species:** modify receptors for antigens and chemokines on T-cells, impairing their function

**Cytokines production:** IL-10 and TGF- $\beta$  impair effector T-cells and NK and convert DCs into regulatory DCs

**Chemokines:** CCL22 selectively attract Tregs, and TGF- $\beta$  directly stimulates Tregs



## RT and Myeloid-Derived Cells

### Recruitment

Increase serum CSF-1 and HIF-1 → M2 TAMs and MDSCs → **tumor growth** and **immune evasion**

### Repolarization

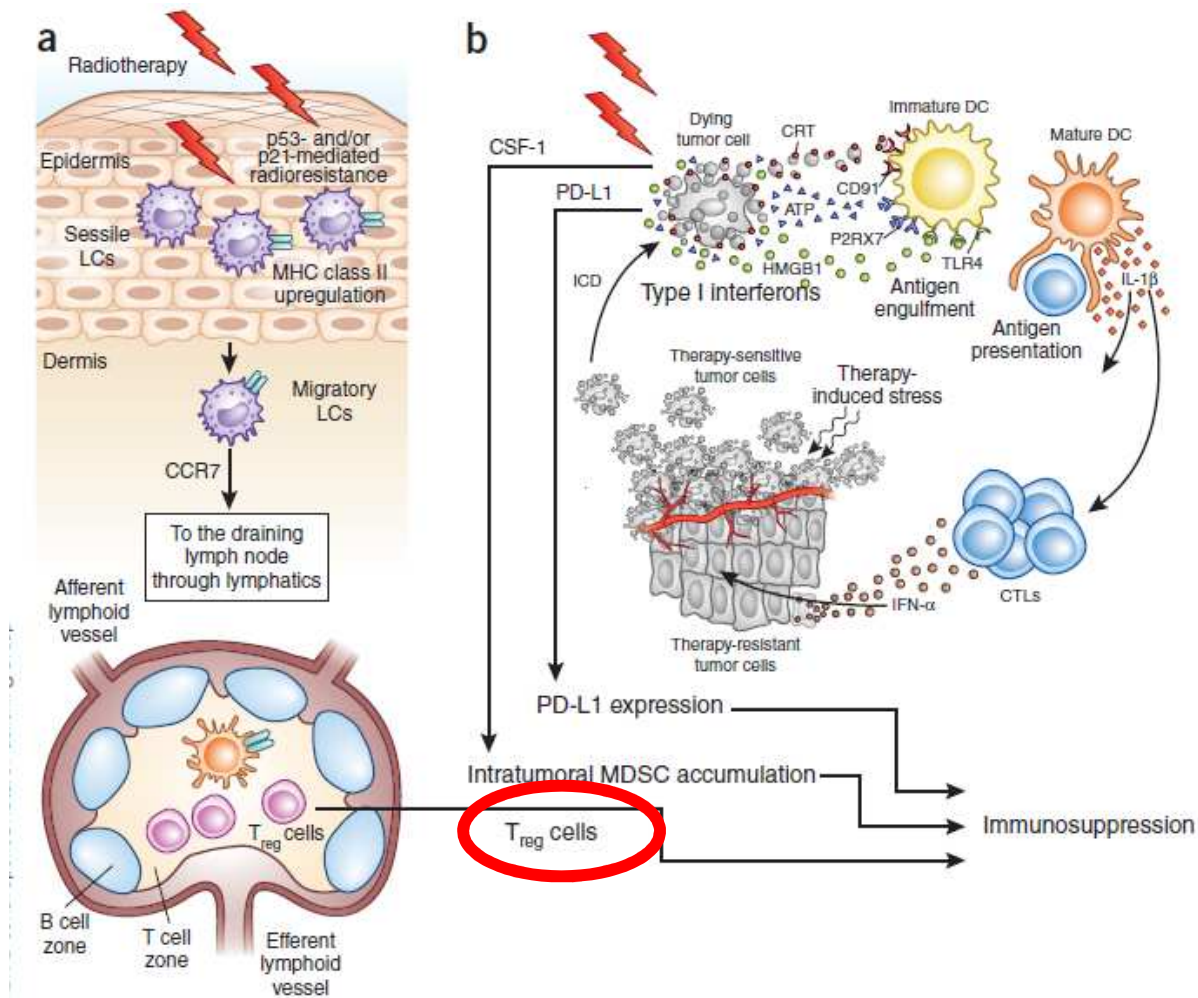
- RT (>2 Gy/fr) can repolarize TAMs toward an *M2 phenotype*
- Lower doses of RT (0,5-2Gy) polarize TAMs toward an *M1 phenotype* (production of iNOS → lymphocyte recruitment to tumors → improved tumor control)

### Re-presentation

Re-presentation of tumor antigens by myeloid cells

Myeloid-derived cells in the tumor often counteract development of an effective immune response

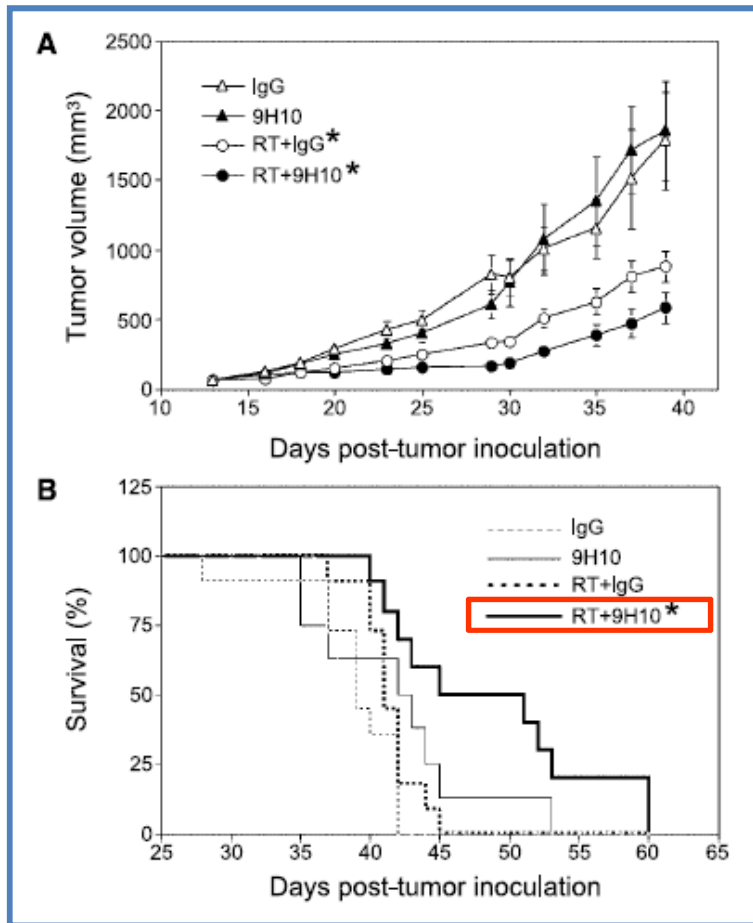
## Subversion of anticancer immunosurveillance



Irradiation induces *Langerhans cells* to migrate from the skin to lymph nodes, where they **stimulate regulatory T cells**

## Radiotherapy with CTLA-4 blockade

Blockade CTLA-4 induce antitumor immunity limited to relatively immunogenic tumors



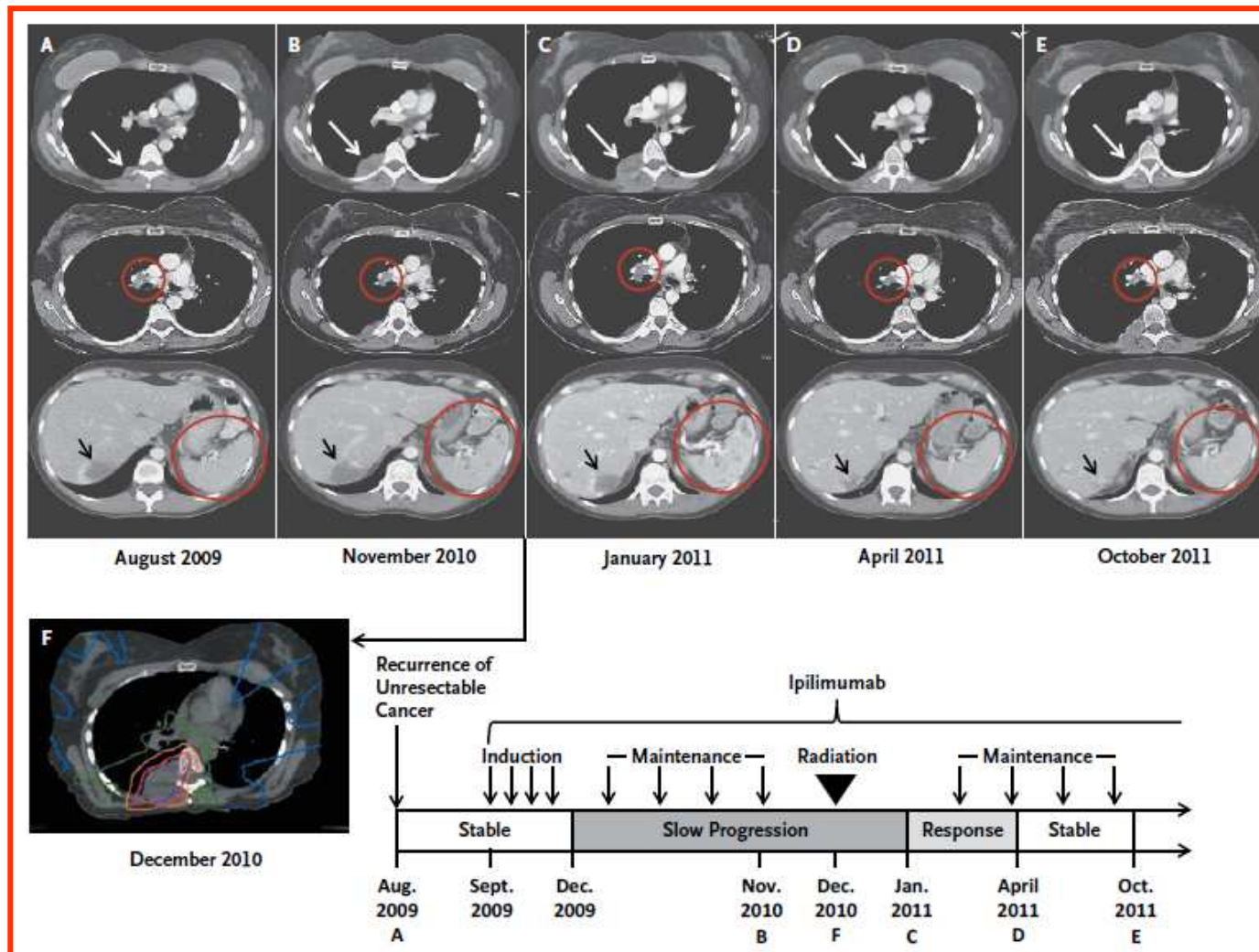
- In poorly immunogenic tumor, 9H10 (Ab against CTLA-4) alone did not have any effect on primary tumor growth or survival
- RT was able to delay the growth of the irradiated tumor, but *in the absence of 9H10* SVV was similar to that of control mice
- Mice treated with *RT + 9H10* had a statistically significant survival advantage (correlated with inhibition of lung metastases formation and required CD8+ but not CD4+ T cells)

The combination of **RT + CTLA-4 blockade** is a promising immunotherapeutic strategy against poorly immunogenic metastatic cancers

# RT and immunotherapy

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## Radiotherapy with CTLA-4 blockade

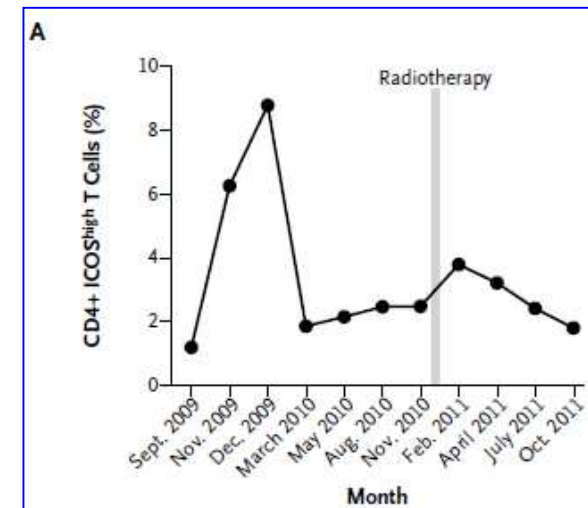
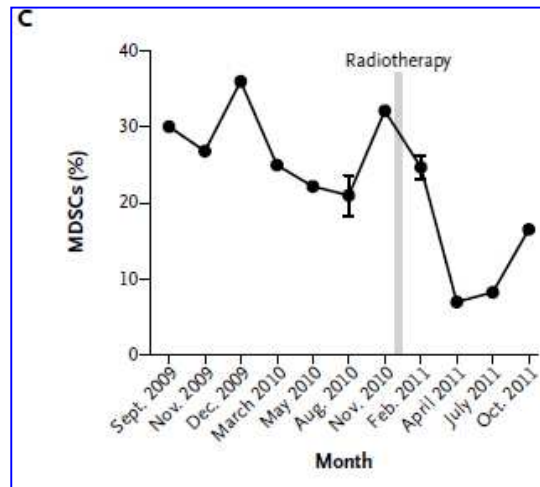
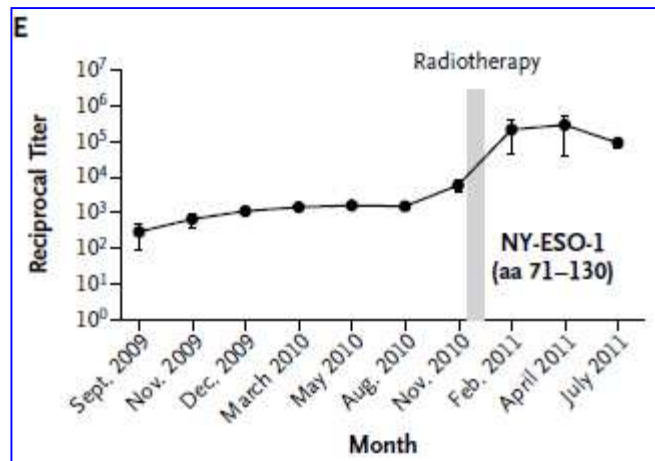


RT → **in situ tumor vaccine**

**Abscopal** responses can be seen in tumor types that do not respond to anti-CTLA-4 treatment

# RT and immunotherapy

## Radiotherapy with CTLA-4 blockade



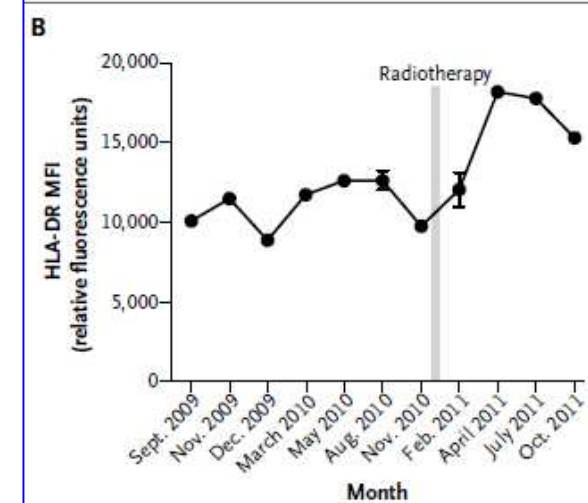
Ab-anti NY-ESO-1 protein diminished

CD4+ ICOS<sup>high</sup> increased during ipi induction but decreased before RT; after RT, there was a second increase in the levels

Increase HLA-DR expression on monocytes after RT

Decline in levels of myeloid-derived suppressor cells

RT sensitizer of tumors to immune checkpoint inhibitors

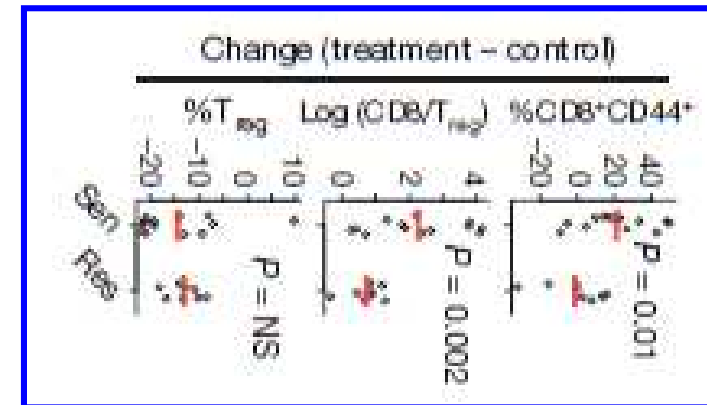
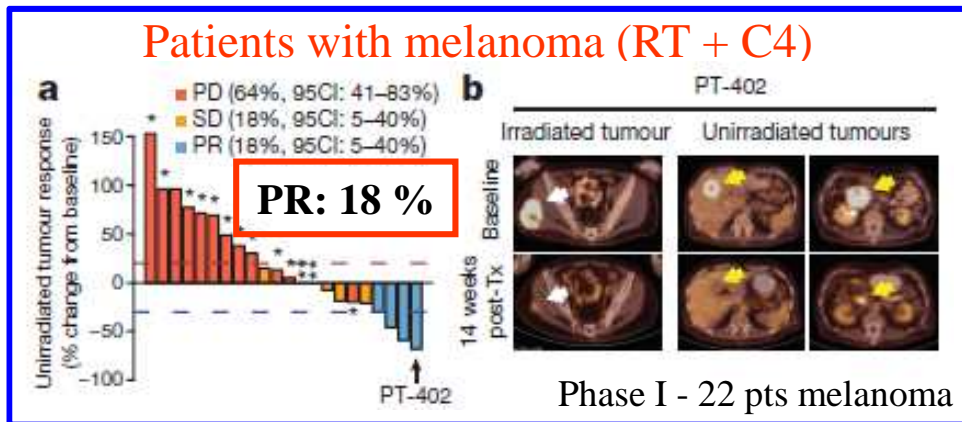


# RT and immunotherapy

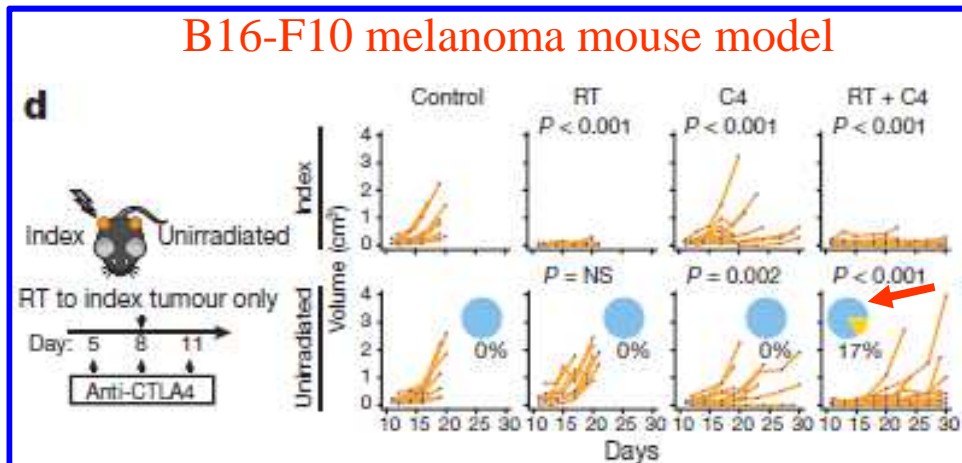
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## Radiotherapy with dual checkpoint blockade

### Patients with melanoma (RT + C4)



### B16-F10 melanoma mouse model

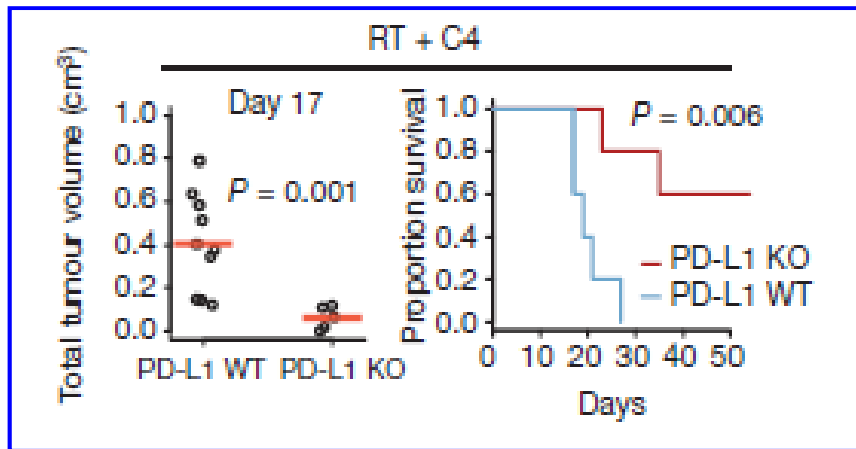


In resistant tumours, CD8/T<sub>reg</sub> ratio failed to increase after RT + anti-CTLA4

CD8<sup>+</sup> CD44<sup>+</sup> T cells did not significantly expand

# RT and immunotherapy

## Radiotherapy with dual checkpoint blockade

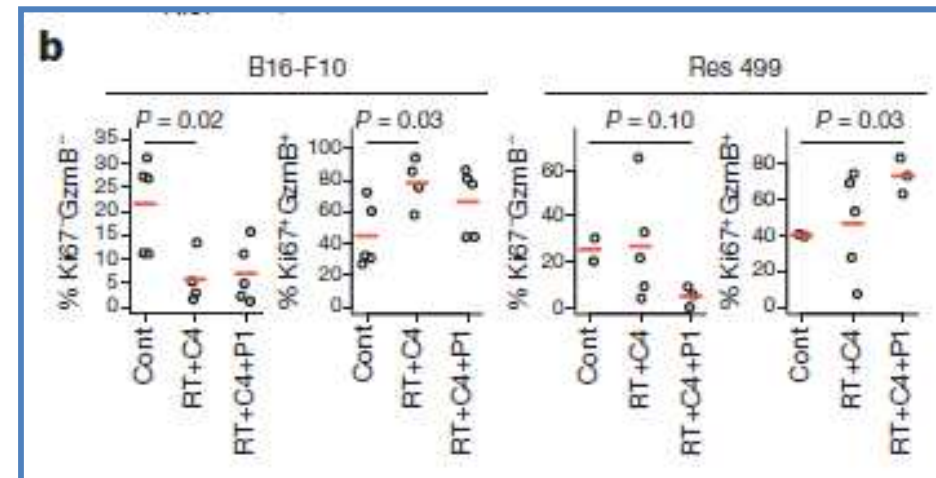


In B16-F10 tumors, RT + anti-CTLA4 markedly increased Ki67<sup>+</sup> GzmB<sup>+</sup>

In contrast, in resistant tumors, Ki67<sup>+</sup> GzmB<sup>+</sup> only marginally increased after radiation + anti-CTLA4;

anti-PD-L1 increased Ki67<sup>+</sup> GzmB<sup>+</sup>.

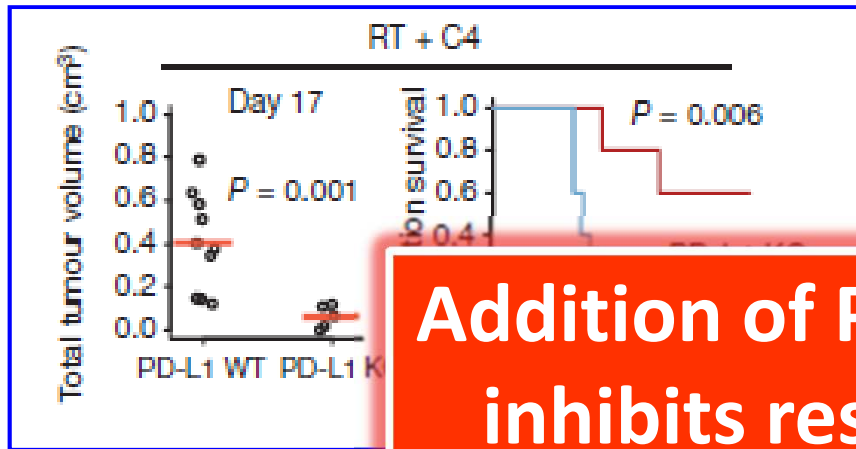
Genetic elimination of PD-L1 on Res 499 cells restored response to radiation + anti-CTLA4, increasing survival from 0% to 60%



# RT and immunotherapy

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## Radiotherapy with dual checkpoint blockade

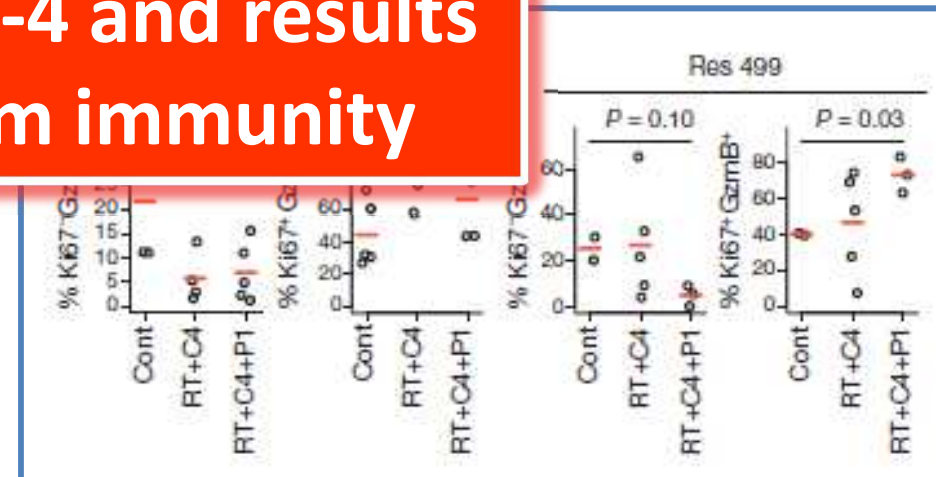


In B16-F10 tumors, RT + anti-CTLA4 markedly increased Ki67<sup>+</sup> GzmB<sup>+</sup>

In contrast, in resistant tumors, Ki67<sup>+</sup> GzmB<sup>+</sup> did not increase after RT + anti-CTLA4; however, addition of anti-PD-L1 increased Ki67<sup>+</sup> GzmB<sup>+</sup>.

**Addition of PD-L1 blockade inhibits resistance after RT+antiCTLA-4 and results in long-term immunity**

Genetic elimination of Res 499 cells after radiation + anti-CTLA4 results in long-term survival from 0% to 60%



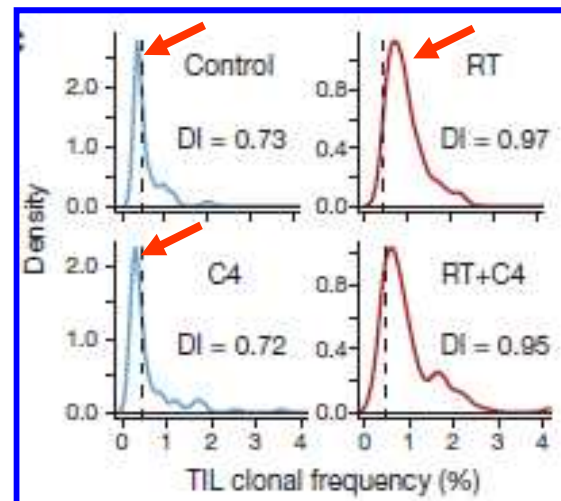
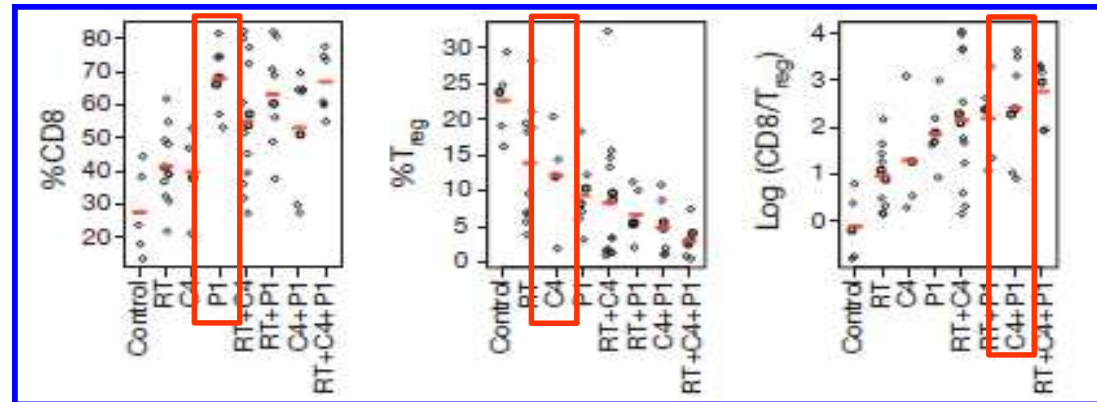
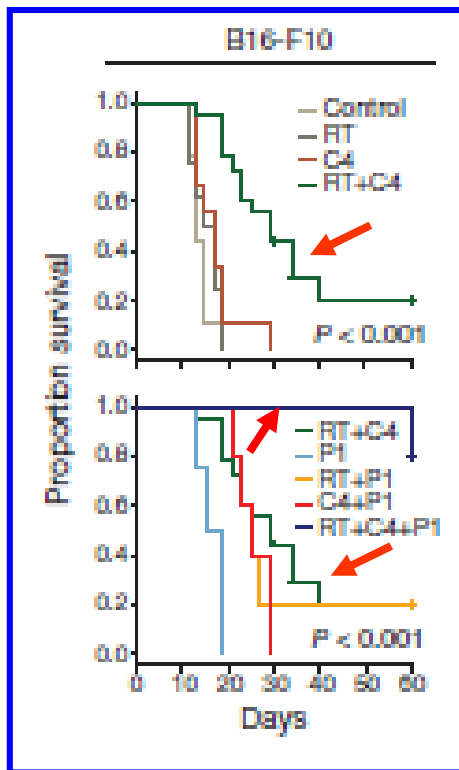


# RT and immunotherapy

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## Radiotherapy with dual checkpoint blockade

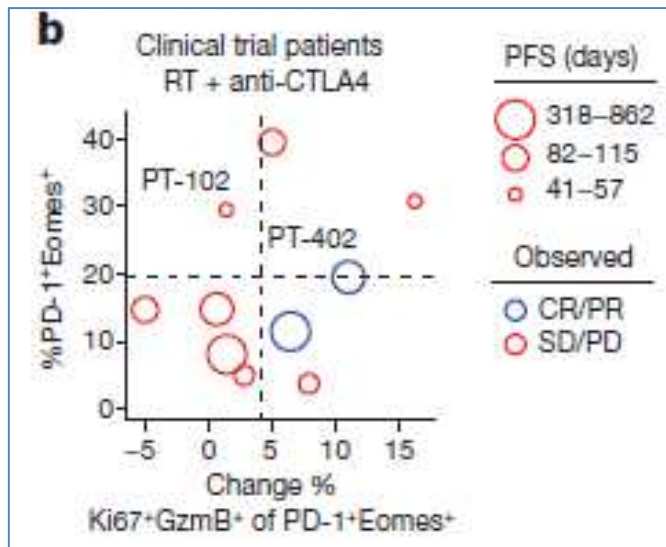
RT is needed to achieve high complete response rates



anti-CTLA4 → decrease in Treg  
anti-PD-L1 → increase CD8 TIL

Radiotherapy diversifies the TCR repertoire of CD8<sup>+</sup> TILs from unirradiated tumours

## Radiotherapy with dual checkpoint blockade

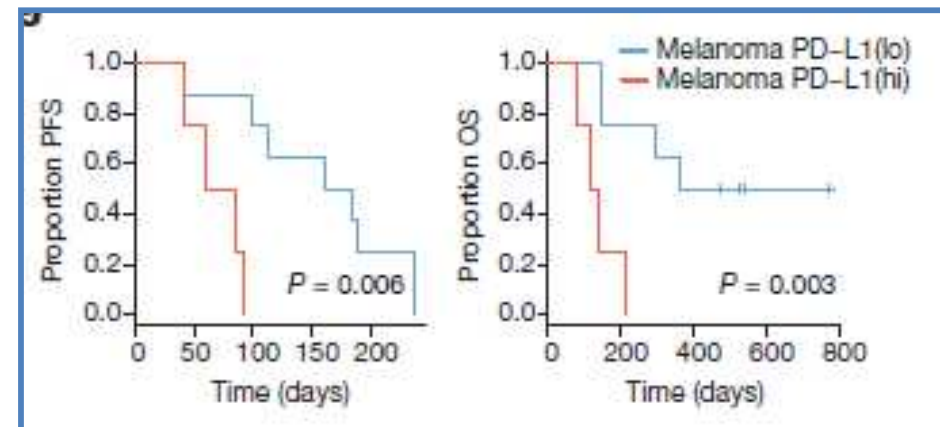


For **patients with PR**: Ki67<sup>+</sup>GzmB<sup>+</sup> increased in PD-1<sup>+</sup>Eomes<sup>+</sup> CD8 T cells after treatment while the proportion of PD-1<sup>+</sup>Eomes<sup>+</sup> T cells remained at or below the mean

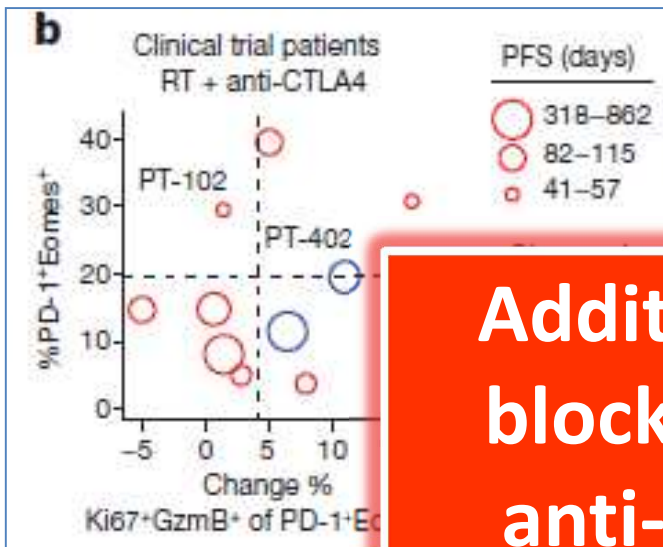
Patients with a high percentage of PD-1<sup>+</sup>Eomes<sup>+</sup> T cells post-treatment did **not have partial responses** and had a short progression-free survival

PD-L1 high status was associated with persistent exhaustion.

None of the patients with PD-L1hi on melanoma cells had a complete response/partial response and all rapidly progressed and died



## Radiotherapy with dual checkpoint blockade



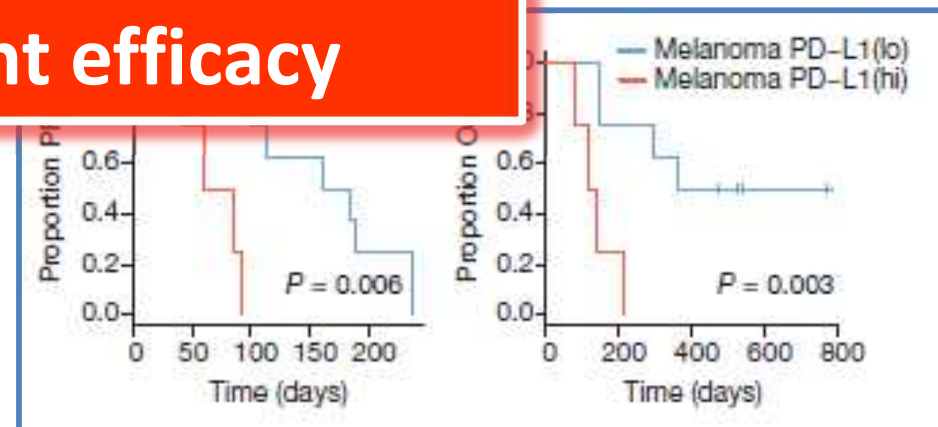
For **patients with PR**: Ki67<sup>+</sup>GzmB<sup>+</sup> increased in PD-1<sup>+</sup>Eomes<sup>+</sup> CD8 T cells after treatment while the proportion of PD-1<sup>+</sup>Eomes<sup>+</sup> T cells remained at or

**Addition of PD-L1/PD-1 blockade to radiation + anti-CTLA4 may show significant efficacy**

PD-1<sup>+</sup>Eomes<sup>+</sup> **partial responses** survival

PD-L1 high status v persistent exhaustion.

None of the patients with PD-L1hi on melanoma cells had a complete response/partial response and all rapidly progressed and died



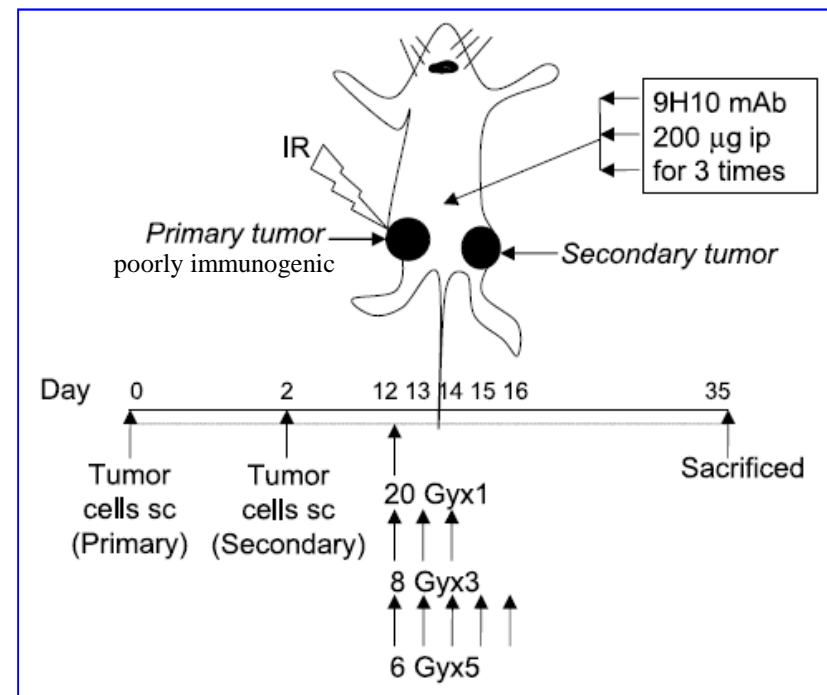
## Fractionated dose in vivo

- Few studies have addressed the effects of dose fractionation
- The contribution of the different mechanisms of MHC-I up-regulation by radiation described *in vitro* remains to be demonstrated *in vivo* (signaling by host cells may dominate *in vivo*, cross-talk between irradiated tumor cells and the local immunological microenvironment)

Two poorly immunogenic tumor models not expressing model antigens (mammary and colorectal carcinoma)

Three RT regimens

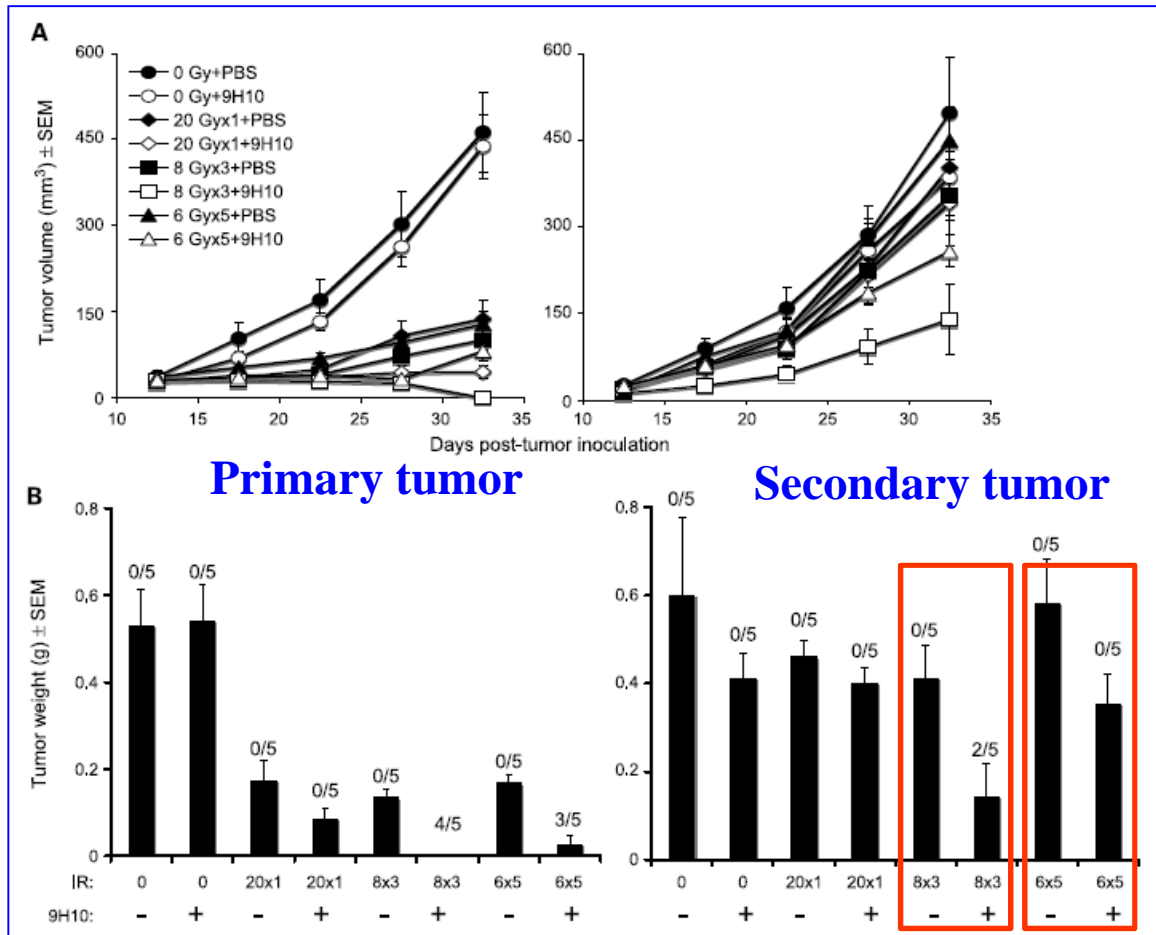
Anti-CTLA-4



# RT and immunotherapy

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## Fractionated dose in vivo



Combination of 9H10 and RT regimens achieved enhanced tumor response at the primary site ( $P < 0.0001$ )

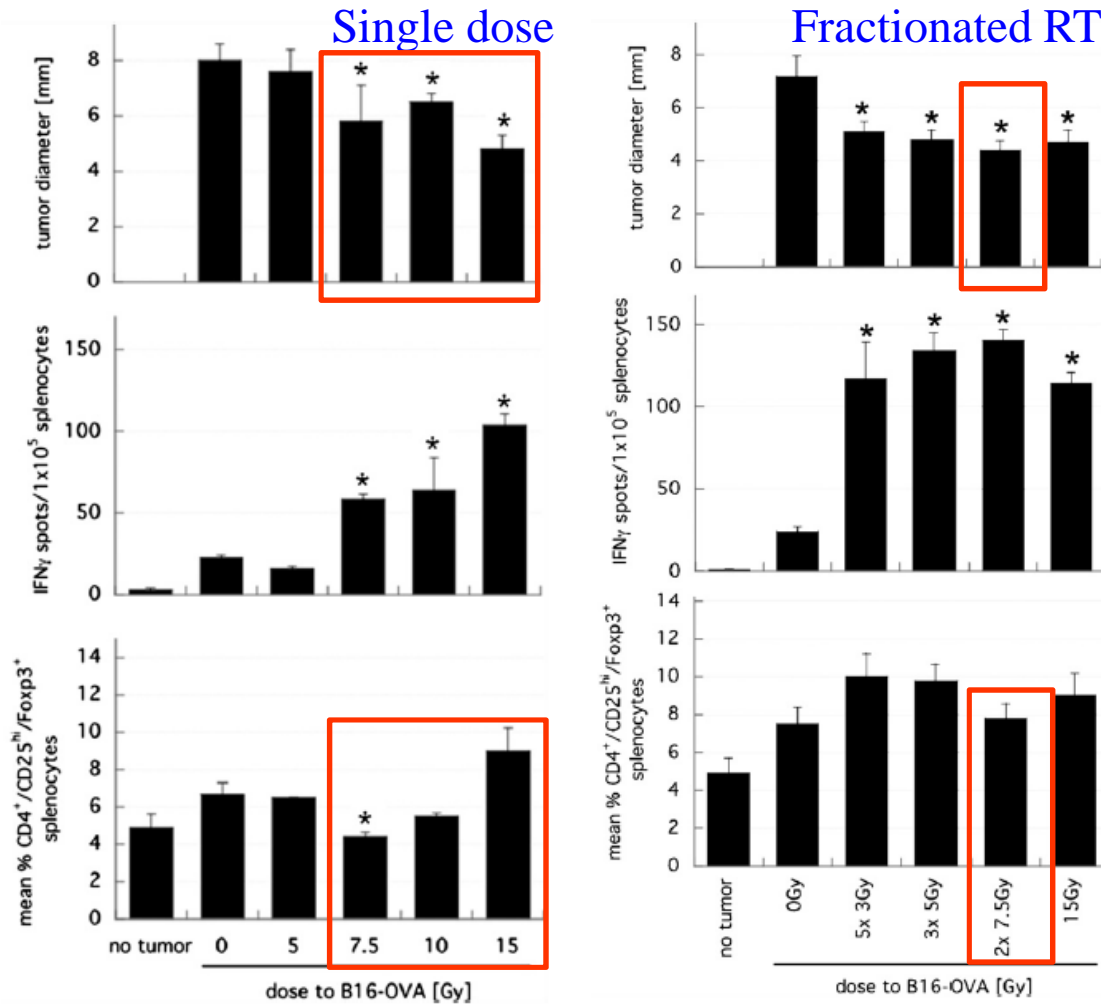
Abscopal effect occurred **only** in mice treated with combination of 9H10 and **fractionated RT** ( $P < 0.01$ )

The frequency of **CD8+ T cells** showing tumor-specific IFN- $\gamma$  production was proportional to the inhibition of the secondary tumor

# RT and immunotherapy

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## Fractionated dose in vivo



Radiation can be an immune adjuvant, but the *response varies with the size of dose per fraction.*

The ultimate challenge is to optimally integrate cancer immunotherapy into radiation therapy

**Cancer and immune system**

**PD-1/PD-L1 pathways**

**RT and immunotherapy**

**Clinical trials in breast cancer**

# Ongoing Trials

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*ClinicalTrials.gov*

A service of the U.S. National Institutes of Health

- 1 **Recruiting** [Study of Pembrolizumab \(MK-3475\) Monotherapy for Metastatic Triple-Negative Breast Cancer \(MK-3475-086/KEYNOTE-086\)](#)  
**Condition:** Breast Cancer  
**Intervention:** Biological: Pembrolizumab

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- 2 **Recruiting** [Study of Single Agent Pembrolizumab \(MK-3475\) Versus Single Agent Chemotherapy for Metastatic Triple Negative Breast Cancer \(MK-3475-119/KEYNOTE-119\)](#)  
**Condition:** Metastatic Triple Negative Breast Cancer  
**Interventions:** Biological: pembrolizumab; Drug: capecitabine; Drug: eribulin; Drug: gemcitabine; Drug: vinorelbine

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- 3 **Recruiting** [Study of Pembrolizumab \(MK-3475\) Plus Chemotherapy vs. Placebo Plus Chemotherapy for Previously Untreated Locally Recurrent Inoperable or Metastatic Triple Negative Breast Cancer \(MK-3475-355/KEYNOTE-355\)](#)  
**Condition:** Triple Negative Breast Cancer (TNBC)  
**Interventions:** Biological: Pembrolizumab; Drug: Nab-paclitaxel; Drug: Paclitaxel; Drug: Gemcitabine; Drug: Carboplatin; Drug: Normale Saline Solution

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- 4 **Recruiting** [Safety and Efficacy Study of Pembrolizumab \(MK-3475\) in Combination With Chemotherapy as Neoadjuvant Treatment for Participants With Triple Negative Breast Cancer \(TNBC\) \(MK-3475-173/KEYNOTE 173\)](#)  
**Condition:** Triple Negative Breast Neoplasms  
**Interventions:** Biological: Pembrolizumab; Drug: Nab-paclitaxel; Drug: Anthracycline (doxorubicin); Drug: Cyclophosphamide; Drug: Carboplatin; Drug: Paclitaxel

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- 5 **Recruiting** [Abrogation of Chronic Monoclonal Antibody Treatment-induced T-cell Exhaustion With DURVALUMAB in Advanced HER-2 Negative Breast Cancer](#)  
**Conditions:** Metastatic Breast Cancer; Bevacizumab-alone Maintenance Treatment Progression  
**Interventions:** Drug: Durvalumab; Drug: Bevacizumab

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- 6 **Recruiting** [A Study of FAZ053 Single Agent and in Combination With PDR001 in Patients With Advanced Malignancies.](#)  
**Conditions:** Advanced Solid Tumors; Non-Small Cell Lung Carcinoma (NSCLC); Triple Negative Breast Cancer (TNBC); Endometrial Cancer; Anaplastic Thyroid Cancer  
**Interventions:** Drug: FAZ053; Drug: PDR001

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- 7 **Recruiting** [Study of the Effects of Pembrolizumab in Patients With Advanced Solid Tumors](#)  
**Conditions:** Squamous Cell Cancer of Head and Neck; Triple Negative Breast Cancer; Epithelial Ovarian Cancer; Malignant Melanoma; Advanced Solid Tumors  
**Intervention:** Biological: Pembrolizumab

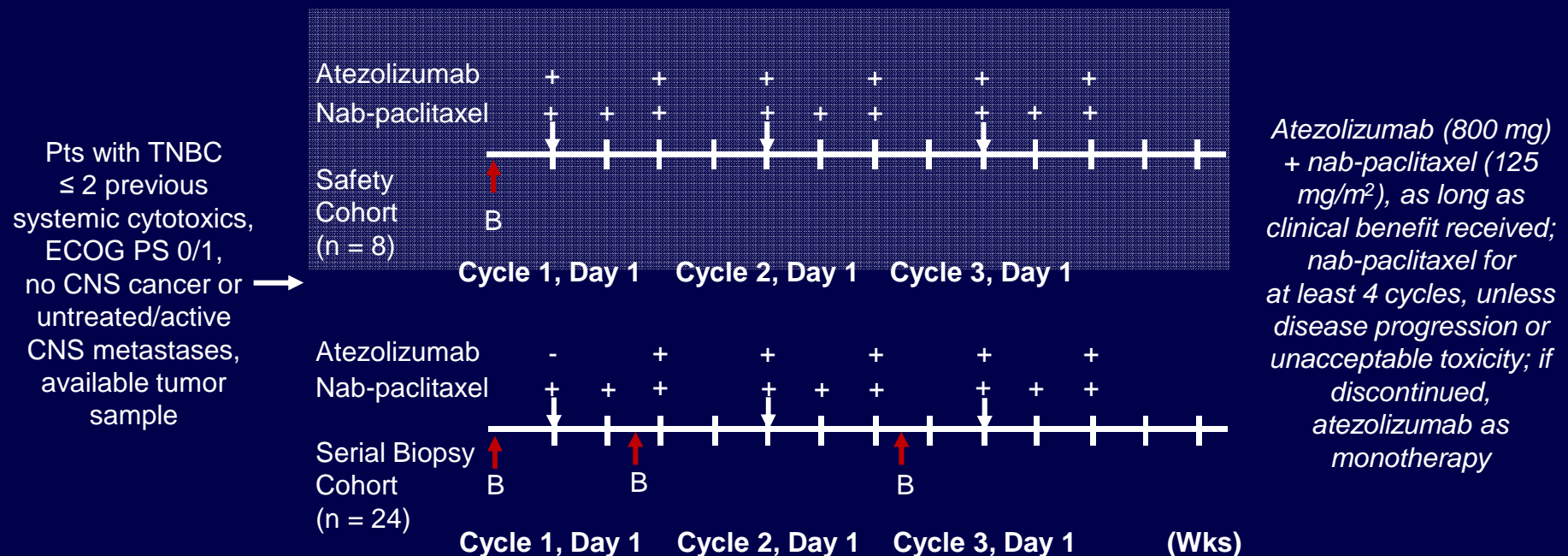
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- 8 **Not yet recruiting** [Durvalumab and Endocrine Therapy in ER+/Her2- Breast Cancer After CD8+ Infiltration Effective Immune-Attractant Exposure](#)



# Atezolizumab + Nab-Paclitaxel in Metastatic TNBC: Phase Ib Study Design

- GP28328: a multicenter, multicohort phase Ib study; arm F includes pts with TNBC (metastatic or unresectable, locally advanced)<sup>[1,2]</sup>



- Primary endpoint: safety and tolerability
- Secondary endpoints: response per RECIST v1.1 (ORR, DoR, PFS) and immune-modified response criteria; pharmacokinetics; biomarker analyses

1. Adams S, et al. ASCO 2016. Abstract 1009.  
 2. ClinicalTrials.gov. NCT01633970.



# Atezolizumab + Nab-Paclitaxel in Metastatic TNBC: Pt Population

Characteristic	Pts (N = 32*)
Median age, yrs (range)	56 (32-84)
ECOG PS, n (%)	
▪ 0	6 (19)
▪ 1	26 (81)
Metastatic sites, n (%)	
▪ Visceral	15 (47)
▪ Nodal only	2 (6)
▪ Other	15 (47)
Median number of previous systemic therapies, n (range)	5 (1-10)
Number of previous systemic therapies (including [neo]adjuvant therapy), n (%) <sup>†</sup>	
▪ 1-2	2 (6)
▪ 3-4	13 (41)
▪ ≥ 5	17 (53)
Previous taxane use, n (%)	28 (88)

\*Safety evaluable population: ≥ 1 dose atezolizumab.

<sup>†</sup>Individual agents counted separately.

# Atezolizumab + Nab-Paclitaxel in mTNBC: Safety and Tolerability (Primary Endpoint)

- Median safety follow-up: 6.1 mos (range: 1.7-17.1)
- Median duration of exposure: 5.4 mos (range: 0-17) for atezolizumab; 4.2 mos (range: 0-12) for nab-paclitaxel
- No reported deaths were related to study treatment

Treatment-Related AE (Grade 3/4 AEs Occurring in $\geq 1\%$ of Pts), %	Pts (N = 32)	
	All Grades	Grade $\geq 3$
All	100	69
Neutropenia/decreased neutrophil count	66	46
Thrombocytopenia and decreased platelet count	16	9
Diarrhea	41	6
Anemia	22	6
Decreased white blood cell count	9	6

# Atezolizumab + Nab-Paclitaxel in mTNBC: Safety and Tolerability (Primary Endpoint)

Atezolizumab-Related AE (Any Grade AE in ≥ 10% of Pts), %	Pts (N = 32)	
	All Grades	Grade ≥ 3
Fatigue	34	--
Neutropenia/decreased neutrophil count	28	9
Pyrexia	25	--
Diarrhea	19	3
Peripheral neuropathy/peripheral sensory neuropathy	19	--
Nausea	16	--
Alopecia	13	--
Headache	13	--
Pruritus	13	--

- Additional atezolizumab-related grade 3/4 AEs: syncope, type 1 diabetes mellitus, anemia, thrombocytopenia/platelet count decreased (n = 3), febrile neutropenia, AST increased, white blood cells decreased, and pneumonia mycoplasmal (n = 1 except where indicated)



# Atezolizumab + Nab-Paclitaxel in mTNBC: Efficacy (Secondary Endpoints)

Best Overall Response (RECIST v1.1)	First Line (n = 13)	Second Line (n = 9)	Third Line+ (n = 10)	All (N = 32)
Confirmed ORR, % (95% CI)	46 (19-75)	22 (3-60)	40 (12-74)	38 (21-56)
CR, %	8	0	0	3
PR, %	38	22	40	34
SD, %	38	67	30	44
PD, %	15	0	30	16
Missing or not estimable, %	0	11	0	3
Median DoR, mos (range)	NE (2.9 to 11.5+)	NE (9.1 to 13.1+)	NE (1.9+ to 5.6+)	

- Among 12 responders, 6 (50%) remain on atezolizumab; 1 for > 17 mos
- Median DoR not reached; PFS and OS data not yet mature
- Responses observed in pts regardless of PD-L1 expression level; trend toward increase in baseline TILs for responding pts

# Atezolizumab + Nab-Paclitaxel in Metastatic TNBC: Conclusions

- Atezolizumab + nab-paclitaxel well tolerated and active in metastatic TNBC<sup>[1]</sup>
  - Safety profile similar to that of single agents
  - Durable responses achieved across all lines of therapy
  - Clinical response seen regardless of PD-L1 expression
- Ongoing phase III randomized trial evaluating this combination in previously untreated metastatic TNBC<sup>[2]</sup>

1. Adams S, et al. ASCO 2016. Abstract 1009.  
2. ClinicalTrials.gov. NCT02425891.



## RT and Immunotherapy

- Immune system controls not only tumor quantity but also tumor quality (*immunoediting*)
- Tumor Rejection by the immune system: “5th R” of radiobiology → RT to convert tumor into *an in situ vaccine* (*immunogenic cell death*)
- RT *can also counteract* development of an effective immune response
- RT + CTLA- 4 or PD-1/PD-L1 blockade is a promising immunotherapeutic strategy against poorly immunogenic cancers
- The response varies with the size of dose per fraction (fractionated > single dose)

It is the very beginning of a novel field.

More research is warranted to define the many mechanisms underlying the crosstalk with the immune system and to establish how best to harness ionizing radiation in this new role

## Conclusions

**Grazie  
per  
l'attenzione**

*«...Everyone else would climb a peak by looking for a path somewhere on the mountain ...someone would climb another mountain altogether and from that distant peak would shine a searchlight back on the first peak...»*

